

# Any Hopf algebra fibers over an affine variety of the same dimension

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# Introduction 1

This lecture is based on joint work with **Eli Aljadeff** (Technion, Haifa) and with **Akira Masuoka** (University of Tsukuba)

- E. ALJADJEFF, C. KASSEL, *Polynomial identities and noncommutative versal torsors*, Adv. Math. 218 (2008), 1453–1495
- C. KASSEL, A. MASUOKA, *Flatness and freeness properties of the generic Hopf Galois extensions*, Rev. Un. Mat. Argentina 51:1 (2010), 79–94  
(see also arXiv:0911.3719)

# Introduction 2

Fix a field  $k$  and let  $H$  be a **Hopf algebra** over  $k$

- Aljadeff and I constructed an  $H$ -**Galois extension**  $\mathcal{B}_H \subset \mathcal{A}_H$  whose algebra of coinvariants  $\mathcal{B}_H$  is **central** in  $\mathcal{A}_H$
- This Galois extension possesses the following properties:
  - ▶ The commutative algebra  $\mathcal{B}_H$  is a **domain** of Krull dimension  $\leq \dim_k H$
  - ▶ There is a maximal ideal  $\mathfrak{m}_0$  of  $\mathcal{B}_H$  such that

$$\mathcal{A}_H/\mathfrak{m}_0\mathcal{A}_H \cong H$$

as  $H$ -comodule algebras

- ▶ Under some **Condition (FP)** [see below], for any maximal ideal  $\mathfrak{m}$  of  $\mathcal{B}_H$ , the  $H$ -comodule algebra  $\mathcal{A}_H/\mathfrak{m}\mathcal{A}_H$  is a **form** of  $H$ , meaning that  $\mathcal{A}_H/\mathfrak{m}\mathcal{A}_H$  and  $H$  become isomorphic after extension of scalars to the algebraic closure of the field  $\mathcal{B}_H/\mathfrak{m}$
- ▶ Conversely, any form of the  $H$ -comodule algebra  $H$  can be obtained in this way

Thus,  $\mathcal{B}_H \subset \mathcal{A}_H$  is a **(weak) moduli space** for forms of  $H$

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# Summary of Part I

- In geometric terms,  $\mathcal{B}_H \subset \mathcal{A}_H$  is a quantum principal fiber bundle with “structural group”  $H$  over the domain  $\mathcal{B}_H$

Under Condition (FP) the fibers of this bundle are forms of  $H$ , and all forms of  $H$  are isomorphic to such fibers

- In Part I
  - \* we recall how to construct the domain  $\mathcal{B}_H$
  - \* we state Condition (FP)
  - \* we give examples for which the algebra  $\mathcal{B}_H$  has been determined
  - \* we state a simple open problem

# Summary of Part II

- Masuoka and I determined **classes of Hopf algebras** for which Condition (FP) is verified
- In **Part II** we show that Condition (FP) is verified for all
  - \* **finite-dimensional** Hopf algebras
  - \* **commutative** Hopf algebras
  - \* **cocommutative Hopf** algebras
  - \* **pointed** Hopf algebras whose group-like elements are of **finite order**



# Summary of Part III

- There is a version of the previous constructions starting from a Hopf algebra  $H$  together with a **two-cocycle**

$$\alpha : H \times H \rightarrow k$$

In an analogous way we obtain a (weak) moduli space for the forms of any given **cleft Galois object** of  $H$

- In Part III we show how to **reduce** this more general case to the one considered in Parts I & II

# Plan

I. The algebra  $\mathcal{B}_H$  and Condition (FP)

II. Joint results with Masuoka

III. Twisting with a cocycle

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# A construction by Takeuchi (1971)

- Let  $C$  be a **coalgebra** with coproduct  $\Delta : C \rightarrow C \otimes C$  and counit  $\varepsilon : C \rightarrow k$

Let  $t_C$  be a **copy** of the underlying vector space of  $C$  and let  $x \mapsto t_x$  denote the identity map from  $C$  to  $t_C$

- Consider the **symmetric algebra**  $\text{Sym}(t_C)$  over the vector space  $t_C$ : if  $\{x_i\}_{i \in I}$  is a linear basis of  $C$ , then  $\text{Sym}(t_C)$  is a **polynomial algebra** over the symbols  $\{t_{x_i}\}_{i \in I}$

- There is a unique linear map  $x \mapsto t_x^{-1}$  from  $C$  to the fraction field  $\text{Frac Sym}(t_C)$  of  $\text{Sym}(t_C)$  such that for all  $x \in C$ ,

$$\sum_{(x)} t_{x_1} t_{x_2}^{-1} = \varepsilon(x) 1 = \sum_{(x)} t_{x_1}^{-1} t_{x_2}$$

where  $\Delta(x) = \sum_{(x)} x_1 \otimes x_2$  (Sweedler's notation)

- Definition.** Let  $\mathcal{S}_C$  be the **subalgebra** of  $\text{Frac Sym}(t_C)$  generated by  $t_x$  and  $t_x^{-1}$ , ( $x \in C$ ):

$$\mathcal{S}_C = \text{Sym}(t_C)[t_x^{-1} \mid x \in C]$$

# The algebra $\mathcal{B}_H$ and Condition (FP)

Now let  $C = H$  be a **Hopf algebra**

- For  $x, y \in H$  consider the following elements of  $\mathcal{S}_H$ :

$$\sigma(x, y) = \sum_{(x)(y)} t_{x_1} t_{y_1} t_{x_2 y_2}^{-1} \quad \text{and} \quad \sigma^{-1}(x, y) = \sum_{(x)(y)} t_{x_1 y_1} t_{x_2}^{-1} t_{y_2}^{-1}$$

- **Definition (AK, 2008).** Let  $\mathcal{B}_H$  be the **subalgebra** of  $\mathcal{S}_H$  generated by all elements  $\sigma(x, y)$  and  $\sigma^{-1}(x, y)$
- Since  $\mathcal{B}_H \subset \mathcal{S}_C \subset \text{Frac Sym}(t_H)$ , the commutative algebra  $\mathcal{B}_H$  is a **domain** and its **Krull dimension** does not exceed  $\dim_k H$
- We now state the above-mentioned Condition (FP):

**Condition (FP):** As a  $\mathcal{B}_H$ -module,  $\mathcal{S}_H$  is **faithfully flat**

This means that a sequence  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  of  $\mathcal{B}_H$ -modules is exact if and only if the induced sequence

$$0 \rightarrow \mathcal{S}_H \otimes_{\mathcal{B}_H} M' \rightarrow \mathcal{S}_H \otimes_{\mathcal{B}_H} M \rightarrow \mathcal{S}_H \otimes_{\mathcal{B}_H} M'' \rightarrow 0$$

of  $\mathcal{S}_H$ -modules is exact

# First example: group algebras

- The algebra  $H = kG$  of a **group**  $G$  is a Hopf algebra with

$$\Delta(g) = g \otimes g \quad \text{and} \quad \varepsilon(g) = 1 \quad (g \in G)$$

- In this case,  $t_g^{-1} = 1/t_g$  for all  $g \in G$  and

$$S_H = k[t_g, t_g^{-1} \mid g \in G]$$

is an algebra of **Laurent polynomials**

- The algebra  $\mathcal{B}_H$  is the **subalgebra** generated by the elements

$$\sigma(g, h) = \frac{t_g t_h}{t_{gh}} \quad \text{and} \quad \sigma^{-1}(g, h) = \frac{t_{gh}}{t_g t_h} \quad (g, h \in G)$$

- From this description of  $S_H$  and  $\mathcal{B}_H$ , it is easy to check that when  $G$  is **finite**, then the algebra  $S_H$  is **integral** over  $\mathcal{B}_H$ , and Condition (FP) is satisfied

[We shall see later that Condition (FP) is satisfied for any group algebra and more generally for any cocommutative Hopf algebra]

# Special cases

- Let  $G = \mathbb{Z}$  be the **group of integers**. If  $y_m = t_m/t_1^m$  for each  $m \in \mathbb{Z}$ , then

$$\mathcal{B}_H = k[y_m, y_m^{-1} \mid m \in \mathbb{Z} - \{1\}]$$

is an algebra of **Laurent polynomials** and

$$\mathcal{S}_H = \mathcal{B}_H[t_1, t_1^{-1}]$$

As a  $\mathcal{B}_H$ -module,  $\mathcal{S}_H$  is **free** and Condition (FP) is satisfied

- If  $G = \mathbb{Z}/N$  is a **cyclic group** of order  $N \geq 2$ , then

$$\mathcal{B}_H = k[y_0^{\pm 1}, y_2^{\pm 1}, \dots, y_{N-1}^{\pm 1}, y_N^{\pm 1}]$$

where  $y_0, y_2, \dots, y_{N-1}$  are as above and  $y_N = t_0/t_1^N$ , and

$$\mathcal{S}_H = \mathcal{B}_H[t_1]/(t_1^N - y_0/y_N)$$

is an **integral** extension of  $\mathcal{B}_H$ . Condition (FP) is satisfied

## Second example: the Sweedler algebra

- The **Sweedler algebra** is the Hopf algebra

$$H = k\langle x, y \mid x^2 = 1, y^2 = 0, yx = -xy \rangle$$

with coproduct

$$\Delta(x) = x \otimes x \quad \text{and} \quad \Delta(y) = 1 \otimes y + y \otimes x$$

It is **four-dimensional** with basis  $\{1, x, y, z\}$ , where  $z = xy$

- In this case,

$$\mathcal{S}_H = k[t_1, t_1^{-1}, t_x, t_x^{-1}, t_y, t_z]$$

and  $\mathcal{B}_H$  is generated by the elements  $e, e^{-1}, a, a^{-1}, b, c, d$  where

$$e = t_1, \quad a = t_x^2, \quad b = 2t_x t_y, \quad c = t_y^2, \quad d = t_z$$

- The algebra  $\mathcal{B}_H$  has the following **presentation** (AK, 2008):

$$\mathcal{B}_H \cong k[e^{\pm 1}, a^{\pm 1}, b, c, d]/(b^2 - 4ac)$$

The algebra  $\mathcal{S}_H$  is **integral** over  $\mathcal{B}_H$  and Condition (FP) is satisfied



# An open question

The reader is encouraged to solve the open problem below

- Let  $n$  be an integer  $\geq 2$ . The **Taft algebra**  $H_{n^2}$  is the algebra

$$H_{n^2} = k\langle x, y \mid x^n = 1, y^n = 0, yx = qxy \rangle$$

where  $q$  is a root of unity of order  $n$ . The Taft algebra  $H_4$  is **Sweedler's algebra**

It has a **Hopf algebra** structure with coproduct

$$\Delta(x) = x \otimes x \quad \text{and} \quad \Delta(y) = 1 \otimes y + y \otimes x$$

As a vector space, it is of dimension  $n^2$

- **Problem:** **Give a presentation** by generators and relations of the algebra  $\mathcal{B}_H$  when  $H = H_{n^2}$

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# Generators of the $\mathcal{B}_H$ -module $\mathcal{S}_H$

We start with an **observation**

**Lemma 1.** *As a  $\mathcal{B}_H$ -module,  $\mathcal{S}_H$  is generated by the elements  $t_x$  ( $x \in H$ )*

*Proof.*

- Let  $M$  be the  $\mathcal{B}_H$ -submodule generated by the elements  $t_x$  ( $x \in H$ ). The relation  $\sigma(x, y) = \sum_{(x)(y)} t_{x_1} t_{y_1} t_{x_2 y_2}^{-1}$  implies

$$t_x t_y = \sum_{(x)(y)} \sigma(x_1, y_1) t_{x_2 y_2}$$

Hence,  $t_x t_y \in M$  for all  $x, y \in H$

By an easy induction, any finite product of elements  $t_x$  belong to  $M$

- To prove that each  $t_x^{-1}$  belongs to  $M$ , we use the antipode  $S$  of  $H$  and the identities

$$t_1^{-1} = \sigma^{-1}(1, 1) \in \mathcal{B}_H$$

and

$$t_x^{-1} = \sum_{(x)} t_{S(x_1)} \sigma^{-1}(S(x_2), x_3) t_1^{-1}$$

# A Hopf algebra structure on $\mathcal{S}_H$

**Takeuchi (1971):** The algebra  $\mathcal{S}_H$  is a **commutative Hopf algebra** with coproduct  $\Delta$ , counit  $\varepsilon$ , and (involutive) antipode  $S$  given by

$$\Delta(t_x) = \sum_{(x)} t_{x_1} \otimes t_{x_2} \quad \text{and} \quad \Delta(t_x^{-1}) = \sum_{(x)} t_{x_2}^{-1} \otimes t_{x_1}^{-1}$$

$$\varepsilon(t_x) = \varepsilon(t_x^{-1}) = \varepsilon(x)$$

$$S(t_x) = t_x^{-1} \quad \text{and} \quad S(t_x^{-1}) = t_x$$

# A quotient of $\mathcal{S}_H$

- Inside  $\mathcal{S}_H$  consider the ideal  $(\mathcal{B}_H^+)$  generated by  $\mathcal{B}_H \cap \ker(\varepsilon)$ . It is easy to prove the following

**Proposition 1.** *There is an **isomorphism of commutative Hopf algebras***

$$\mathcal{S}_H/(\mathcal{B}_H^+) \cong H_{\text{ab}}$$

where  $H_{\text{ab}}$  is the largest commutative quotient of  $H$

As a consequence,  $\mathcal{S}_H$  is an  $H_{\text{ab}}$ -**comodule algebra**

- Using a result by Takeuchi (1979), we obtain the following

**Proposition 2.** *If Condition (FP) is satisfied, then  $\mathcal{B}_H$  is the **subalgebra of  $H_{\text{ab}}$ -coinvariants** of  $\mathcal{S}_H$ :*

$$\mathcal{B}_H = (\mathcal{S}_H)^{\text{co}-H_{\text{ab}}}$$

This result allows to identify  $\mathcal{B}_H$  inside  $\mathcal{S}_H$  (see examples below)

# Flatness

Now comes a first step towards Condition (FP)

- **Theorem 1.** *For any Hopf algebra  $H$ , the  $\mathcal{B}_H$ -module  $S_H$  is **flat***

By Masuoka and Wigner (1994), any commutative Hopf algebra is flat over any left coideal subalgebra. We apply their result to the following proposition.

- **Proposition 3.** *For any Hopf algebra  $H$ , the algebra  $\mathcal{B}_H$  is a **left coideal subalgebra** of the commutative Hopf algebra  $S_H$*

*Proof.* This is a consequence of the following identities:

$$\Delta(\sigma(x, y)) = t_{x_1} t_{y_1} t_{x_3 y_3}^{-1} \otimes \sigma(x_2, y_2)$$

and

$$\Delta(\sigma^{-1}(x, y)) = t_{x_1 y_1} t_{x_3}^{-1} t_{y_3}^{-1} \otimes \sigma^{-1}(x_2, y_2)$$

# Faithful flatness

We next list examples of Hopf algebras satisfying Condition (FP)

• **Theorem 2.** For any *cocommutative* Hopf algebra  $H$ , the  $\mathcal{B}_H$ -module  $\mathcal{S}_H$  is *faithfully flat*

*Proof.* If  $H$  is cocommutative, then so is  $\mathcal{S}_H$ . In this case the identities in the proof of Proposition 3 can be rewritten as

$$\Delta(\sigma(x, y)) = \sigma(x_1, y_1) \otimes \sigma(x_2, y_2)$$

and

$$\Delta(\sigma^{-1}(x, y)) = \sigma^{-1}(x_1, y_1) \otimes \sigma^{-1}(x_2, y_2)$$

Consequently,  $\mathcal{B}_H$  is a Hopf subalgebra of  $\mathcal{S}_H$ . We conclude by following Takeuchi who observed that any cocommutative Hopf algebra is faithfully flat over any Hopf subalgebra.

# Finite-dimensional Hopf algebras

Condition (FP) is satisfied for all finite-dimensional Hopf algebras as a consequence of the following

- **Theorem 3.** *If  $H$  is a **finite-dimensional** Hopf algebra, then the  $\mathcal{B}_H$ -module  $\mathcal{S}_H$  is **finitely generated projective***

- **Corollary 1.** *If  $H$  is a **finite-dimensional** Hopf algebra, then the Krull dimension of  $\mathcal{B}_H$  is equal to  $\dim_K H$*

In other words,  $\mathcal{B}_H \subset \mathcal{A}_H$  represents a **deformation** of  $H$  as an  $H$ -comodule algebra over an **affine algebraic variety** that has the same dimension as  $H$

- **Recall from above:** If  $H$  is Sweedler's four-dimensional algebra, then the spectrum of  $\mathcal{B}_H$  is a **quadric of dimension 4**



# Freeness

The following implies that Condition (FP) is satisfied for the Hopf algebras listed below (no condition is assumed on the dimension)

• **Theorem 4.** *The  $\mathcal{B}_H$ -module  $\mathcal{S}_H$  is **free** in each of the following cases:*

- (i)  *$H$  is **commutative***
- (ii)  *$H$  is **pointed and cocommutative***
- (iii)  *$H$  is **pointed** and the natural embedding  $kG(H) \rightarrow H$  **splits** as an algebra map*
- (iv)  *$H$  is **pointed** and each element of the kernel of the homomorphism*

$$G(H)_{\text{ab}} \rightarrow G(H_{\text{ab}})$$

*is of **finite order***

Here  $G(H)$  is the group of **group-like elements** of  $H$ :

$$G(H) = \{g \in H \mid \Delta(g) = g \otimes g \text{ and } \varepsilon(g) = 1\}$$

and  $G_{\text{ab}}$  is the largest abelian quotient of  $G$

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# Group algebras again

- Consider the Hopf algebra  $H = kG$ , where  $G$  is a **group**. We have

$$H_{\text{ab}} = kG_{\text{ab}}$$

- By Proposition 1, the **Laurent polynomial algebra**  $S_H$  is a  $kG_{\text{ab}}$ -comodule algebra. This means that the algebra  $S_H$  is **graded by the abelian group**  $G_{\text{ab}}$

Explicitly, if  $\bar{g}$  is the image of  $g \in G$  in  $G_{\text{ab}}$ , then the generator  $t_g^{\pm 1}$  of

$$S_H = k[t_g, t_g^{-1} \mid g \in G]$$

is of degree  $\pm \bar{g}$  (we write the composition law of  $G_{\text{ab}}$  additively)

- Since the Hopf algebra  $H = kG$  is cocommutative, Condition (FP) is satisfied by Theorem 2, and by Proposition 2 the subalgebra  $\mathcal{B}_H$  is the **degree 0 summand** of  $S_H$

In other words,  $\mathcal{B}_H$  is **spanned by the monomials**  $t_{g_1}^{\varepsilon_1} \cdots t_{g_k}^{\varepsilon_k}$  such that

$$\varepsilon_1 \bar{t}_{g_1} + \cdots + \varepsilon_k \bar{t}_{g_k} = 0 \text{ in } G_{\text{ab}}$$

# Function algebras

- Let  $G$  be a **finite group** and  $H = (kG)^*$  be the **dual** of the group algebra. It is a **commutative Hopf algebra** whose elements can be identified with scalar-valued functions on  $G$ . In this case,

$$\mathcal{S}_H = k[t_g \mid g \in G] \left[ \frac{1}{\Theta_G} \right]$$

where  $\Theta_G$  is the **Dedekind determinant** of  $G$ :

$$\Theta_G = \det (t_{gh^{-1}})_{g,h \in G}$$

- By Proposition 1, the algebra  $\mathcal{S}_H$  is a  $H$ -comodule algebra, which means that it is an algebra with a  **$G$ -action**. Explicitly,  $g \in G$  acts on  $t_h$  by

$$g \cdot t_h = t_{gh}$$

- Condition (FP) is satisfied by Theorem 3, and by Proposition 2 the subalgebra  $\mathcal{B}_H$  is the subalgebra of  **$G$ -invariant elements** of  $\mathcal{S}_H$ :

$$\mathcal{B}_H = (\mathcal{S}_H)^G = k[t_g \mid g \in G]^G \left[ \frac{1}{\Theta_G^2} \right]$$

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III. Twisting with a cocycle

References

# Twisted comodule algebras

Let  $H$  be a Hopf algebra

- A two-cocycle  $\alpha$  on  $H$  is a bilinear form  $\alpha : H \times H \rightarrow k$  such that

$$\sum_{(x)(y)} \alpha(x_1, y_1) \alpha(x_2 y_2, z) = \sum_{(y)(z)} \alpha(y_1, z_1) \alpha(x, y_2 z_2) \quad (x, y, z \in H)$$

We assume that  $\alpha$  is convolution-invertible and denote its inverse by  $\alpha^{-1}$

- Consider the “twisted”  $H$ -comodule algebra  ${}^\alpha H$  defined as follows:

(a) as a right  $H$ -comodule,  ${}^\alpha H = H$

(b) as an algebra it is equipped with the associative product

$$x \cdot_\alpha y = \sum_{(x)(y)} \alpha(x_1, y_1) x_2 y_2$$

All cleft Galois objects of  $H$  are of the form  ${}^\alpha H$

- If  $\alpha$  is the trivial cocycle  $\alpha(x, y) = \varepsilon(x)\varepsilon(y)$ , then  ${}^\alpha H = H$



# The algebra $\mathcal{B}_H^\alpha$

Let  $H$  be a **Hopf algebra** and  $\alpha$  a **two-cocycle** on  $H$

- For  $x, y \in H$  consider the following elements of  $\mathcal{S}_H$ :

$$\sigma_\alpha(x, y) = \sum_{(x)(y)} t_{x_1} t_{y_1} \alpha(x_2, y_2) t_{x_3 y_3}^{-1}$$

and

$$\sigma_\alpha^{-1}(x, y) = \sum_{(x)(y)} t_{x_1 y_1} \alpha^{-1}(x_2, y_2) t_{x_3}^{-1} t_{y_3}^{-1}$$

- **Definition.** Let  $\mathcal{B}_H^\alpha$  be the **subalgebra** of  $\mathcal{S}_H$  generated by all elements  $\sigma_\alpha(x, y)$  and  $\sigma_\alpha^{-1}(x, y)$
- **Remark.** If  $H$  is **cocommutative** or more generally if  $\alpha$  is **lazy**, i.e.

$$\sum_{(x)(y)} \alpha(x_1, y_1) x_2 y_2 = \sum_{(x)(y)} \alpha(x_2, y_2) x_1 y_1$$

for all  $x, y \in H$ , then  $\mathcal{B}_H^\alpha = \mathcal{B}_H$

# Reduction to the trivial cocycle

Let  $H$  be a Hopf algebra and  $\alpha$  a two-cocycle on  $H$

- Consider the **Hopf algebra**  $L = {}^\alpha H^{\alpha^{-1}}$  defined as follows:

(a) as a coalgebra,  $L = H$

(b) as an algebra, it is equipped with the **associative product**

$$x * y = \sum_{(x)(y)} \alpha(x_1, y_1) x_2 y_2 \alpha^{-1}(x_3, y_3).$$

- Proposition.** We have  $\mathcal{B}_H^\alpha = \mathcal{B}_L$  inside  $S(t_H)_\Theta$

- Remark.** If  $\alpha$  and  $\beta$  are **cohomologous** two-cocycles, then

$$\mathcal{B}_H^\alpha \cong \mathcal{B}_H^\beta$$

Hence by the remark on the previous slide, if a two-cocycle  $\alpha$  is **cohomologous to a lazy** two-cocycle, then

$$\mathcal{B}_H^\alpha \cong \mathcal{B}_H$$

Note that all two-cocycles on a **Taft algebra** (on the Sweedler algebra) are cohomologous to lazy two-cocycles

# Plan

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References

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THANK YOU FOR YOUR ATTENTION