THE FLAG-DESCENT AND -EXCEDANCE NUMBERS

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We deal with the wreath product $C_l \wr \mathfrak{S}_n$, where C_l is the cyclic group of order l and \mathfrak{S}_n the symmetric group of order n. The elements of $C_l \wr \mathfrak{S}_n$ are viewed as ordered pairs (w, ϵ) , where $w = x_1 x_2 \cdots x_n$ is a permutation of $12 \dots n$ and $\epsilon = \epsilon_1 \epsilon_2 \cdots \epsilon_n$ is a word of length n, all letters of which belong to $\{0, 1, \dots, l-1\}$. As a total order on $C_l \wr \mathfrak{S}_n$ we take:

$$(1, l-1) < (2, l-1) < \dots < (n, l-1) < (1, l-2) < \dots < (n, l-2) < \dots < (1, 0) < (2, 0) < \dots < (n, 0).$$

For each argument A let $\chi(A) = 1$ or 0, depending on whether A is true or false. Also, for each word $\epsilon = \epsilon_1 \epsilon_2 \cdots \epsilon_n$, whose letters ϵ_i are nonnegative letters, let tot $\epsilon := \epsilon_1 + \epsilon_2 + \cdots + \epsilon_n$. Now, for each element $(w, \epsilon) = (x_1, \epsilon_1)(x_2, \epsilon_2) \cdots (x_n, \epsilon_n)$ from $C_l \wr \mathfrak{S}_n$ define

$$\operatorname{tot} \epsilon := \epsilon_1 + \epsilon_2 + \dots + \epsilon_n;$$

$$\operatorname{exc} w := \#\{j : 1 \le j \le n, \, x_j > j, \epsilon_j = 0\};$$

$$\operatorname{des}(w, \epsilon) := \#\{j : 1 \le j \le n - 1, (x_j, \epsilon_j) > (x_{j+1}, \epsilon_{j+1})\};$$

$$\operatorname{maj}(w, \epsilon) := \sum_{1 \le j \le n - 1} j \,\chi((x_j, \epsilon_j) > (x_{j+1}, \epsilon_{j+1}));$$

as well as the flag-statistics:

$$fexc(w, \epsilon) := l. exc w + tot \epsilon;$$

$$fdes(w, \epsilon) := l. des(w, \epsilon) + \epsilon_1;$$

$$fmaj(w, \epsilon) := l. maj(w, \epsilon) + tot \epsilon.$$

Let $W_n^l(t,q) = \sum_{(w,\epsilon)\in C_l\wr\mathfrak{S}_n} t^{\mathrm{fdes}(w,\epsilon)}q^{\mathrm{fmaj}(w,\epsilon)}$ be the generating polynomial

for $C_l \wr \mathfrak{S}_n$ by the pair (fdes, fmaj). In [6] (Theorem 5.3) it is shown that the generating function for the polynomials $W_n^l(t,q)$ can be expressed as:

(1)
$$\sum_{n\geq 0} (1+t+\dots+t^{l-1}) W_n^l(t,q) \frac{u^n}{(t^l;q^l)_{n+1}} = \sum_{r\geq 0} t^r \frac{1}{1-u[r+1]}$$
$$= \sum_{n\geq 0} u^n \sum_{r\geq 0} t^r [r+1]^n.$$

In the present Note we first obtain four other *equivalent* definitions for the polynomials $W_n^l(t,q)$ (Section 1). Then, let $W_n^l(t,1) := \sum_k W_{n,k}^l t^k$. In the next Sections the two identities

(2)
$$W_{n,k}^{l} = \#\{(w,\epsilon) \in C_l \wr \mathfrak{S}_n : \mathrm{fdes}(w,\epsilon) = k\};$$

(3)
$$W_{n,k}^{l} = \#\{(w,\epsilon) \in C_{l} \wr \mathfrak{S}_{n} : \operatorname{fexc}(w,\epsilon) = k\}\}$$

are given *combinatorial proofs*.

1. Four equivalent definitions

Identity (1) is also equivalent to:

(4)
$$\frac{W_n^l(t,q)}{(1-t)(t^l q^l;q^l)_n} = \sum_{r\geq 0} t^r [r+1]^n \quad (l\geq 1, n\geq 0).$$

Other definitions can be worked out in the following way. Starting with (4) we have:

$$\begin{aligned} \frac{W_n^l(t,q)}{(1-t)(t^lq^l;q^l)_n} &= \sum_{r\geq 0} t^r \frac{1-q^{r+1}}{1-q} [r+1]^{n-1} \\ &= \frac{1}{1-q} \sum_{r\geq 0} t^r [r+1]^{n-1} - \frac{q}{1-q} \sum_{r\geq 0} (tq)^r [r+1]^{n-1} \\ &= \frac{1}{1-q} \frac{W_{n-1}^l(t,q)}{(1-t)(t^lq^l;q^l)_{n-1}} - \frac{q}{1-q} \frac{W_{n-1}^l(tq,q)}{(1-tq)(t^lq^{2l};q^l)_{n-1}}.\end{aligned}$$

This can be rewritten

$$(1-q)(1-tq)W_n^l(t,q) = (1-tq)(1-t^lq^l)W_{n-1}^l(t,q) - q(1-t)(1-t^lq^l)W_{n-1}^l(tq,q),$$

further simplified into

$$(1-q)W_n^l(t,q) = (1-t^l q^l)W_{n-1}^l(t,q) -q(1-t)(1+tq+\dots+t^{l-1}q^{l-1})W_{n-1}^l(tq,q)$$

or still

(5)
$$(1-q)W_n^l(t,q) = (1-t^l q^l)W_{n-1}^l(t,q)$$

- $(-q+tq(1-q)+\dots+t^{l-1}q^{l-1}(1-q)+t^l q^l)W_{n-1}^l(tq,q).$

Now, look for the coefficients of t^k on both sides. With $W_n^l(t,q):=\sum_k W_{n,k}^l(q)t^k$ we get:

$$(1-q)W_{n,k}^{l}(q) = W_{n-1,k}^{l}(q) - q^{nl}W_{n-1,k-l}^{l}(q) - q^{k+1}W_{n-1,k}^{l}(q) + q^{k+1}(1-q)W_{n-1,k-1}^{l}(q) + \dots + q^{k+l-1}(1-q)W_{n-1,k-(l-1)}^{l}(q) + q^{k}W_{n-1,k-l}^{l}(q),$$

so that, by dividing both sides by (1-q),

(6)
$$W_{n,k}^{l}(q) = [k+1]_{q} W_{n-1,k}^{l}(q) + q^{k+1} W_{n-1,k-1}^{l}(q)$$

 $+ q^{k+2} W_{n-1,k-2}^{l}(q) + \dots + q^{k+l-1} W_{n-1,k-(l-1)}^{l}(q) + q^{k} [nl-k]_{q} W_{n-1,k-l}^{l}(q).$

Thus, formulas (1), (4), (5), (6) are four equivalent definitions for the statistical distribution of the pair (fdes, fmaj) over $C_l \wr \mathfrak{S}_n$. There is a fifth one, that may be regarded as the *finite analog* of (4), which reads:

(7)
$$\frac{1}{1+t+\dots+t^{l-1}}\sum_{j=1}^{m}t^{j}([j]_{q})^{n}$$
$$=t\frac{W_{n}^{l}(t,q)}{(t^{l};q^{l})_{n+1}}-t^{m+1}\sum_{k=0}^{n}\binom{n}{k}q^{n-k}[m]_{q}^{n-k}\frac{W_{k}^{l}(tq^{n-k},q)}{(t^{l}q^{(n-k)l};q^{l})_{k+1}}.$$

We do not reproduce the proof of the equivalence, as it is quite similar to the proof of (5.2) in [1]. Remember that $W_n^l(t,q) = A_n(t,q)$, the Carlitz *q*-Eulerian polynomial, for l = 1.

2. The combinatorial proof for the flag-descent number

Let q = 1 in identity (4). Remembering that $W_n^l(t, 1) := \sum_k W_{n,k}^l t^k$ we obtain:

(8)
$$W_{n,k}^{l} = (k+1)W_{n-1,k}^{l} + W_{n-1,k-1}^{l} + \dots + W_{n-1,k-(l-1)}^{l} + (ln-k)W_{n-1,k-l}^{l},$$

for $n \geq 2$ and $0 \leq k \leq nl-1$ and the initial conditions: $W_{0,0}^{l} = 1$, $W_{0,k}^{l} = 0$ for $k \neq 0$; $W_{1,k}^{l} = 1$ for $k = 0, 1, \ldots, l-1$ and 0 for any other value of k. In this Section let $W_{n,k}^{l}$ denote the number of elements (w, ϵ) from $C_{l} \geq \mathfrak{S}_{n}$ such that $\mathrm{fdes}(w, \epsilon) = k$. Our intention is to prove that $W_{n,k}^{l}$ satisfies recurrence (8).

Note that $C_l \wr \mathfrak{S}_n$ is generated from $C_l \wr \mathfrak{S}_{n-1}$ (from $l^{n-1}(n-1)!$ elements to $l^{n-1}(n-1)! \times l n = l^n \cdot n!$ elements) by inserting the biletter $\binom{n}{i}$ $(0 \le i \le l-1)$ to the left of each element $\binom{w}{\epsilon} = \binom{x_1 x_2 \cdots x_{n-1}}{\epsilon_1 \epsilon_2 \cdots \epsilon_{n-1}}$ from $C_l \wr \mathfrak{S}_{n-1}$, or between two consecutive letters $\binom{x_j}{\epsilon_j}$, $\binom{x_{j+1}}{\epsilon_{j+1}}$, or still to the right of $\binom{w}{\epsilon}$. Let us examine those three possibilities.

1. Insertion to the left of $\binom{w}{\epsilon}$. We have:

$$\operatorname{fdes} \binom{n \, w}{i \, \epsilon} = \begin{cases} \operatorname{fdes} \binom{w}{\epsilon} + l + i - \epsilon_1, & \text{if } 0 \le i \le \epsilon_1; \\ \operatorname{fdes} \binom{w}{\epsilon} + i - \epsilon_1, & \text{if } 0 \le \epsilon_1 < i. \end{cases}$$

Next, let $1 \le j \le n-1$ and

$$\begin{pmatrix} w'\\ \epsilon' \end{pmatrix} := \begin{pmatrix} x_1 \cdots x_j \, n \, x_{j+1} \cdots x_{n-1}\\ \epsilon_1 \cdots \epsilon_j \, i \, \epsilon_{j+1} \cdots \epsilon_{n-1} \end{pmatrix}.$$

2. Insertion into a descent of $\binom{w}{\epsilon}$. By assumption, $\binom{x_j}{\epsilon_j} > \binom{x_{j+1}}{\epsilon_{j+1}}$, so that $\epsilon_j < \epsilon_{j+1}$, or $\epsilon_j = \epsilon_{j+1}$ and $x_j > x_{j+1}$. A simple verification leads to:

$$\operatorname{fdes} \binom{w'}{\epsilon'} = \begin{cases} \operatorname{fdes} \binom{w}{\epsilon}, & \text{if } i \leq \epsilon_j < \epsilon_{j+1}, \text{ or } i \leq \epsilon_j = \epsilon_{j+1} \text{ and } x_j > x_{j+1}, \\ & \text{or if } \epsilon_j < \epsilon_{j+1} < i, \\ & \text{or } \epsilon_j = \epsilon_{j+1} < i \text{ and } x_j > x_{j+1}; \\ & \text{fdes} \binom{w}{\epsilon} + l, & \text{if } \epsilon_j < i \leq \epsilon_{j+1}. \end{cases}$$

3. Insertion into a rise of $\binom{w}{\epsilon}$. By assumption, $\binom{x_j}{\epsilon_j} < \binom{x_{j+1}}{\epsilon_{j+1}}$, so that $\epsilon_j > \epsilon_{j+1}$, or $\epsilon_j = \epsilon_{j+1}$ and $x_j < x_{j+1}$. Again, we get:

$$\operatorname{fdes} \binom{w'}{\epsilon'} = \begin{cases} \operatorname{fdes} \binom{w}{\epsilon} + l, & \text{if } i \leq \epsilon_{j+1} < \epsilon_j, \text{ or } i \leq \epsilon_j = \epsilon_{j+1} \text{ and } x_j < x_{j+1}, \\ & \text{ or if } \epsilon_{j+1} < \epsilon_j < i, \\ & \text{ or } \epsilon_{j+1} = \epsilon_j < i \text{ and } x_j < x_{j+1}; \\ & \text{ fdes} \binom{w}{\epsilon}, & \text{ if } \epsilon_j \geq i > \epsilon_{j+1}. \end{cases}$$

4. Insertion to the right of $\binom{w}{\epsilon}$. Clearly,

$$\operatorname{fdes} \begin{pmatrix} w \, n \\ \epsilon \, i \end{pmatrix} = \begin{cases} \operatorname{fdes} \begin{pmatrix} w \\ \epsilon \end{pmatrix} + l, & \text{if } \epsilon_{n-1} < i \le l-1; \\ \operatorname{fdes} \begin{pmatrix} w \\ \epsilon \end{pmatrix}, & \text{if } 0 \le i \le \epsilon_{n-1}. \end{cases}$$

For each pair (k, n) let $\mathcal{W}_{n,k}^l$ denote the set of all elements from $C_l \wr \mathfrak{S}_n$, whose "fdes" are equal to k. The previous analysis shows that the insertion of $\binom{n}{i}$ increases "fdes" by 1, 2, ... or l in procedure 1, and keeps it invariant or increases it by l in procedures 2, 3 and 4. Hence, for each $h = 1, 2, \ldots, l$ each element from $\mathcal{W}_{n-1,k-h}$ gives rise to one and only one element from $\mathcal{W}_{n,k}$, if the l insertions to the left are applied (procedure 1).

Let k = k'l + r $(0 \le r \le l - 1)$ and let $\binom{w}{\epsilon}$ belong to $\mathcal{W}_{n-1,k}^{l}$, so that des $\binom{w}{\epsilon} = k'$ and $\epsilon_1 = r$. The number of insertions of $\binom{n}{i}$ into $\binom{w}{\epsilon}$, using procedures 2, 3 and 4, which keep "fdes" invariant is equal to

$$\sum_{j=1}^{n-2} \left((\epsilon_j + 1) + (l - 1 - \epsilon_{j+1}) \right) \chi(\binom{x_j}{\epsilon_j} > \binom{x_{j+1}}{\epsilon_{j+1}}) \\ + \sum_{j=1}^{n-2} (\epsilon_j - \epsilon_{j+1}) \chi(\binom{x_j}{\epsilon_j} < \binom{x_{j+1}}{\epsilon_{j+1}}) + (\epsilon_{n-1} + 1) \\ = \epsilon_1 - \epsilon_2 + \epsilon_2 - \epsilon_3 + \dots + \epsilon_{n-2} - \epsilon_{n-1} + \epsilon_{n-1} + k' \cdot l + 1 \\ = k'l + \epsilon_1 + 1 = k + 1.$$

Finally, suppose that fdes $\binom{w}{\epsilon} = k - l = k'l + \epsilon_1$. The number of insertions of $\binom{n}{i}$ into $\binom{w}{\epsilon}$, using procedures 1, 2, 3 and 4, which increase

""fdes" by l is equal to

$$1 + \sum_{j=1}^{n-2} \left((\epsilon_{j+1} - \epsilon_j) \right) \chi \left(\begin{pmatrix} x_j \\ \epsilon_j \end{pmatrix} > \begin{pmatrix} x_{j+1} \\ \epsilon_{j+1} \end{pmatrix} \right) \\ + \sum_{j=1}^{n-2} \left((\epsilon_{j+1} + 1) + (l-1 - \epsilon_j) \right) \chi \left(\begin{pmatrix} x_j \\ \epsilon_j \end{pmatrix} < \begin{pmatrix} x_{j+1} \\ \epsilon_{j+1} \end{pmatrix} \right) + (l-1 - \epsilon_{n-1}) \\ = 1 + \epsilon_2 - \epsilon_1 + \epsilon_3 - \epsilon_2 + \dots + \epsilon_{n-1} - \epsilon_{n-2} + (n-2-k')l + l-1 - \epsilon_{n-1} \\ = -\epsilon_1 + nl - l - k'l \\ = ln - (k'l + \epsilon_1 + l) = ln - k.$$

This establishes the above recurrence holds when

$$W_{n,k}^{l} = \#\{(w,\epsilon) \in C_{l} \wr \mathfrak{S}_{n} : \mathrm{fdes}(w,\epsilon) = k\}.$$

3. The combinatorial proof for the flag-excedance number

In this Section $W_{n,k}^l$ denotes the number of elements (w, ϵ) from $C_l \wr \mathfrak{S}_n$ such that $\operatorname{fexc}(w, \epsilon) = k$ and the purpose is to prove that recurrence (8) still holds. We start with an element from $C_l \wr \mathfrak{S}_{n-1}$ written as a three-row matrix:

$$\begin{pmatrix} \mathrm{Id} \\ w \\ \epsilon \end{pmatrix} = \begin{pmatrix} 1 \cdots i \cdots n - 1 \\ x_1 \cdots x_i \cdots x_{n-1} \\ \epsilon_1 \cdots \epsilon_i \cdots \epsilon_{n-1} \end{pmatrix}.$$

1. If $1 \leq i \leq n-1$ and $\epsilon_i = 0$ form:

Id	=	1	•••	i	• • •	n-1	n
w'	= 3	r_1	• • •	n	• • •	x_{n-1}	x_i
$\epsilon^{(0)}$	= (£1	•••	0	• • •	ϵ_{n-1}	0
$\epsilon^{(1)}$	= (£1	• • •	1	• • •	ϵ_{n-1}	l-1
$\epsilon^{(2)}$	= (ϵ_1	•••	2	• • •	ϵ_{n-1}	l-2
÷		÷	۰.	÷	۰.	:	÷
$\epsilon^{(l-1)}$	= (£1	• • •	l-1	• • •	ϵ_{n-1}	1

Note that $\epsilon_1^{(0)} + \epsilon_n^{(0)} = 0$, $\epsilon_1^{(j)} + \epsilon_n^{(j)} = l$ for $j = 1, 2, \ldots, l-1$. Furthermore, if x > i, then $\operatorname{fexc}(w', \epsilon^{(j)}) = \operatorname{fexc}(w, \epsilon)$ for $j = 0, 1, 2, \ldots, l-1$; but if $x_i \leq i$, then $\operatorname{fexc}(w', \epsilon^{(j)}) = \operatorname{fexc}(w, \epsilon) + l$ for $j = 0, 1, 2, \ldots, l-1$.

2. If
$$1 \le i \le n-1$$
 and $\epsilon_i = j$ with $1 \le j \le l-1$ form:
Id = $1 \cdots i \cdots n-1 n$
 $w' = x_1 \cdots n \cdots x_{n-1} x_i$
 $\phi^{(1)} = \epsilon_1 \cdots 1 \cdots \epsilon_{n-1} j-1$
 $\phi^{(2)} = \epsilon_1 \cdots 2 \cdots \epsilon_{n-1} j-2$
 $\vdots \vdots \cdots \vdots \cdots \vdots \vdots$
 $\phi^{(j)} = \epsilon_1 \cdots j + 1 \cdots \epsilon_{n-1} l-1$
 $\vdots \vdots \cdots \vdots \cdots \vdots \vdots$
 $\phi^{(l-1)} = \epsilon_1 \cdots l-1 \cdots \epsilon_{n-1} j+1$
 $\phi^{(l)} = \epsilon_1 \cdots 0 \cdots \epsilon_{n-1} j$

Note that $\phi_i^{(h)} + \phi_n^{(h)} = h$ for h = 1, 2, ..., j, while $\phi_i^{(h)} + \phi_n^{(h)} = j + l$ for h = j + 1, ..., l - 1, l. Also, $\text{fexc}(w', \phi^{(h)}) = \text{fexc}(w, \epsilon)$ for h = 1, 2, ..., j, while $\text{fexc}(w', \phi^{(h)}) = \text{fexc}(w, \epsilon) + l$ for h = j + 1, ..., l - 1, l.

3. Finally, form

$$Id = 1 \cdots n - 1 \quad n$$
$$wn = x_1 \cdots x_{n-1} \quad n$$
$$\psi^{(0)} = \epsilon_1 \cdots \epsilon_{n-1} \quad 0$$
$$\psi^{(1)} = \epsilon_1 \cdots \epsilon_{n-1} \quad 1$$
$$\vdots \quad \vdots \quad \ddots \quad \vdots \quad \vdots$$
$$\psi^{(l-1)} = \epsilon_1 \cdots \epsilon_{n-1} \quad l-1$$

Then, $fexc(wn, \psi^{(h)}) = fexc(w, \epsilon) + h$ for h = 0, 1, 2, ..., l - 1.

Let $(w, \epsilon) \in C_l \wr \mathfrak{S}_{n-1}$ be such that $\operatorname{exc}(w, \epsilon) = a$ and ϵ is a rearrangement of $0^{b_0} 1^{b_1} \cdots (l-1)^{b_{l-1}}$, so that $\operatorname{fexc}(w, \epsilon) = l \cdot a + \sum_{j=1}^{l-1} j \cdot b_j$. When procedure 1 is applied to (w, ϵ) at each of the *a* excedances, it gives rise to $a \cdot l$ elements from $C_l \wr \mathfrak{S}_n$ having the same "fexc" as (w, ϵ) .

When procedure 2 is applied to each of the b_j biletters (x_i, ϵ_i) such that $\epsilon_i = j$, it gives rise to j elements from $C_l \wr \mathfrak{S}_n$ having the same "fexc" as (w, ϵ) . Let fexc $(w, \epsilon) = k$. Altogether, procedures 1 and 2 yield

$$l \times a + \sum_{j=1}^{l-1} j \cdot b_j = \text{fexc}(w, \epsilon) = k$$

elements (w', ϵ') from $C_l \wr \mathfrak{S}_n$ such that $(w', \epsilon') = k$.

Finally, procedure 3 yields $(wn, \psi^{(0)})$, which is another element such that $\operatorname{fexc}(wn, \psi^{(0)}) = k$. Altogether, each element from $C_l \wr \mathfrak{S}_{n-1}$, whose "fexc" is equal to k, exactly produces (k+1) elements from $C_l \wr \mathfrak{S}_n$ having an "fexc" equal to k.

Now, suppose that $\operatorname{fexc}(w, \epsilon) = k - l = l \cdot a + \sum_{j=1}^{l-1} j \cdot b_j$. Then, (w, ϵ) exactly has $n - 1 - a - b_1 - b_2 - \cdots - b_{l-1}$ biletters (x_i, ϵ_i) such that $x_i \leq i$ and $\epsilon_i = 0$. (Remember that a counts the excedances of (w, ϵ) .) When procedure 1 is applied to each of those biletters, it gives rise to l elements (w', ϵ') from $C_l \wr \mathfrak{S}_n$ such that $\operatorname{fexc}(w', \epsilon') = \operatorname{fexc}(w, \epsilon) + l = (k-l) + l = k$.

When procedure 2 is applied to a biletter (x_i, ϵ_i) such that $\epsilon_i = j \ge 1$, the (l - j) elements $(w', \phi^{(j+1)}), \ldots, (w', \phi^{(l-1)}), (w', \phi^{(l)})$ have their "fexc"'s equal to fexc $(w, \epsilon) + l = (k - l) + l = k$.

Altogether, procedures 1 and 2 yield

$$l(n-1-a-b_1-b_2-\dots-b_{l-1}) + \sum_{j=1}^{l-1} (l-j)b_j$$
$$= ln-l-al - \sum_{j=1}^{l-1} (l-j)b_j = ln-l - (k-l) = ln-k$$

elements (w', ϵ') from $C_l \wr \mathfrak{S}_n$ such that $\text{fexc}(w', \epsilon') = k$.

Finally, let $1 \le h \le l-1$ and suppose fexc $(w, \epsilon) = k-h$. By procedure 3 we have: fexc $(wn, \psi^{(h)}) = \text{fexc}(w, \epsilon) + h = k - h + h = k$, so that each such an element (w, ϵ) exactly produces one element, whose "fexc" is equal to k

References

[6] Dominique Foata; Guo-Niu Han, The decrease value theorem with an application to permutation statistics, 19 p.