

# RANDOM PERMUTATIONS AND BERNOULLI SEQUENCES

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ABSTRACT. — The so-called first fundamental transformation provides a natural combinatorial link between statistics involving cycle lengths of random permutations and statistics dealing with runs on Bernoulli sequences.

## 1. Introduction

Let  $(X_n)$  ( $n \geq 1$ ) be a sequence of independent Bernoulli random variables, the distribution of  $X_n$  being  $q_n\delta_0 + p_n\delta_1$  with  $0 < p_n < 1$  and  $q_n = 1 - p_n$ . For each  $n \geq 2$  let  $W_n$  denote the random variable  $W_n := X_n + \sum_{1 \leq k \leq n-1} X_k X_{k+1}$ . In other words,  $W_n$ , when applied to a sequence of 0's and 1's, is the number of 1's within the first  $(n-1)$  terms, which are themselves immediately followed by 1's, plus one whenever the  $n$ -th term is also equal to 1.

Next, let  $\mathcal{S}_n$  be the group of the permutations of the interval  $[1, n] = \{1, 2, \dots, n\}$ . If  $\sigma$  is such a permutation, let  $Z_n(\sigma)$  denote the number of *fixed points* of  $\sigma$ , i.e., the number of integers  $i$  such that  $1 \leq i \leq n$  and  $\sigma(i) = i$ . When the equidistribution is taken over  $\mathcal{S}_n$ , the distribution of the random variable  $Z_n$  is common knowledge. For example, the generating function for  $Z_n$  is known to be (see [FF] p. 262)  $G_{Z_n}(s) = \mathbf{E}[s^{Z_n}] = \sum_{k=0}^n (s-1)^k/k!$ , so that, when  $n$  tends to infinity,  $Z_n$  tends to a Poisson random variable with mean equal to 1 in distribution. When  $p_k = 1/k$  for  $k = 1, 2, \dots$ , the same limiting result holds for the sequence  $(W_n)$  ( $n \geq 1$ ), a result proved by several authors, Diaconis [D], Émery [E], Joffe, Marchand, Perron, Popadiuk [JMPP]. The latter authors also derive the distribution of  $W_n$  and notice that it is identical with the distribution

of  $Z_n$ . They obtain a further extension of this result when the fixed points of the permutations are only recorded until a fixed bound.

The variables  $W_n$  and  $Z_n$  are defined on two different probability spaces. A priori, there is no reason why their distributions should be equal, so Joffe [J] suggested that some intrinsic link be found to explain that equality. It is the purpose of this note to provide that link by using classical combinatorial techniques.

Assume that  $n$  is a fixed integer, also that  $p_k = 1/k$  for  $k = 1, 2, \dots, n$  and  $p_{n+1} = 1$ , thus  $X_{n+1} = 1$ . With this convention the variable  $W_n$  can be renamed as

$$W_{[11]} := \sum_{1 \leq k \leq n} X_k X_{k+1}.$$

In the same manner, let  $Z_{[1]}(\sigma)$  denote the number of fixed points of the permutation  $\sigma$  and let  $P$  be the equirepartition on the permutation group  $\mathcal{S}_n$ . Finally,  $Q$  will designate the probability measure of the vector  $(X_1, X_2, \dots, X_n)$ , that acts on the set  $\{0, 1\}^n$ .

Finding an intrinsic link boils down to defining a random variable  $Y$  such that the following diagramme is commutative.

$$\begin{array}{ccc} (\mathcal{S}_n, P) & \xrightarrow{Y} & (\{0, 1\}^n, Q) \\ & \searrow Z_{[1]} & \downarrow W_{[11]} \\ & & [0, n] \end{array}$$

This means that  $Z_{[1]} = W_{[11]} \circ Y$  and  $Q$  is the image of the measure  $P$  under  $Y$ , i.e.,  $Q = P \circ Y^{-1}$ . The variable  $Y$  is defined by means of a classical bijection on the permutation group, referred to as the *first fundamental transformation* (see [L], p. 186–188).

The construction of the first fundamental transformation is recalled in the next section. Then, the variable  $Y$  is defined in section 3 and shown to have the properties described in the previous diagramme. In section 4 another variable  $Y_B$  is defined that takes the extension proposed by Joffe and his coauthors [JMPP] into account. We conclude the note by calculating various generating functions relevant to the problem under study.

## 2. The first fundamental transformation

Let  $\sigma$  be a permutation of order  $n$  having  $r$  orbits  $I_1, I_2, \dots, I_r$  [accordingly,  $r$  cycles and we write  $\text{cyc } \sigma = r$ ]. The orbits are numbered in such a way that  $\max I_1 < \max I_2 < \dots < \max I_r$ . For each  $j = 1, 2, \dots, r$

let  $|I_j|$  be the the cardinality of  $I_j$  and let  $\check{\sigma}_j$  be the *initially dominated* word

$$\check{\sigma}_j := \sigma^{|I_j|}(\max I_j), \sigma^{|I_j|-1}(\max I_j), \dots, \sigma^2(\max I_j), \sigma(\max I_j).$$

Notice that  $\sigma^{|I_j|}(\max I_j) = \max I_j$  and the word  $\check{\sigma}_j$  contains all the elements of  $I_j$  once and only once. To the permutation  $\sigma$  we now associate the juxtaposition product:  $\check{\sigma} := \check{\sigma}_1 \check{\sigma}_2 \dots \check{\sigma}_r$ .

*Example.* — Consider the permutation:

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ 8 & 5 & 7 & 4 & 3 & 9 & 2 & 6 & 1 \end{pmatrix}.$$

The orbits of  $\sigma$  written in increasing order according to their maxima (in boldface) are:

$$I_1 = \{4\}, \quad I_2 = \{2, 3, 5, \mathbf{7}\}, \quad I_3 = \{1, 6, 8, \mathbf{9}\}.$$

Hence,  $\check{\sigma}_1 = \sigma(\mathbf{4}) = \mathbf{4}$ ;  $\check{\sigma}_2 = \sigma^4(\mathbf{7}), \sigma^3(\mathbf{7}), \sigma^2(\mathbf{7}), \sigma(\mathbf{7}) = \mathbf{7}, 3, 5, 2$ ;  
 $\check{\sigma}_3 = \sigma^4(\mathbf{9}), \sigma^3(\mathbf{9}), \sigma^2(\mathbf{9}), \sigma(\mathbf{9}) = \mathbf{9}, 6, 8, 1$  and

$$\check{\sigma} = \mathbf{4}, \mathbf{7}, 3, 5, 2, \mathbf{9}, 6, 8, 1.$$

Actually, the map  $\sigma \mapsto \check{\sigma}$  is a bijection of  $\mathcal{S}_n$  onto the set  $R_n$  of all the rearrangements of the word  $1, 2, \dots, n$  and referred to as the *first fundamental transformation*. The inverse bijection is defined as follows: start with a rearrangement  $\tau$  of the word  $1, 2, \dots, n$ ; cut the word  $\tau$  before each *upper record*, that is, before each letter greater than all the letters located *on its left*. The factors of  $\tau$  obtained in that way are *initially dominated*, in the sense that they all begin with their greatest letters. Moreover, the subword of  $\tau$  consisting of the first letters of those factors is *increasing*. The sequence of those factors is called the *increasing factorization* of  $\tau$  in *initially dominated words*. The factorization is unique.

Once the factors of the factorisation are given, the cycles of the permutation  $\sigma$  such that  $\check{\sigma} = \tau$  can be reconstructed. In the foregoing example the upper records of  $\check{\sigma}$  are  $\mathbf{4}, \mathbf{7}, \mathbf{9}$ , so that the cycles of  $\sigma$  can be reconstructed as:  $\mathbf{4} \leftarrow \mathbf{4}$ ;  $\mathbf{7} \leftarrow 3 \leftarrow 5 \leftarrow 2 \leftarrow \mathbf{7}$ ;  $\mathbf{9} \leftarrow 6 \leftarrow 8 \leftarrow 1 \leftarrow \mathbf{9}$ .

Now juxtapose the symbol  $\infty$  to the right of  $\check{\sigma} = \check{\sigma}(1)\check{\sigma}(2)\dots\check{\sigma}(n)$  and let  $\check{\sigma}(n+1) := \infty$ . The word  $\check{\sigma}\infty = \check{\sigma}(1)\check{\sigma}(2)\dots\check{\sigma}(n)\check{\sigma}(n+1)$  gets one more upper record. A careful study of the previous construction yields the following property.

**Property 1.** *The element  $k$  ( $1 \leq k \leq n$ ) is an orbit maximum of  $\sigma$  if and only if  $k$  is an upper record in  $\check{\sigma}$ . For each  $k = 1, 2, \dots, n$  there is a one-to-one correspondence between the cycles of  $\sigma$ , of length  $k$ , and the factors  $\check{\sigma}(i)\check{\sigma}(i+1)\dots\check{\sigma}(i+k)$ , of length  $(k+1)$ , of the word  $\check{\sigma}\infty$ , such that  $\check{\sigma}(i)$  and  $\check{\sigma}(i+k)$  are the only upper records of the factor. Finally, the number,  $\text{cyc } \sigma$ , of cycles of  $\sigma$  is equal to the number of upper records of the word  $\check{\sigma}$ .*

The above property is an immediate consequence of the construction of the first fundamental transformation. Using the previous example we see that **4**, **7**, **9** are the orbit maxima of  $\sigma$  and the upper records of  $\check{\sigma}$ . Moreover, the fixed point **4** corresponds to the factor **4,7**, where **4** and **7** are upper records. The cycles of length 4 correspond to the **7, 3, 5, 2, 9** and **9, 6, 8, 1,  $\infty$** , both of length 5.

### 3. Construction of the random variable $Y$

Consider a word  $\tau\infty$  belonging to  $R_n\infty$ , i.e., a rearrangement of the word  $1, 2, \dots, n$  to the right of which the letter  $\infty$  has been juxtaposed. Replace each upper record of  $\tau$  by the letter 1 and the other letters by 0. This yields a word belonging to  $1\{0, 1\}^{n-1}1$ , that is to say, a word, of length  $(n+1)$ , whose letters belong to the alphabet  $\{0, 1\}$ , beginning and ending with 1. Let  $\psi(\tau\infty)$  denote the binary word derived in this manner.

As, by convention,  $X_{n+1} \equiv 1$ , it makes sense to introduce the random variables

$$W_{[10^i 1]} := \sum_{1 \leq k \leq n-i} X_k(1 - X_{k+1})(1 - X_{k+2}) \cdots (1 - X_{k+i})X_{k+i+1},$$

for  $i = 0, 1, \dots, n$ , all defined on  $\{0, 1\}^n$ . The variable  $W_{[10^i 1]}$  enumerates the factor of the form  $10^i 1$  in a sequence belonging to  $1\{0, 1\}^{n-1}1$ . For  $i = 0$  we get back the variable  $W_{[11]}$  that enumerates the factors of the form  $11$ . In a similar way, for  $k = 1, 2, \dots, n$  and for each permutation  $\sigma$  of order  $n$  let  $Z_{[k]}(\sigma)$  designate the number of cycles of  $\sigma$  of length  $k$ .

**Proposition 2.** *The map  $\sigma \mapsto \psi(\check{\sigma}\infty)$  is a surjection of the permutation group  $\mathcal{S}_n$  onto the set  $1\{0, 1\}^{n-1}1$  having the property that*

$$Z_{[k]}(\sigma) = W_{[10^{k-1} 1]}(\psi(\check{\sigma}\infty)).$$

*holds for each  $k = 1, 2, \dots, n$ .*

From all that has been said above the proposition is evident. What now matters, for every word  $v = x_1 x_2 \dots x_n x_{n+1} \in 1\{0, 1\}^{n-1}1$ , is to determine the number of permutations  $\sigma$  such that  $\psi(\check{\sigma}\infty) = v$  or, in an equivalent

manner, the number of rearrangements  $\tau = y_1 y_2 \dots y_n$  of the word  $12 \dots n$  such that  $\psi(\tau\infty) = v$ .

As this number depends on  $n$  and the sequence  $1 = i_r < i_{r-1} < \dots < i_2 < i_1 < i_0 = n+1$  of the integers  $i$  such that  $x_i = 1$ , denote this number by  $N(n; i_r, \dots, i_1, i_0)$ . The enumeration is trivial for  $n = 1$ . Assume  $n \geq 2$ .

If  $i_1 = 1$  (the word  $v$  is simply  $10 \dots 01$ ), all the suitable words  $\tau$  are the rearrangements of  $1, 2, \dots, n$  beginning with  $n$ . There are  $(n-1)!$  such rearrangements, so that  $N(n; 1, n+1) = (n-1)!$

If  $2 \leq i_1 \leq n$ , then  $x_{i_1} = 1$ . In all the suitable rearrangements  $\tau = y_1 y_2 \dots y_n$  we have  $y_{i_1} = n$  and the right factor  $y_{i_1+1} y_{i_1+2} \dots y_n$ , of length  $(n - i_1)$ , is made of distinct letters taken from  $\{1, 2, \dots, n-1\}$ . There are  $(n-1)(n-2) \dots (n-1-(n-i_1)+1) = (n-1)(n-2) \dots i_1$  possible factors, so that the following formula holds:

$$N(n; i_r, \dots, i_2, i_1, n+1) = N(i_1 - 1; i_r, \dots, i_2, i_1) \times i_1 \dots (n-2)(n-1);$$

and by induction on  $r$

$$\begin{aligned} N(n; i_r, \dots, i_2, i_1, n+1) \\ = N(i_2 - 1; i_r, \dots, i_3, i_2) \times i_2 \dots (i_1 - 2) \times i_1 \dots (n-2)(n-1); \end{aligned}$$

and also

$$N(n; i_r, \dots, i_2, i_1, n+1) = \frac{n!}{(i_{r-1} - 1) \dots (i_2 - 1)(i_1 - 1)(i_0 - 1)},$$

a formula that holds in all the cases.

We can then write

$$\begin{aligned} & \frac{N(n; i_r, \dots, i_2, i_1, n+1)}{n!} \\ &= \frac{1}{1} \frac{1}{2} \dots \frac{i_{r-1} - 2}{i_{r-1} - 1} \frac{1}{i_{r-1}} \frac{i_{r-1}}{i_{r-1} + 1} \dots \frac{i_2 - 2}{i_2 - 1} \frac{1}{i_2} \frac{i_2}{i_2 + 1} \dots \\ & \quad \times \dots \frac{i_1 - 2}{i_1 - 1} \frac{1}{i_1} \frac{i_1}{i_1 + 1} \dots \frac{n-1}{n}. \\ &= \mathbb{Q}\{X_1 = 1\} \mathbb{Q}\{X_2 = 0\} \dots \\ & \quad \times \mathbb{Q}\{X_{i_{r-1}-1} = 0\} \mathbb{Q}\{X_{i_{r-1}} = 1\} \mathbb{Q}\{X_{i_{r-1}+1} = 0\} \dots \\ & \quad \times \mathbb{Q}\{X_{i_1-1} = 0\} \mathbb{Q}\{X_{i_1} = 1\} \mathbb{Q}\{X_{i_1+1} = 0\} \dots \mathbb{Q}\{X_n = 0\}. \end{aligned}$$

Now define

$$Y(\sigma) := \psi(\sigma\infty).$$

The previous calculation shows that

$$\mathbb{P}\{Y = v\} = \frac{N(n; i_r, \dots, i_2, i_1, n+1)}{n!} = \mathbb{Q}\{v\},$$

so that, when  $\mathbb{P}$  is the equirepartition on  $\mathcal{S}_n$ , the distribution of  $Y$  is the probability measure  $\mathbb{Q}$ . Moreover Proposition 2 implies the following result.

**Proposition 3.** *The two vectors  $(Z_{[1]}, Z_{[2]}, \dots, Z_{[n]})$  on  $(\mathcal{S}_n, P)$  and  $(W_{[11]}, W_{[101]}, \dots, W_{[10^{n-1}1]})$  on  $(\{0, 1\}^n, Q)$  are equally distributed.*

#### 4. Construction of the variable $Y_B$

Joffe and his coauthors [JMPP] propose the following extension that we will further extend: given an integer  $B + n$  such that  $B \geq 0$  and  $n \geq 1$  consider the permutation group  $\mathcal{S}_{B+n}$  of the permutations of order  $(B+n)$ . Given such a permutation  $\sigma$ , the following operations are successively made: if  $\sigma(B+n) = B+n$ , put this cycle of length 1 aside. Otherwise, set  $\sigma^2(B+n)$ ,  $\sigma^3(B+n)$ ,  $\dots$ , until, for the first time,  $(B+n)$  occurs. The cycle containing  $(B+n)$  is then obtained. Start again with the greatest integer, less than  $(B+n)$ , not occurring in the cycle already constructed. Continue this procedure until  $n$  integers have been extracted. The purpose is to study the distribution of the random vector  $(Z_{[1]}, Z_{[2]}, \dots, Z_{[n]})$  on the set of cycles that have been extracted, the last one being possibly incomplete.

To avoid any kind of ambiguity let us re-describe the construction by saying that we make up a sequence of length  $n$  in the following way: if  $\sigma(B+n) = B+n$ , take  $\sigma(B+n)$  as the rightmost letter of that sequence. Otherwise, form  $\sigma(B+n)$ ,  $\sigma^2(B+n)$ , then  $\sigma^3(B+n)$ ,  $\dots$ , until, for the first time,  $(B+n)$  occurs. Then,  $(B+n), \dots, \sigma^2(B+n), \sigma(B+n)$  becomes the rightmost factor of that sequence. Start again with the greatest integer, say  $m$ , that does not occur in the cycle and form the factor  $m, \dots, \sigma^2(m), \sigma(m)$ , that is inserted to the left of the previous factor. The procedure is stopped when, for the first time, the length of the sequence is equal to  $n$ .

This procedure is nothing but the construction of the word

$$\check{\sigma} = \check{\sigma}(1)\check{\sigma}(2)\dots\check{\sigma}(B+n)$$

defined in section 2, constructed from right to left, that is stopped as soon as  $n$  letters have been obtained, that is, as soon as the right factor  $\check{\sigma}(B+1)\check{\sigma}(B+2)\dots\check{\sigma}(B+n)$ , of length  $n$ , has been obtained. Studying the distribution of the random vector  $(Z_{[1]}, Z_{[2]}, \dots, Z_{[n]})$  on the cycles which are actually extracted is equivalent to studying the distribution of the random vector  $(\check{Z}_{[1]}, \check{Z}_{[2]}, \dots, \check{Z}_{[n]})$ , where for each  $k = 1, 2, \dots, n$  the  $k$ -th term  $\check{Z}_{[k]}(\sigma)$  designates the number of factors  $\check{\sigma}(i)\check{\sigma}(i+1)\dots\check{\sigma}(i+k)$  of the word  $\check{\sigma}\infty$ , of length  $(k+1)$ , such that  $i \geq B+1$  and such that the letters  $\check{\sigma}(i)$  and  $\check{\sigma}(i+k)$  are the only upper records of the factor.

As before, replace the upper records of  $\check{\sigma}\infty$  by 1's and the other letters by 0's. This yields a word belonging to  $1\{0, 1\}^{B+n-1}1$ . Let  $\psi_B(\check{\sigma}\infty)$  denote its right factor of length  $(n+1)$ , which is then a word belonging to  $\{0, 1\}^n 1$ .

Again, consider the sequence  $(X_n)$  ( $n \geq 1$ ) of independent Bernoulli random variables, such that for each  $n = 1, 2, \dots$  the distribution of  $X_n$  is  $(1 - 1/n)\delta_0 + (1/n)\delta_1$ . Denote the probability measure of the random vector  $(X_{B+1}, X_{B+2}, \dots, X_{B+n})$  by  $Q^{(B)}$  and assume that the variables  $W_{[10^i 1]}$  are this time defined with a shift of  $B$  units, that is,

$$W_{[10^i 1]} := \sum_{B+1 \leq k \leq B+n-i} X_k (1 - X_{k+1})(1 - X_{k+2}) \cdots (1 - X_{k+i}) X_{k+i+1},$$

where, by convention,  $X_{B+n+1} \equiv 1$ . Then, the analog of Proposition 2 rewrites as follows.

**Proposition 4.** *The map  $\sigma \mapsto \psi_B(\check{\sigma}\infty)$  is a surjection of the group  $\mathcal{S}_{B+n}$  onto the set  $\{0, 1\}^n 1$ , such that for each  $k = 1, 2, \dots, n$  the following identity holds:*

$$\check{Z}_{[k]}(\sigma) = W_{[10^{k-1} 1]}(\psi_B(\check{\sigma}\infty)).$$

For each word  $v = x_1 x_2 \dots x_n x_{n+1} \in \{0, 1\}^n 1$  let us evaluate the number of permutations  $\sigma$  such that  $\psi_B(\check{\sigma}\infty) = v$ . This number depends on  $B + n$  and on the sequence  $1 \leq i_r < i_{r-1} < \dots < i_2 < i_1 < i_0 = n + 1$  of the integers  $i$  such that  $x_i = 1$ . This number will be denoted by  $N(B + n; i_r, \dots, i_1, i_0)$ . Notice that this time  $1 \leq i_r$  holds instead of  $1 = i_r$  in the case  $B = 0$ . As

$$N(B + i_r; i_r) = B! B(B + 1) \cdots (B + i_r - 2),$$

we get the evaluation

$$\begin{aligned} N(B + n; i_r, \dots, i_2, i_1, n + 1) \\ = B! B \cdots (B + i_r - 2)(B + i_r) \cdots (B + i_{r-1} - 2)(B + i_{r-1}) \cdots \\ \times \cdots (B + i_1 - 2)(B + i_1) \cdots (B + n - 2)(B + n - 1). \end{aligned}$$

We can then write

$$\begin{aligned} & \frac{N(B + n; i_r, \dots, i_2, i_1, n + 1)}{(B + n)!} \\ &= \frac{B}{B + 1} \frac{B + 1}{B + 2} \cdots \frac{B + i_r - 2}{B + i_r - 1} \frac{1}{B + i_r} \frac{B + i_r}{B + i_r + 1} \cdots \\ & \quad \cdots \times \frac{B + i_{r-1} - 2}{B + i_{r-1} - 1} \frac{1}{B + i_{r-1}} \frac{B + i_{r-1}}{B + i_{r-1} + 1} \cdots \\ & \quad \cdots \times \frac{B + i_1 - 2}{B + i_1 - 1} \frac{1}{B + i_1} \frac{B + i_1}{B + i_1 + 1} \cdots \\ & \quad \cdots \times \frac{B + n - 3}{B + n - 2} \frac{B + n - 2}{B + n - 1} \frac{B + n - 1}{B + n}. \end{aligned}$$

$$\begin{aligned}
&= \mathbb{Q}\{X_{B+1} = 0\} \mathbb{Q}\{X_{B+2} = 0\} \cdots \\
&\quad \cdots \times \mathbb{Q}\{X_{B+i_r-1} = 0\} \mathbb{Q}\{X_{B+i_r} = 1\} \mathbb{Q}\{X_{B+i_r+1} = 0\} \cdots \\
&\quad \cdots \times \mathbb{Q}\{X_{B+i_{r-1}-1} = 0\} \mathbb{Q}\{X_{i_{r-1}} = 1\} \mathbb{Q}\{X_{i_{r-1}+1} = 0\} \cdots \\
&\quad \cdots \times \mathbb{Q}\{X_{B+i_1-1} = 0\} \mathbb{Q}\{X_{B+i_1} = 1\} \mathbb{Q}\{X_{B+i_1+1} = 0\} \cdots \\
&\quad \cdots \times \mathbb{Q}\{X_{B+n-2} = 0\} \mathbb{Q}\{X_{B+n-1} = 0\} \mathbb{Q}\{X_{B+n} = 0\}.
\end{aligned}$$

Then, define

$$Y_B(\sigma) := \psi_B(\check{\sigma}\infty).$$

The previous calculation shows that, when the equirepartition  $P$  is taken over the group  $\mathcal{S}_n$ , the distribution of the variable  $Y_B$  is, indeed, the probability measure  $\mathbb{Q}^{(B)}$ . Moreover, Proposition 4 implies the following result.

**Proposition 5.** *The two vectors  $(\check{Z}_{[1]}, \check{Z}_{[2]}, \dots, \check{Z}_{[n]})$  on  $(\mathcal{S}_{B+n}, P)$  and  $(W_{[11]}, W_{[101]}, \dots, W_{[10^{n-1}1]})$  on  $(\{0, 1\}^n, \mathbb{Q}^{(B)})$  are equally distributed.*

## 5. The generating functions

The distribution of the vector  $(Z_{[1]}, Z_{[2]}, \dots, Z_{[n]})$  (in the case  $B = 0$ ) is a classic (see, e.g., [R] p. 68). It can be expressed in three different ways. First, the generating function for the vector is simply

$$(5.1) \quad G_n = \sum \frac{1}{k_1! k_2! \cdots k_n!} \left(\frac{t_1}{1}\right)^{k_1} \left(\frac{t_2}{2}\right)^{k_2} \cdots \left(\frac{t_n}{n}\right)^{k_n},$$

where the sum is over all sequences  $(k_1, k_2, \dots, k_n)$  on nonnegative integers such that  $k_1 + 2k_2 + \cdots + nk_n = n$ . This formula (valid for every  $n \geq 0$ ) is still *equivalent* to the exponential identity

$$(5.2) \quad \sum_{n \geq 0} G_n u^n = \exp(ut_1 + u^2 \frac{t_2}{2} + u^3 \frac{t_3}{3} + \cdots),$$

or still equivalent to the induction formula (that can be obtained by taking the derivative of the previous identity)

$$(5.3) \quad G_{n+1} = \frac{1}{n+1} \sum_{k=0}^n t_{k+1} G_{n-k}.$$

By Proposition 3 the above  $G_n$  is also the generating function for the vector  $(W_{[11]}, W_{[101]}, \dots, W_{[10^{n-1}1]})$ . Notice that the generating function



$\sum_{k=0}^n (s-1)^k/k!$  for the variable  $Z_{[11]}$ , mentioned in the introduction, is a banal consequence of (5.3).

When  $B$  is positive, only the induction formula (5.3) can be extended. Again, let  $G_n$  denote the generating function for either the vector  $(\check{Z}_{[1]}, \check{Z}_{[2]}, \dots, \check{Z}_{[n]})$ , or the vector  $(W_{[11]}, W_{[101]}, \dots, W_{[10^{n-1}]})$ . We make the calculation for the latter one. By induction, the generating polynomial for the binary sequences of 0's and 1's ending with 1, of length  $(n+1)$ , ending with 1 is  $G_n \frac{t_1}{B+n+1}$ ; for those ending with 10  $G_{n-1} \frac{t_2}{B+n} \frac{B+n}{B+n+1}$ ;  $\dots$ ; for those of the form  $10^n$  et  $0^{n+1}$ , respectively

$$G_0 \frac{t_{n+1}}{B+1} \frac{B+1}{B+2} \dots \frac{B+n}{B+n+1} \quad \text{and} \quad \frac{B}{B+1} \frac{B+1}{B+2} \dots \frac{B+n}{B+n+1}.$$

This yields the analog of (5.3), that is,

$$(5.4) \quad G_{n+1} = \frac{1}{B+n+1} \left( \sum_{k=0}^n t_{k+1} G_{n-k} + B \right).$$

Now, when we plug  $t_1 := s$ ,  $t_k := 1$  for  $k \geq 2$  in the previous formula, we immediately obtain the generating polynomial for  $\check{Z}_{[1]}$  or for  $W_{[11]}$  in the form

$$(5.5) \quad \mathbf{E}^P[s^{\check{Z}_{[1]}}] = \mathbf{E}^{Q^{(B)}}[s^{W_{[11]}}] = \sum_{k=0}^n \frac{(s-1)^k}{(B+1)_k},$$

a formula that was derived by Joffe et al. [JMPP] by means of a probabilistic argument.

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