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Construction and combinatorics
of perfect bases

I Perfect bases (mainly after Bernstein, Kazhdan, Zelevinsky)

1) Definition

$A = (a_{ij})_{i,j \in I}$ symmetrizable Cartan matrix

$\mathfrak{g}(A) = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+$ Kac-Moody algebra / \mathbb{C}

$U = U(\mathfrak{n}_+)$ presented by generators e_i ($i \in I$)

$$\text{relations } \sum_{p+q=1-a_{ij}} (-1)^p e_i^{(p)} e_j e_i^{(q)} = 0 \quad (i \neq j)$$

$$(e_i^{(n)}) = \frac{e_i^n}{n!} \text{ divided power}$$

graded by $Q_+ = \{ \sum n_i \alpha_i \mid n_i \in \mathbb{N} \} \subset \mathfrak{h}^*$ with $\deg e_i = \alpha_i$

(write $U = \bigoplus_{\nu \in Q_+} U_\nu$)

endowed with an involutive anti automorphism $x \mapsto x^\dagger$ which fixes the e_i .

Main problem: construct bases of U related to this presentation. Already a lot of work on this problem: Gelfand, Zelevinsky, Retakh, Bernstein, Muthieu, Lusztig, Kashiwara, Kazhdan, ...

$N = \exp \mathfrak{n}_+$ unipotent group with Lie algebra \mathfrak{n}_+ .

$R = \mathbb{C}[N]$ algebra of regular functions on N .

$$\cong U^* \text{ graded dual} \quad R = \bigoplus_{\nu \in Q_+} R_{-\nu}, \quad R_{-\nu} = (U_\nu)^*$$

\mathfrak{n}_+ acts by derivations on R (left invariant vector fields on N)

$\eta \mapsto \eta^\dagger$ the transpose of $x \mapsto x^\dagger$.

For $i \in I$ and $\eta \in R - \{0\}$, set $\varepsilon_i(\eta) = \max \{ n \in \mathbb{N} \mid e_i^n \eta \neq 0 \}$
$$e_i^{\sim \max} \eta = e_i^{(\varepsilon_i(\eta))} \cdot \eta.$$

Definition: A linear basis B of R is perfect if:

(P0) $1 \in B$

(P1) B is graded w.r.t the Q_+ -gradation

(P2) $\forall b \in B, \forall i \in I, \tilde{e}_i^{\max} b \in B$.

Further, $\forall n \in \mathbb{N}, \tilde{e}_i^{\max}$ is injective on $\{b \in B \mid \varepsilon_i(b) = n\}$.

(P3) B is stable under \dagger .

Observation: For $i \in I$ and $n \in \mathbb{N}$, set $K_{i,n} = \ker e_i^{n+1} \subset R$.

• B perfect basis $\Rightarrow \{b \in B \mid \varepsilon_i(b) \leq n\}$ basis of $K_{i,n}$.

(proof: let $\eta \in K_{i,n}$. Write $\eta = \sum_{b \in B} a_b b$, set $m = \max \{ \varepsilon_i(b) \mid a_b \neq 0 \}$.

If we had $m > n$, then we would have $0 = e_i^{(m)} \eta = \sum_{\substack{b \in B \\ \varepsilon_i(b) = m}} a_b \underbrace{\left(\tilde{e}_i^{\max} b \right)}_{\in B \text{ and pairwise } \neq}$ which is impossible.)

• $e_i^{(m)}$ induces a linear bijection $K_{i,m} / K_{i,m-1} \rightarrow K_{i,0}$

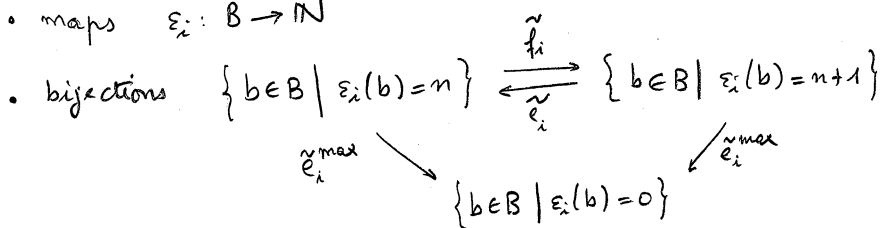
(proof of surjectivity: e_i is not a zero divisor in U)

so \tilde{e}_i^{\max} induces a bijection $\{b \in B \mid \varepsilon_i(b) = n\} \rightarrow \{b \in B \mid \varepsilon_i(b) = 0\}$.

Conclusion: Each perfect basis carries a combinatorial structure:

• a map $\text{wt}: B \rightarrow Q_-$

• maps $\varepsilon_i: B \rightarrow \mathbb{N}$



• the involution $b \mapsto b^\dagger$ (Kashiwara's involution $*$ / Lusztig's σ)

• for convenience, define $\varphi_i(b) = \varepsilon_i(b) + \langle \alpha_i^\vee, \text{wt } b \rangle$

and set $\tilde{e}_i b = 0$ if $\varepsilon_i(b) = 0$

2) Usefulness

$P_+ = \{ \text{dominant integral weights} \}$

$\lambda \in P_+ \longmapsto L(\lambda)$ irreducible integrable module with h.w. λ
 \downarrow
 v_λ h.w. vector

$\Psi_\lambda: L(\lambda) \hookrightarrow R$ the unique morphism of \mathfrak{n}_+ -modules that maps $v_\lambda \mapsto 1$

$W = \langle s_i, i \in I \rangle$ Weyl group

$\forall w \in W, \lambda \in P_+ \quad v_{w\lambda} \in L(\lambda)$ extremal weight vector (suitably normalized)

Flag minor: any element in R of the form $\Psi_\lambda(v_{w\lambda})$.

Proposition: Let B be a perfect basis of R . Then

- 1) B is compatible with all subspaces in Ψ_λ and contains all flag minors.
- 2) for each $\lambda \in P_+$, the basis $\Psi_\lambda^{-1}(B)$ of $L(\lambda)$ consists of weight vectors and is compatible with all subspaces $\ker e_i^{n+1}$ and $\ker f_i^{n+1}$.

Proof: Let $\lambda \in P_+$, set $n_i = \langle \alpha_i^\vee, \lambda \rangle$. Then $\text{im } \Psi_\lambda = \{ \eta \in R \mid \eta^+ \in \bigcap_{i \in I} K_{i, n_i} \}$.

Application: Let B be a perfect basis of R , let $\lambda, \mu, \nu \in P_+$. Then

$$\dim \text{Hom}_g(L(\lambda), L(\mu) \otimes L(\nu)) = \text{card} \{ b \in B \mid \text{wt } b = \mu + \nu - \lambda, \varepsilon_i(b) \leq \langle \alpha_i^\vee, \mu \rangle, \varepsilon_i(b^+) \leq \langle \alpha_i^\vee, \nu \rangle \}$$

Proof: $f \mapsto \Psi_\nu(f(v_\lambda \otimes v_\mu^*))$ enjoys bijectiveness $\text{Hom}_g(L(\lambda) \otimes L(\mu)^*, L(\nu))$
 $\text{sur } \{ \eta \in R \mid \text{wt}(\eta) = \mu + \nu - \lambda, \eta \in \bigcap_{i \in I} K_{i, \langle \alpha_i^\vee, \mu \rangle}, \eta^+ \in \bigcap_{i \in I} K_{i, \langle \alpha_i^\vee, \nu \rangle} \}$.

Theorem (Kashiwara): B perfect basis, $\lambda \in P_+$. The basis of $L(\lambda)$ dual to $\Psi_\lambda^{-1}(B)$ (w.r.t. a contravariant form) is compatible with the Demazure submodules.

(Reference: M. Kashiwara, The crystal base and Littelmann's refined Demazure character formula, §§ 3.1-3.2. Kashiwara proves this for the upper crystal basis, but the argument is general.)

3) Examples

Type A_1 : $N = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \mid x \in \mathbb{C} \right\} \quad \mathbb{C}[N] = \mathbb{C}[x]$
 $e = \frac{d}{dx} \quad B = \{x^n \mid n \in \mathbb{N}\} \quad (\text{exists and is unique})$

Type A_2 : $N = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \mid x, y, z \in \mathbb{C} \right\} \quad \mathbb{C}[N] = \mathbb{C}[x, y, z]$

$e_1 = \frac{\partial}{\partial x} \quad e_2 = \frac{\partial}{\partial y} + x \frac{\partial}{\partial z}$

Flag minors of $L(\omega_1)$: x, z

Flag minors of $L(\omega_2)$: $y, xy-z$

$B = \{x^a z^b (xy-z)^c \mid a, b, c \in \mathbb{N}\} \cup \{y^a z^b (xy-z)^c \mid a, b, c \in \mathbb{N}\}$
 (exists and is unique)

(One can check here that in this basis, e_1 and e_2 act with coefficients in \mathbb{N} , and that the structure constants of the multiplication belong to \mathbb{N} .)

Type A_3 : Still have existence, uniqueness, and explicit formulas
 (Reference: A. Berenstein, A. Zelevinsky, String bases for quantum groups of type A_n . This paper is the starting point of the theory of cluster algebras.)

In general: no uniqueness; existence ensured by several constructions:

- Lusztig's dual canonical basis = Kashiwara's upper crystal basis (specialized at $q=1$).
- basis arising from KLR algebras: $R_{-D} \cong G_0(R(v)) \otimes_{\mathbb{Z}[q, q^{-1}]} \mathbb{C} \quad (\text{at } q=1)$
 (simple graded $R(v)$ -modules up to isomorphism and up to a shift in the graduation give a perfect basis)
- Lusztig's dual semicanonical basis (A symmetric)
- the MV basis, arising from geometric Satake equivalence (A of finite type)
 (proof: see II)

4) Uniqueness of crystal

Theorem (Berenstein-Kazhdan): Let B', B'' be two perfect bases of R .

Then $\exists!$ bijection $B' \rightarrow B''$ that preserves the combinatorial data $(wt, \varepsilon_i, \varphi_i, \tilde{e}_i, \tilde{f}_i)$. In addition, it commutes with \dagger .

Notation: $(B(\infty), wt, \varepsilon_i, \varphi_i, \tilde{e}_i, \tilde{f}_i, \dagger)$ the abstract set with combinatorial data common to all perfect bases of R (Kashiwara's crystal).

5) Saito's reflections

For $i \in I$ and $b \in B$, define $\tilde{e}_i^{\dagger} b = (\tilde{e}_i b^{\dagger})^{\dagger}$ (right action of U on R)

Theorem-Definition (Saito): Let $i \in I$.

\exists inverse bijections $\{b \in B(\infty) \mid \varepsilon_i(b) = 0\} \xrightleftharpoons[\sigma_i^{\dagger}]{\sigma_i} \{b \in B(\infty) \mid \varepsilon_i(b^{\dagger}) = 0\}$

given by
$$\left. \begin{aligned} \sigma_i(b) &= \tilde{f}_i^{\varphi_i(b^{\dagger})} (\tilde{e}_i^{\dagger})^{\varepsilon_i(b^{\dagger})} b \\ \sigma_i^{\dagger}(b^{\dagger}) &= (\sigma_i(b))^{\dagger} \end{aligned} \right\} \text{ if } \varepsilon_i(b) = 0.$$

Note that $wt \sigma_i(b) = wt b - (\varphi_i(b^{\dagger}) - \varepsilon_i(b^{\dagger})) \alpha_i = wt b - \langle \alpha_i^{\vee}, wt b^{\dagger} \rangle \alpha_i = s_i(wt b)$.

For convenience, set $\hat{\sigma}_i(b) = \sigma_i(\tilde{e}_i^{\max} b)$ for all $b \in B(\infty)$, $i \in I$.

Remark (Tingley): If $n \geq \underbrace{\varepsilon_i(b) + \varepsilon_i(b^{\dagger}) + \langle \alpha_i^{\vee}, wt b \rangle}_{\text{(always a } \geq 0 \text{ number)}}$, then $\sigma_i(b) = (\tilde{e}_i^{\dagger})^{\max} \tilde{f}_i^n b$.

Proposition: The $\hat{\sigma}_i: B(\infty) \rightarrow B(\infty)$ satisfy the braid relations.

Proof: see III

Notation: For $w = s_{i_1} \dots s_{i_k}$ reduced, set $\hat{\sigma}_w = \hat{\sigma}_{i_1} \dots \hat{\sigma}_{i_k}$.

6) Minković-Vilonen polytopes

A of finite type

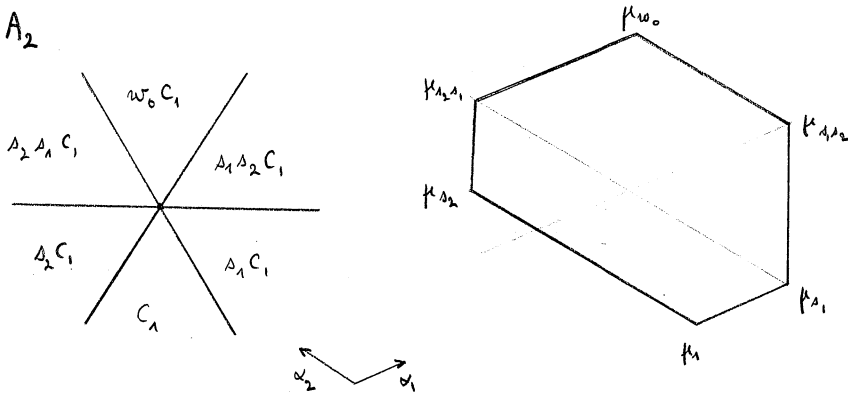
Notations: Φ root system of \mathfrak{g}

\mathcal{W} Weyl fan in $\mathfrak{h}_{\mathbb{R}}$ (described by the root hyperplanes)

$$\overline{\mathcal{Q}}_+ = \left\{ \sum a_i \alpha_i \mid a_i \in \mathbb{R}_+ \right\} \subset \mathfrak{h}_{\mathbb{R}}^*$$

Definition (Kamnitzer): A Gelfand-Goresky-McPherson-Serganova polytope is a convex polytope $P \subset \mathfrak{h}_{\mathbb{R}}^*$ whose dual fan is a coarsening of \mathcal{W} .

Picture in type A_2



To a chamber wC_i corresponds a vertex μ_w of P . (Vertices are allowed to be non-distinct.)

Lemma (Kamnitzer): $\{ \text{GGMS polytopes} \} \xleftrightarrow{1:1} \left\{ \text{collections } (\mu_w) \in (\mathfrak{h}_{\mathbb{R}}^*)^W \mid \forall \alpha, w, \mu_{w\alpha} \in \mu_w + w \overline{\mathcal{Q}}_+ \right\}$
 $\xleftrightarrow{1:1} \left\{ \text{collections } (\mu_w) \mid \forall w, \forall i, \mu_{ws_i} - \mu_w \in \mathbb{R}_+ \cdot w \alpha_i \right\}$.

Back to $B(\infty)$.

Definition: For $b \in B(\infty)$ and $w \in W$, set $\mu_w(b) = w \cdot \text{wt}(\hat{\sigma}_{w^{-1}} b)$.

Observation: $ws_i > w \Rightarrow \mu_{ws_i}(b) - \mu_w(b) = w \left[s_i \text{wt}(\hat{\sigma}_i b') - \text{wt}(b') \right] \quad b' = \hat{\sigma}_{w^{-1}} b$
 $= w \left[\cancel{s_i} \text{wt}(\cancel{\sigma}_i \tilde{e}_i^{\sim \varepsilon_i(b')} b') - \text{wt}(b') \right]$
 $= \underbrace{\varepsilon_i(b')}_{\geq 0} \cdot w \alpha_i$

So $\text{Conv} \{ \mu_w(b) \mid w \in W \}$ is GGMS
 $P_{\mathcal{R}}(b)$, the MV polytope of b .

7) Lusztig data

A still of finite type

$U_q(\mathfrak{g})$ the quantum group / $\mathbb{C}(q)$; generators $E_i, F_i, K_i^{\pm 1}$

$T_i: U_q(\mathfrak{g}) \rightarrow U_q(\mathfrak{g})$ Lusztig's automorphism
a quantum analogue of $\text{Ad}(\bar{s}_i)$.

$\bar{\cdot}: U_q(\mathfrak{m}_+) \rightarrow U_q(\mathfrak{m}_+)$ the bar involution: \mathbb{C} -algebra automorphism, $\bar{q} = q^{-1}$, $\bar{E}_i = E_i$

Given $\underline{i} = (i_1, \dots, i_n)$ such that $s_{i_1} \dots s_{i_n}$ reduced decomposition of w_0 :

- enumeration of the positive roots β_1, \dots, β_n $\beta_k = s_{i_1} \dots s_{i_{k-1}} \alpha_{i_k}$

- PBW basis of $U_q(\mathfrak{m}_+)$ $\{ E_{\underline{i}}^{(\underline{m})} \mid \underline{m} = (m_1, \dots, m_n) \in \mathbb{N}^n \}$

$$E_{\underline{i}}^{(\underline{m})} = E_{\beta_n}^{(m_n)} \dots E_{\beta_2}^{(m_2)} E_{\beta_1}^{(m_1)}, \quad E_{\beta_k}^{(m_k)} = T_{i_1} \dots T_{i_{k-1}} \left(\frac{E_{i_k}^{m_k}}{[m_k]_{i_k}!} \right)$$

Theorem (Lusztig): $\forall \underline{m} \in \mathbb{N}^n, \exists!$ bar-invariant element in $U_q(\mathfrak{m}_+)$

$$\mathcal{E}_{\underline{i}}^{(\underline{m})} = \sum_{\underline{m}' \in \mathbb{N}^n} \mathcal{S}_{\underline{m}'}^{\underline{m}} E_{\underline{i}}^{(\underline{m}')}$$

such that $\mathcal{S}_{\underline{m}}^{\underline{m}} = 1$ and $\mathcal{S}_{\underline{m}}^{\underline{m}'} \in q\mathbb{Z}[q]$ for all $\underline{m}' \neq \underline{m}$.

$\{ \mathcal{E}_{\underline{i}}^{(\underline{m})} \mid \underline{m} \in \mathbb{N}^n \}$ basis of $U_q(\mathfrak{m}_+)$, independent of \underline{i} : canonical basis.

Specialization at $q=1$ gives a basis of U , whose dual is perfect.

Notation: $B(\infty)$ indexes the dual canonical basis, whence a bijection $B(\infty) \rightarrow \mathbb{N}^n$
 $b \mapsto \underline{m} = N(\underline{i}, b)$

$N(\underline{i}, b) =$ Lusztig data of b in direction \underline{i} .

Theorem (Saito): $n_1 = \varepsilon_{i_1}(b); n_2 = \varepsilon_{i_2}(\hat{\sigma}_{i_1}(b)); \dots; n_k = \varepsilon_{i_k}(\hat{\sigma}_{i_{k-1}} \dots \hat{\sigma}_{i_1}(b)); \dots$

(So $\hat{\sigma}_{i_i}$ mimicks on $B(\infty)$ the action of T_i^{-1} on PBW monomials.)

Corollaries: 1) $\mu_{s_{i_1} \dots s_{i_n}}(b) - \mu_{s_{i_1} \dots s_{i_{k-1}}}(b) = n_k \beta_k$

(The length of the edges of $\text{Pol}(b)$ are Lusztig data of b .)

2) $b \mapsto \text{Pol}(b)$ is injective.

II The MV basis

A of finite type

1) Background on geometric Satake equivalence

G connected alg. gp. s.t. $\text{Lie } G = \mathfrak{g}$

U

B_{\pm}

U

T

$$\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+$$

$X = \text{Hom}(T, \mathbb{C}^*)$ ($X = P$ if G simply connected)

$T^{\vee} = X \otimes_{\mathbb{Z}} \mathbb{C}^*$ dual torus

$\text{Hom}(T^{\vee}, \mathbb{C}^*) = X^{\vee}$ dual lattice
 U
 Φ^{\vee}

G^{\vee} Langlands dual

$\mathcal{O} = \mathbb{C}[[t]], \mathcal{K} = \mathbb{C}((t))$

$\text{Gr} = G^{\vee}(\mathcal{K}) / G^{\vee}(\mathcal{O})$ affine grassmannian of G^{\vee}

(like a G/P with P parabolic maximal, but for a Kac-Moody group, so infinite dimensional. However, this is the limit of a direct system of projective varieties and closed embeddings, namely the Schubert varieties)

$\text{Perv} = \{ G^{\vee}(\mathcal{O})\text{-equiv. perverse sheaves on } \text{Gr} \text{ with coeffs in } \mathbb{C} \text{ and fin. dim. support} \}$

abelian rigid monoidal category

$H: \text{Perv} \rightarrow \text{Vect}$ exact, faithful, monoidal

$\Rightarrow \text{Perv} \cong \text{Rep } \overline{G}$ \overline{G} pro-algebraic gp (Saavedra Rivano's theorem)

Beilinson-Drinfeld, Ginzburg, Mirković-Vilonen (+ Lusztig): $\overline{G} \cong G$

$$X = \text{Hom}(\mathbb{C}^*, T^V)$$

ψ
 $\lambda \mapsto t^\lambda = \text{image of } t \in \mathbb{K}^* \text{ in } T^V(\mathbb{K}) \text{ or in } Gr.$

$Gr_\lambda \subset Gr$ the $G^V(0)$ -orbit of t^λ $Gr = \coprod_{\lambda \in X_+} Gr_\lambda$
 \uparrow
 $X \cap P_+$

Simple objects in $\text{Per}V$: $\mathcal{J}_\lambda = \mathbb{C}(\overline{Gr}_\lambda, 1)$
 $\downarrow H$
 $L(\lambda)$

2) The MV basis

G^V
 U
 $B_-^V \supset N_-^V$
 U
 T^V

For $\nu \in X$, let $T_\nu \subset Gr$ the $N_-^V(\mathbb{K})$ -orbit of t^ν .

Define $\rho: \mathfrak{h}_\mathbb{R}^* \rightarrow \mathbb{R}$, $\alpha_i \mapsto 1$.

Mirković-Vilonen:

$$\forall A \in \text{Per}V, \forall k \in \mathbb{Z}, \quad \bigoplus_{\substack{\nu \in X \\ 2\rho(\nu) = k}} H_{\overline{T}_\nu}^k(Gr, A) \longrightarrow H^k(Gr, A) \text{ isomorphism } (\diamond)$$

$$\forall \lambda \in X_+, \forall \nu \in X, \quad H_{\overline{T}_\nu}^{2\rho(\lambda)}(Gr, \mathcal{J}_\lambda) \cong H_{2\rho(\lambda-\nu)}^{BM}(\overline{Gr}_\lambda \cap \overline{T}_\nu) \text{ with coeffs in } \mathbb{C}$$

\uparrow
of pure dim $\rho(\lambda-\nu)$

$\mathcal{Z}(\lambda)_\nu = \text{Im}(\overline{Gr}_\lambda \cap \overline{T}_\nu) \ni z \mapsto [z] \in H_{\overline{T}_\nu}^{2\rho(\lambda)}(Gr, \mathcal{J}_\lambda)$ fundamental class

$$\coprod_\nu \{ [z] \mid z \in \mathcal{Z}(\lambda)_\nu \} \text{ basis of } H(Gr, \mathcal{J}_\lambda) \cong L(\lambda)$$

Theorem (B.-Kamnitzer): Via $\Psi_\lambda: L(\lambda) \hookrightarrow R$, these bases glue together and give a perfect basis of R . In this basis, the structure constants of the multiplication $\in \mathbb{N}$.

3) Action of G (Ginzburg, Vasserot)

? fix the isomorphism $G \cong \bar{G}$.

action of T defined by (\diamond) :

$$\begin{array}{ccc}
 T & \xrightarrow{\cong} & \bar{T} \quad \text{maximal torus of } \bar{G} \\
 \hbar = 2\rho & \longmapsto & \bar{\hbar} \\
 \mathfrak{h} & \xrightarrow{\cong} & \bar{\mathfrak{h}} \\
 \mathfrak{g} & \xrightarrow{\cong} & \bar{\mathfrak{g}}
 \end{array}$$

Consider the Plücker embedding: $j: G_r \hookrightarrow \mathbb{P}(L(\lambda_0))$
 basic representation of $\hat{\mathfrak{g}}^V$,
 the affine KM algebra corresponding to \mathfrak{g}^V .
 (to simplify, assume here G simple of adjoint type)

$\mathcal{L} = j^* \mathcal{O}(1)$ ample line bundle. Set $\bar{e} = (c_1(\mathcal{L}) \cup ?) \in \bar{\mathfrak{g}}$.

Since $[\bar{\hbar}, \bar{e}] = 2\bar{e}$ ($\bar{\hbar}$ acts on H^k by multiplication by k)

we can write $\bar{e} = \sum_i Q(\alpha_i) \bar{e}_i$ with $\bar{e}_i \in \bar{\mathfrak{g}}^{\alpha_i}$ ($Q(\alpha_i)$ = square of length of α_i , 1 if α_i short root)

Hard Lefschetz $\Rightarrow \exists$ d_2 -triple $(\bar{e}, \bar{\hbar}, \bar{f}) \Rightarrow$ each $\bar{e}_i \neq 0$

Define $G \cong \bar{G}$ by integrating the isomorphism $\mathfrak{g} \cong \bar{\mathfrak{g}}$ s.t. $e_i \mapsto \bar{e}_i$

Geometric translation:

Choose $v \in X$. Mirković-Vilonen $\Rightarrow \bar{T}_v = \bigcup_{\mu \in Q_+} T_{v+\mu}$ and $D \cap \bar{T}_v = \bar{T}_v \setminus T_v = \bigcup_{i \in I} \bar{T}_{v+\alpha_i}$

for a well-chosen hyperplane $D \subset \mathbb{P}(L(\lambda_0))$.

For $k = 2\rho(v)$ and $\lambda \in X_+$, $d = 2\rho(\lambda)$:

$$\begin{array}{ccccc}
 H^k(G_r, \mathcal{J}_\lambda) & \longleftarrow & H^k_{\bar{T}_v}(G_r, \mathcal{J}_\lambda) & \xrightarrow{MV} & H^{BM}_{d-k}(\bar{T}_v \cap \bar{G}_\lambda) \\
 \downarrow \cup c_1(\mathcal{L}) & & & & \downarrow \cdot [D] \\
 H^{k+2}(G_r, \mathcal{J}_\lambda) & \longleftarrow & \bigoplus_{i \in I} H^{k+2}_{\bar{T}_{v+\alpha_i}}(G_r, \mathcal{J}_\lambda) & \longrightarrow & H^{BM}_{d-k-2}(\bigcup_{i \in I} \bar{T}_{v+\alpha_i} \cap \bar{G}_\lambda)
 \end{array}$$

4) Polytopes

Let $Y \subset G^v$ be closed, T^v -invariant, finite dim.

For $v \in X$, $t^v \in Y \iff Y$ meets T^v , and this holds for finitely many v .

If Y irreducible, then $\exists v \in X$ s.t. $Y \cap T^v$ open dense in Y ;

concretely, $t^v \in Y$ and any $\mu \in X$ s.t. $t^\mu \in Y$ belongs to $v + Q_+$.

Denote this v by $\mu_1(Y)$.

For $w \in W$, set $\mu_w(Y) = w \mu_1(w^{-1}Y)$, where $w \in G^v$ lift of w .

Fact: $\forall x, w, \mu_x(Y) \in \mu_w(Y) + w Q_+$

\rightarrow GGMS polytope $Pol(Y)$.

Remark: $Y \subset Z \implies t^{\mu_1(Y)} \in Z \implies \mu_1(Y) \in \mu_1(Z) + Q_+$

\implies plus g n ralement, $\forall w, \mu_w(Y) \in \mu_w(Z) + w Q_+$

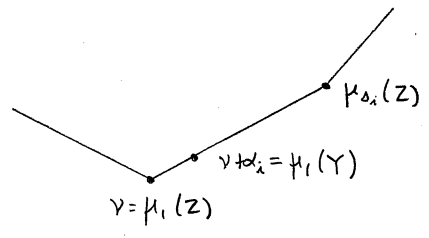
$\implies Pol(Y) \subset Pol(Z)$

Notation: $\mu_{\alpha_i}(Y) - \mu_1(Y) = \underbrace{\varepsilon_i(Y)}_{\in \mathbb{N}} \alpha_i$

Facts: 1) $Z \in \mathcal{L}(\lambda)_\nu \implies \mu_1(Z) = \nu$ and $\mu_{w_0}(Z) = \lambda$

2) Let $Z \in \mathcal{L}(\lambda)_\nu$, let $i \in I$.

Any $Y \in \mathcal{L}(\lambda)_{\nu + \alpha_i}$ contained in Z satisfies $\varepsilon_i(Y) < \varepsilon_i(Z)$



Assume $\varepsilon_i(Z) \geq 1$. Then $\exists! Y \in \mathcal{L}(\lambda)_{\nu + \alpha_i}$ such that $Y \subset Z$ and $\varepsilon_i(Y) = \varepsilon_i(Z) - 1$. (Braverman-Gaitsgory), and with D as in §3, the multiplicity of $[Y]$ in $[Z] \cdot [D]$ is $Q(\alpha_i) \varepsilon_i(Z)$.

5) End of the proof

a) Look first at $L(\lambda)$ for $\lambda \in X_+$

Take $Z \in \mathcal{L}(\lambda)_\nu$, choose D as in §3.

$$\text{Then } \bar{z}[Z] = [Z] \cdot [D] \text{ so } \bar{z}_i[Z] = \sum_{\substack{Y \in \mathcal{L}(\lambda)_{\nu+\alpha_i} \\ Y < Z}} \frac{\text{multiplicity}}{Q(\alpha_i)} [Y]$$

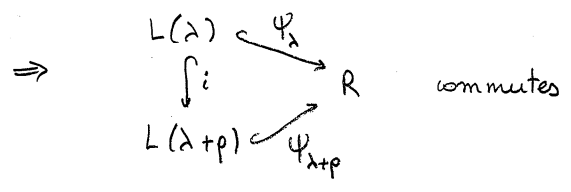
b) For all Y here, $\varepsilon_i(Y) \leq \varepsilon_i(Z) - 1$; exactly one Y has $\varepsilon_i(Y) = \varepsilon_i(Z) - 1$, and it appears with coefficient $\varepsilon_i(Z)$.

$$\Rightarrow \bar{e}_i^{(k)}[Z] = \begin{cases} 0 & \text{if } k > \varepsilon_i(Z) \\ [V] & \text{for a } V \in \mathcal{L}(\lambda)_{\nu+k\alpha_i} \text{ if } k = \varepsilon_i(Z) \end{cases}$$

c) For any $\lambda, \rho \in X_+$ and $\nu \in X$, \exists injection $\mathcal{L}(\lambda)_\nu \rightarrow \mathcal{L}(\lambda+\rho)_{\nu+\rho}$ (J. Anderson)
 $Z \mapsto t^\rho Z$

whence a linear injection $i: L(\lambda) \hookrightarrow L(\lambda+\rho)$.

Step a) \Rightarrow i is a map of n_+ -module



so the bases of the $L(\lambda)$ glue correctly and give a basis of R .

d) Step b) \Rightarrow this basis satisfies (P2)

(I omit the proof of (P3) and of the last assertion of the theorem.)

6) Relation to MV polytopes

Theorem (Kamnitzer's thesis): Let $\lambda \in X_+$, let $Z \in \mathcal{L}(\lambda)_\nu$. Suppose $\Psi_\lambda([Z])$ is indexed by $b \in B(\infty)_{\nu, \lambda}$. Then $\text{Pol}(Z) = \lambda + \text{Pol}(b)$.

Proof: requires finer description of MV cycles + Berenstein, Fomin and Zelevinsky's chamber Ansatz, whose tropicalization describe Lusztig data.

Proposition: The transition matrix between the MV basis and the dual canonical basis is upper unitriangular wrt the order on $B(\infty)$ given by inclusion of MV polytopes.

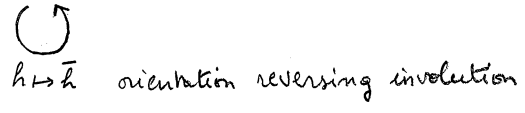
III Preprojective algebras and the semicanonical basis

A symmetric

1) Preprojective algebras

Oriented graph without loops: $\{\text{vertices}\} = I$

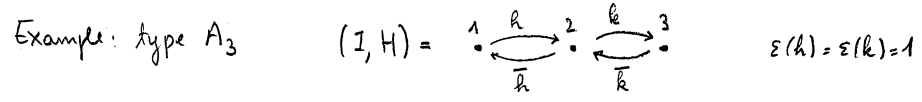
$\{\text{edges}\} = H$: between i and j , $-a_{ij}$ edges in each direction



$$\varepsilon: H \rightarrow \{\pm 1\} \text{ such that } \varepsilon(h) + \varepsilon(\bar{h}) = 0$$

K field

$\Lambda = K$ -path algebra of the quiver $(I, H) / \langle \sum_{h \in H} \varepsilon(h) h \bar{h} \rangle$ } completed preprojective algebra
 completed wrt the ideal generated by the arrows



Λ -module = $M_1 \rightleftarrows^{M_h}_{M_{\bar{h}}} M_2 \rightleftarrows^{M_k}_{M_{\bar{k}}} M_3$ s.t. $M_{\bar{h}} M_h = M_k M_{\bar{k}} = M_h M_{\bar{h}} - M_{\bar{k}} M_k = 0$

M a Λ -module $\rightsquigarrow \underline{\dim} M = \sum_{i \in I} (\dim M_i) \alpha_i \in \mathbb{Q}_+$ dimension-vector

Simple Λ -modules: S_i ($i \in I$), 1-dimensional, concentrated on vertex i ; $\underline{\dim} S_i = \alpha_i$

$M \mapsto \underline{\dim} M$ induces $K(\Lambda\text{-mod}) \simeq \bigoplus_{i \in I} \mathbb{Z} \alpha_i$

Duality operation on $\Lambda\text{-mod}$: $M = (\bigoplus_{i \in I} M_i, (M_h)) \rightsquigarrow M^\dagger = (\bigoplus_{i \in I} M_i^*, (M_{\bar{h}}^*))$

Representation spaces:

For $v = \sum_i v_i \alpha_i \in \mathbb{Q}_+$, let $\Lambda(v) \subset \prod_{h \in H} \text{Hom}_K(K^{v_s(h)}, K^{v_t(h)})$ $\curvearrowright G(v) = \prod_i GL_{v_i}(K)$

\uparrow source of h \uparrow target of h

affine variety of Λ -module structures on $\bigoplus_{i \in I} K^{v_i}$
 "Lusztig's nilpotent varieties"

2) Lusztig's semicanonical basis

Take here $K = \mathbb{C}$.

Let $v \in Q_+$. For a Λ -module M of dim. vect. v , define $\delta_M = U_v \rightarrow \mathbb{C}$ by: if $\alpha_{i_1} + \dots + \alpha_{i_k} = v$, then (Lusztig; Geiß - Leclerc - Schroder)

$$\delta_M(e_{i_1} \dots e_{i_k}) = \chi \left(\left\{ 0 = M_0 \subset M_1 \subset \dots \subset M_k = M \mid \begin{array}{l} M_p \text{ submodule of } M \\ \dim M_p / M_{p-1} = \alpha_{i_p} \end{array} \right\} \right)$$

closed subset of the product of flag manifolds

Example: type A_2

$$1 \xrightleftharpoons[h]{h} 2$$

4 indecomposables

$$S_1: \mathbb{C} \rightleftharpoons 0 \quad S_2: 0 \rightleftharpoons \mathbb{C}$$

$$T_1: \mathbb{C} \xrightarrow[1]{0} \mathbb{C} \quad T_2: \mathbb{C} \xleftarrow[0]{1} \mathbb{C}$$

in dimension-vector $v = 2\alpha_1 + \alpha_2$

	M	$\delta_M(e_1^2 e_2)$	$\delta_M(e_1 e_2 e_1)$	$\delta_M(e_2 e_1^2)$
$\mathbb{C}^2 \xrightarrow[0]{0} \mathbb{C}$	$2S_1 \oplus S_2$	$\chi(\mathbb{P}^1) = 2$	$\chi(\mathbb{P}^1) = 2$	$\chi(\mathbb{P}^1) = 2$
$\mathbb{C}^2 \xrightarrow[0]{0} \mathbb{C}$	$S_1 \oplus T_1$	$\chi(\mathbb{P}^1) = 2$	$\chi(\cdot) = 1$	$\chi(\emptyset) = 0$
$(0 \ 1)$	$S_1 \oplus T_2$	0	1	2

the module has no submodule of $\dim = \alpha_2$

unique submodule of $\dim = \alpha_1 + \alpha_2$

As one can see, the Serre relation $\delta_M(e_1^2 e_2) - 2\delta_M(e_1 e_2 e_1) + \delta_M(e_2 e_1^2) = 0$ is always satisfied, so δ_M is well defined.

Observation: The δ_M are not linearly independent. But they span $R_{-v} = (U_v)^*$.

Problem: Extract a basis

$(\Lambda(v) \rightarrow R_{-v}, M \mapsto \delta_M)$ is constructible; for each $Z \in \text{Irr } \Lambda(v)$, define δ_Z as δ_M for M general in Z .

Theorem (Lusztig): $\bigsqcup_{v \in Q_+} \{ \delta_Z \mid Z \in \text{Irr } \Lambda(v) \}$ is a basis of R "dual semicanonical basis".

Definition: M a Λ -module, $i \in I$

i -head of M : $hd_i M =$ largest quotient of M isomorphic to $S_i^{\oplus \dots}$
 (i -th part of the head of M)

Observations: * $\delta_M(\dots e_i^n) \neq 0 \implies \exists M \twoheadrightarrow S_i^{\oplus n} \implies n \leq \dim hd_i M$

and for $n = \dim hd_i M$, $e_i^{(n)} \delta_M = \delta_N \neq 0$, where $N = \ker(M \twoheadrightarrow hd_i M)$.

(Note here that the divided power $n!$ is the Euler characteristic of the flag variety of $hd_i M$.)

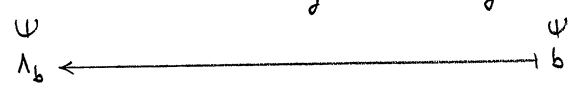
Moreover, if M is generic, then N is generic.

* $(\delta_M)^\dagger = \delta_{M^\dagger}$.

} the dual semicanonical basis satisfies (P2)

Conclusion: 1) The dual semicanonical basis is perfect.

2) $\coprod_{v \in \mathbb{Q}_+} \text{In } \Lambda(v)$ is canonically indexed by $B(\infty)$ (Kashiwara-Saito)



an ingredient of the proof of Lusztig's theorem.

3) Reflection functors

Let $i \in I$.

Local description around i of a Λ -module M :

$$\bigoplus_{\substack{h \in H \\ \Delta(h) = i}} M_{\mathbb{k}(h)} \xrightarrow{(M_{\bar{i}})} M_i \xrightarrow{(\varepsilon(h)M_{\Delta})} \bigoplus_{\substack{h \in H \\ \Delta(h) = i}} M_{\mathbb{k}(h)}$$

for brevity: $\tilde{M}_i \xrightarrow{M_{in(i)}} M_i \xrightarrow{M_{out(i)}} \tilde{M}_i \quad (*)$

Note: $hd_i M = \text{coker } M_{in(i)}$; set $\text{soc}_i M = \ker M_{out(i)}$ i -socle of M

Define $\Sigma_i M$ by replacing in M the part $(*)$ by $\tilde{M}_i \xrightarrow{M_{out(i)} M_{in(i)}} \ker M_{in(i)} \hookrightarrow \tilde{M}_i$

$$\Sigma_i^+ M \xrightarrow{\hspace{10em}} \tilde{M}_i \twoheadrightarrow \text{coker } M_{out(i)} \xrightarrow{M_{out(i)} M_{in(i)}} \tilde{M}_i$$

Still get Λ -modules, because $\tilde{M}_i \rightarrow \tilde{M}_i$ hasn't changed, and at vertex i , the composed of the two maps is zero.

Fact: These functors induce equivalences of categories.

$$\left\{ M \in \Lambda\text{-mod} \mid \text{hd}_i M = 0 \right\} \xrightleftharpoons[\Sigma_i^\dagger]{\Sigma_i} \left\{ M \in \Lambda\text{-mod} \mid \text{soc}_i M = 0 \right\}$$

Moreover $\text{hd}_i M = 0 \Rightarrow \underline{\dim} \Sigma_i M = s_i(\underline{\dim} M)$.

Theorem (B.): Let $i \in I$, set $T_i = \text{Ad}(\bar{A}_i) \in \text{Aut}(U(\mathfrak{g}))$ ($\bar{A}_i = \exp(e_i) \exp(-f_i) \exp(e_i)$).

Let $v \in \mathbb{Q}_+$, let $M \in \Lambda\text{-mod}$ s.t. $\underline{\dim} M = v$ and $\text{hd}_i M = 0$. Let $x \in U_v$ such that $T_i(x) \in U$. Then $\langle \delta_M, x \rangle = \langle \delta_{\Sigma_i M}, T_i(x) \rangle$.

Theorem (B.-Kamnitzer): Let $b \in B(\infty)$. M general in $\Lambda_b \Rightarrow \Sigma_i M$ general in $\Lambda_{\hat{\sigma}_i(b)}$ (loosely stated).

(Another interpretation of the Saito reflection: they now act on irreducible components of nilpotent varieties.)

4) Tilting theory in $\Lambda\text{-mod}$

$I_i = \text{ann}_\Lambda S_i$. Then $\Sigma_i = \text{Hom}_\Lambda(I_i, ?)$ and $\Sigma_i^\dagger = I_i \otimes_\Lambda ?$

Theorem (Buan-Iyama-Reiten-Scott):

i) The Λ -bimodules I_i satisfy the braid relations: set $I_w = I_{i_1} \otimes_\Lambda \dots \otimes_\Lambda I_{i_k}$ for $w = s_{i_1} \dots s_{i_k}$ reduced.

(Consequence: the Σ_i satisfy the braid relations, hence the $\hat{\sigma}_i$ also do.)

ii) I_w tilting Λ -bimodule, $\text{End}_\Lambda(I_w) = \Lambda$.

Brenner-Butler theory \Rightarrow

- I_w defines a (in fact, two) torsion pair in $\Lambda\text{-mod}$.
- Each $M \in \Lambda\text{-mod}$ has a largest quotient M/N such that $\text{Hom}_\Lambda(I_w, M/N) = 0$, namely $N = \text{im}(I_w \otimes_\Lambda \text{Hom}_\Lambda(I_w, M) \xrightarrow{\text{eval}} M)$.

Write M^w for N .

Examples: $M^1 = M$, $M^{s_i} = \ker(M \rightarrow \text{hd}_i M)$, $M^{uv} \subset M^u$ if $l(uv) = l(u) + l(v)$.

Prop (B.-Kannitzger-Tingler): Let $b \in B(\infty)$, M general in A_b , $w \in W$. Then $\mu_w(b) = -\dim M^w$.

they were defined only for A of finite type, but the definition is general

Proof: $w = s_{i_1} \dots s_{i_k}$ reduced.

$$\text{Hom}_\Lambda(I_w, M) = \sum_{i_k} \dots \sum_{i_1} M \text{ is general in } \Lambda_{b'}, \text{ where } b' = \hat{\sigma}_{i_k} \dots \hat{\sigma}_{i_1} b = \hat{\sigma}_{w^{-1}} b.$$

$$\dim M^w = w \dim \text{Hom}_\Lambda(I_w, M) = -w \text{ wt } b' = -\mu_w(b).$$

5) Harder-Narasimhan polytopes

A finite length category

$$T \in A \mapsto [T] \in K(A)$$

Definition: $P(T) = \text{convex hull in } K(A)_\mathbb{R} = K(A) \otimes_\mathbb{Z} \mathbb{R} \text{ of } [X] \text{ for } X \subset T.$

HN polytope of T

(convex hull of a finite number of points).

Faces of $P(T)$: each $\theta \in K(A)_\mathbb{R}^*$ defines $P_\theta(T) = \{x \in P(T) \mid \langle \theta, x \rangle = \sup_{P(T)} \theta\}$

Fact: $\{X \subset T \mid [X] \in P_\theta(T)\}$ has a smallest element, T_θ^{\min} , and a largest one, T_θ^{\max} .

Exercise: Let $\mathcal{R}_\theta = \{T \in A \mid \langle \theta, [T] \rangle = 0 \text{ and } \forall X \subset T, \langle \theta, [X] \rangle \leq 0\}$

(θ -semistable objects). Then \mathcal{R}_θ abelian subcategory, $T_\theta^{\max}/T_\theta^{\min} \in \mathcal{R}_\theta$,

and for $i: \mathcal{R}_\theta \hookrightarrow A$,

$$P_\theta(T) = [T_\theta^{\min}] + K(i)_\mathbb{R} (P(T_\theta^{\max}/T_\theta^{\min}))$$

↑ HN polytope relative to \mathcal{R}_θ

(Heredity property: a face of a HN polytope is a HN polytope, flattened and shifted).

Case $A = \Lambda\text{-mod}$

$K(\Lambda\text{-mod}) = \bigoplus_{i \in I} \mathbb{Z} \alpha_i$, $K(\Lambda\text{-mod})_{\mathbb{R}} = \mathfrak{h}_{\mathbb{R}}^*$. Denote $C_0 \subset \mathfrak{h}_{\mathbb{R}}^*$ dominant chamber.

$[M] = \underline{\dim} M$

Theorem (B.-Kamnitzer-Tingley): Assume A of finite type.

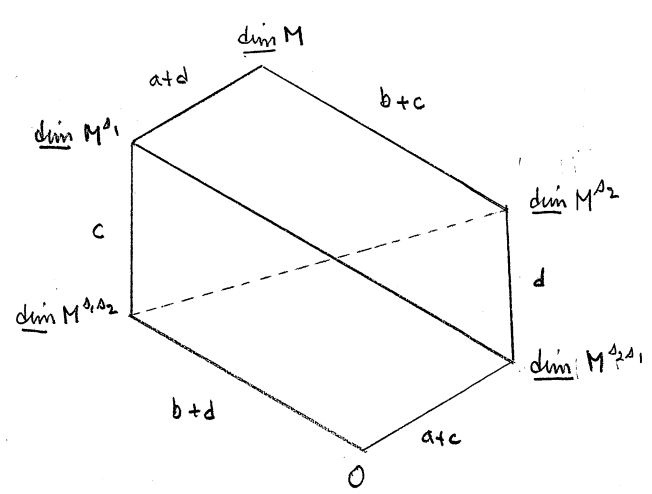
Let $\theta \in \mathfrak{h}_{\mathbb{R}}^*$. Then $\{w \in W \mid w^i \theta \in \bar{C}_0\}$ has a
 - shortest element, w_1
 - longest element, w_2

Then for each Λ -module M , $M_{\theta}^{\min} = M^{w_2}$ and $M_{\theta}^{\max} = M^{w_1}$.

In particular, $P(M)$ is GMS and $= \text{Convex hull}(\{\underline{\dim} M^w \mid w \in W\})$.

Corollary: $b \in B(\infty)$, M general in $\Lambda_b \Rightarrow \text{Pol}(b) = -P(M)$

Example: Type A_2 , $M = S_1^{\oplus a} \oplus S_2^{\oplus b} \oplus T_1^{\oplus c} \oplus T_2^{\oplus d}$



M general $\Rightarrow a$ or $b = 0 \Rightarrow$ one of the two diagonals is \parallel to the opposite sides

All 2-faces of type A_2 of an MV polytope have this property ("Tropical Plücker Relations"). This characterizes MV polytopes among all lattice GMS polytopes (\exists also TPR for 2-faces of type B_2 and G_2 .)

In view of the last Corollary in Part I, this condition translates to relations between Lusztig data $N(i, b)$ for b fixed, i variable. These relations are equivalent to Lusztig's piecewise linear bijections.