

# Permutrees: Permutation sorting, lattice quotients and automata

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# Outline

- What is sorting?
- Lattice congruences
- Automatas
- Coxeter sorting

# What is sorting?

It is an algorithm that rearranges permutations.

If it outputs the identity permutation, we say that the input is *sortable* for this algorithm.

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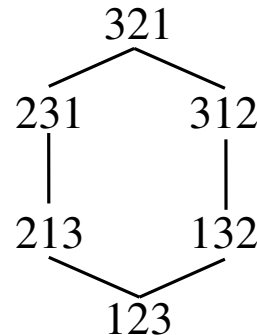
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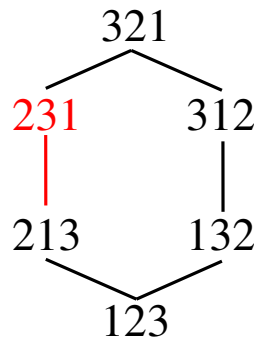
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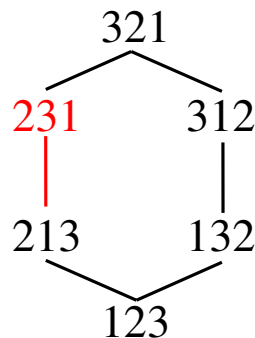
$$231 = s_1 \cdot s_2$$

$$312 = s_2 \cdot s_1$$

$$213 = s_1$$

$$132 = s_2$$

$$123 = e.$$



# Permutations

We are interested in working with the following presentation of the symmetric group

$$\mathfrak{S}_n = \langle \{s_1, \dots, s_{n-1}\} : (s_i s_{i+1})^3 = (s_i s_j)^2 = e \rangle$$

where  $s_i = (i \ i + 1)$  are the simple transpositions.

## Examples

- $1243 = s_3$ ,
- $1423 = s_2 \cdot s_3$ ,
- $3421 = s_2 \cdot s_1 \cdot s_3 \cdot s_2 \cdot s_3$ .

# Weak order

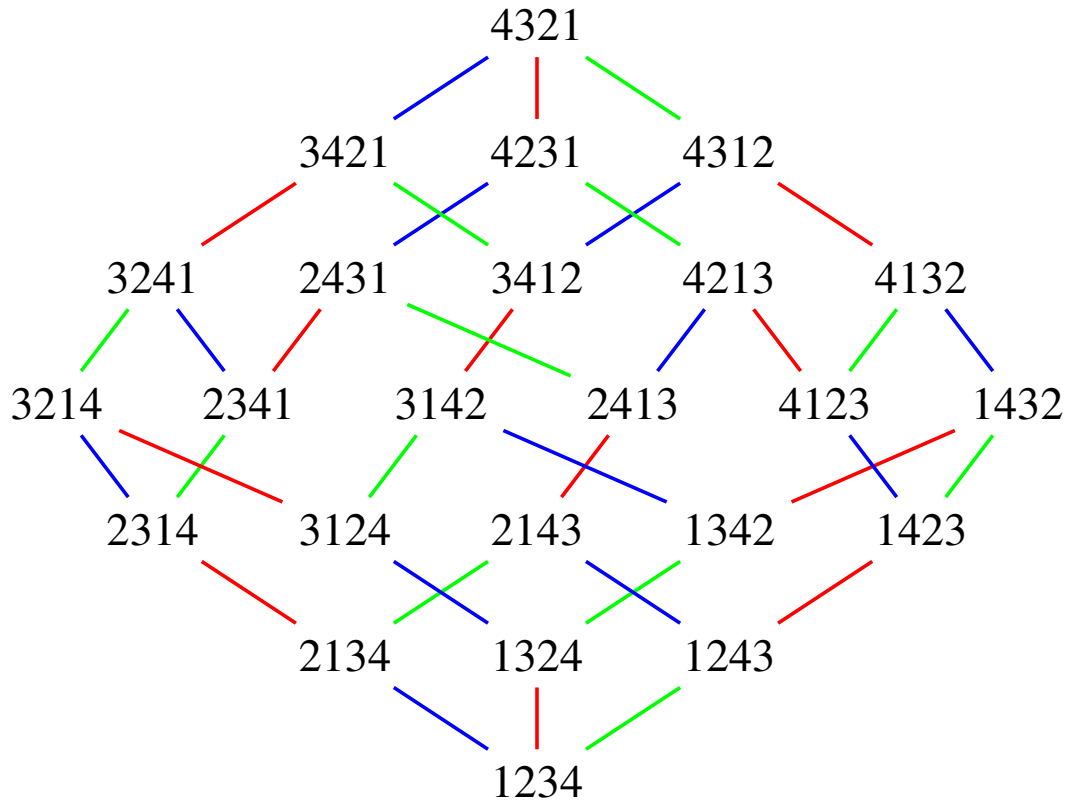


Figure 1: The (right) weak order of  $\mathfrak{S}_4$  generated by  $s_1, s_2, s_3$ .



# Weak order (inversions)

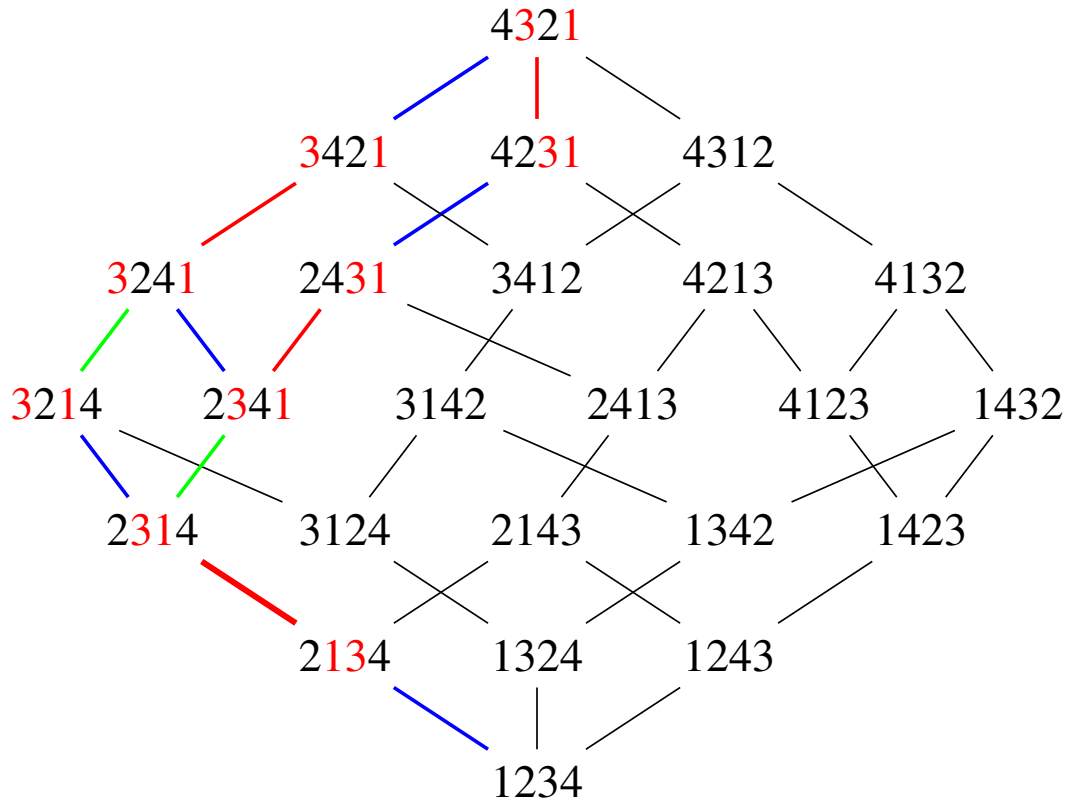


Figure 2: The (right) weak order of  $\mathfrak{S}_4$  generated by  $s_1, s_2, s_3$ .

## Weak order congruences

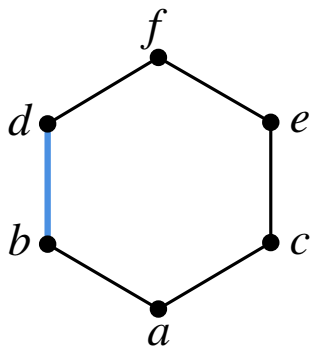
We want to take congruences that preserve meets and joins. That is,

$$x \equiv x' \text{ and } y \equiv y' \implies x \vee y \equiv x' \vee y' \text{ and } x \wedge y \equiv x' \wedge y'$$

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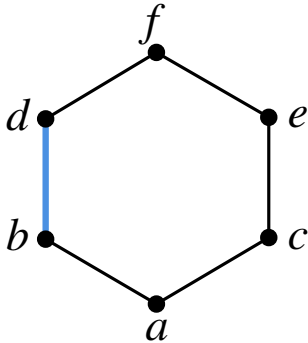
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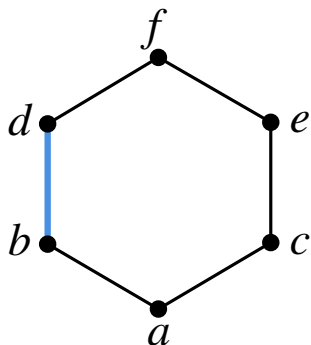


Nothing else is affected.

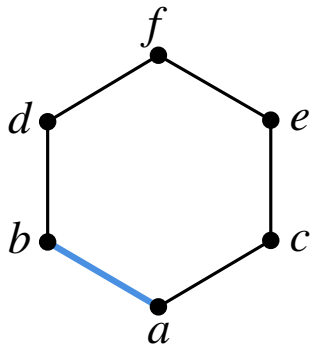
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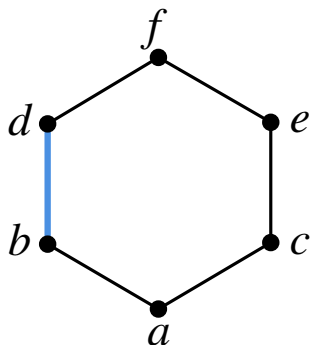
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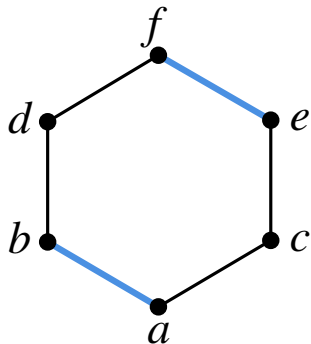
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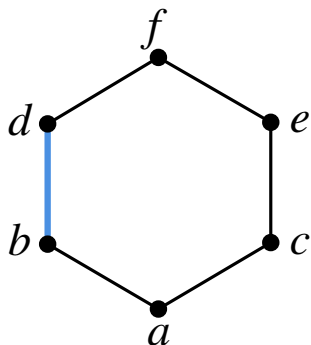


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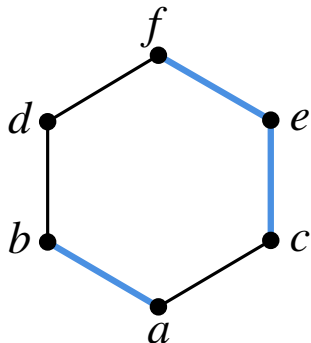
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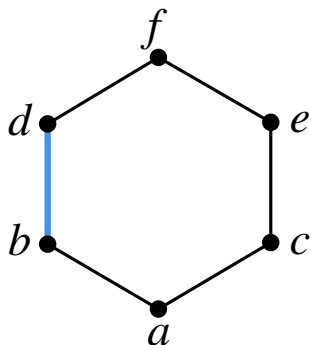
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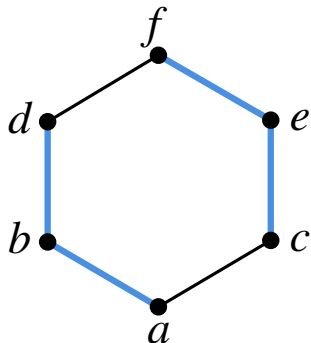
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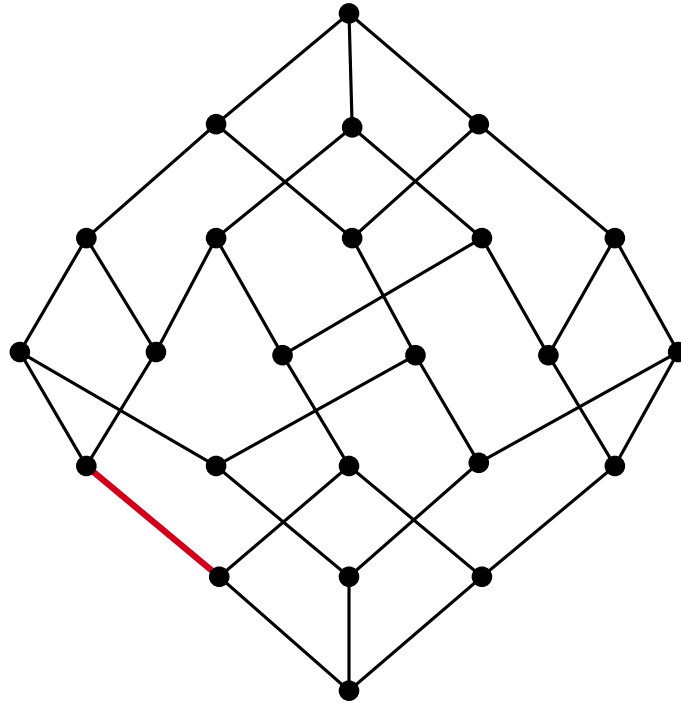
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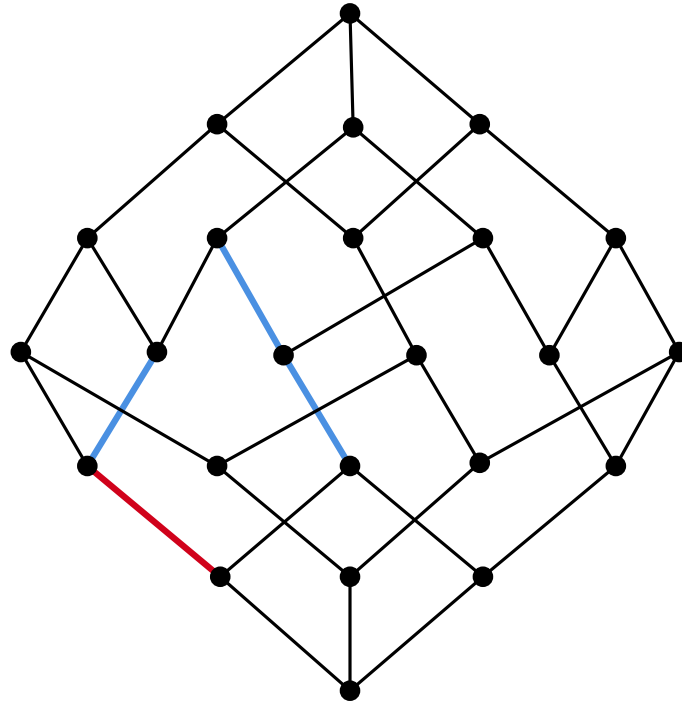
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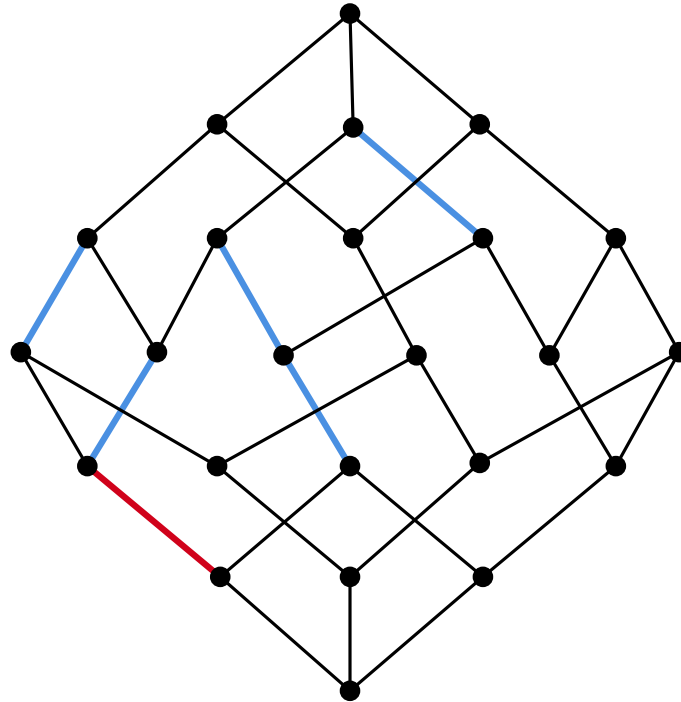


Figure 3: The resulting lattice quotient from contracting the red edge.

# Weak order congruences

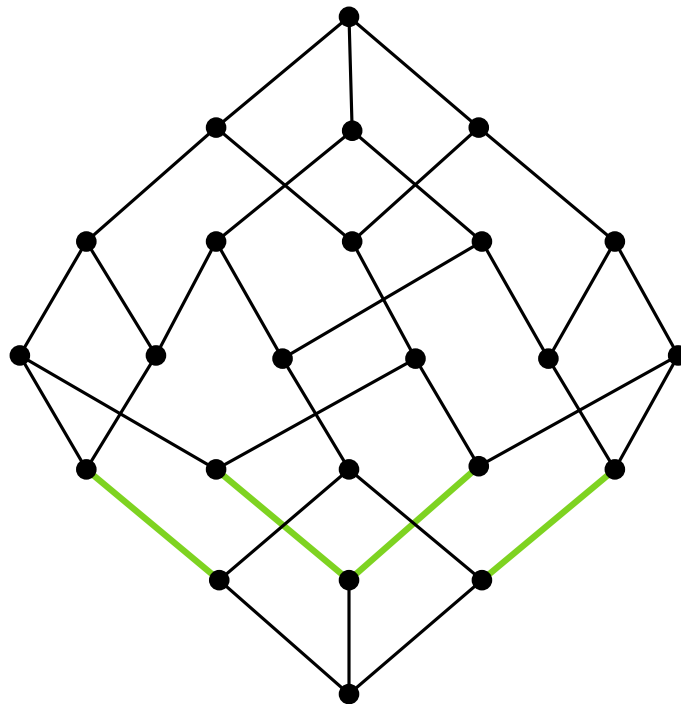


Figure 4: We study the contraction of any combination of green edges on  $\mathfrak{S}_4$ . We call these **permutree congruences**.

# Why these particular ones?

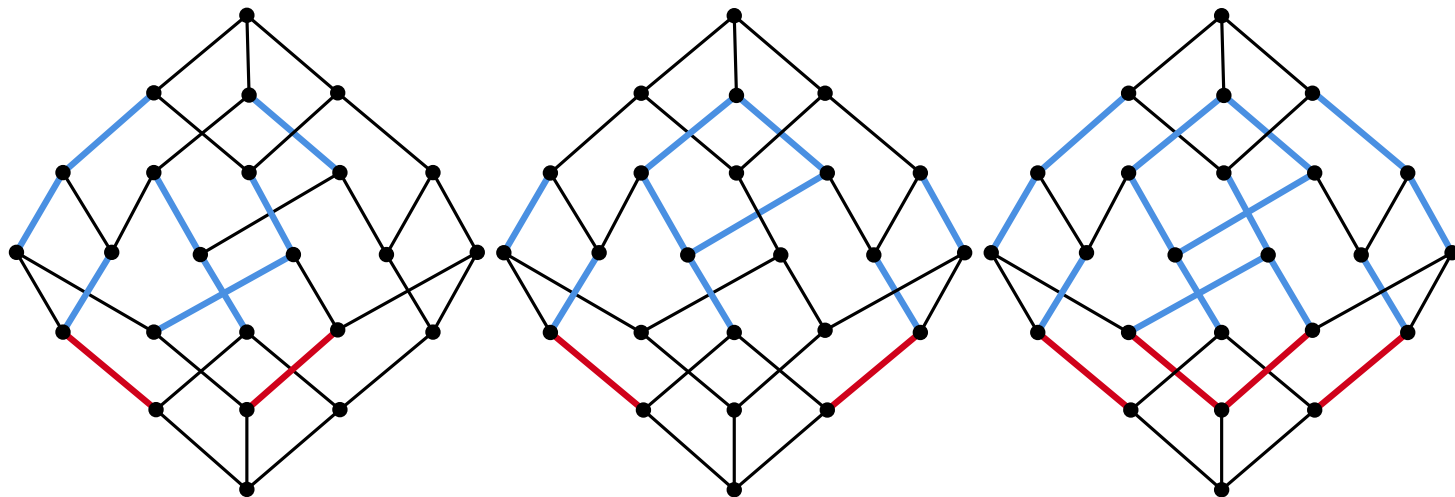


Figure 5: The Tamari lattice, a Cambrian lattice, and the boolean lattice as lattice quotients from contractions in red.

# Coxeter Groups

It is a group generated by elements  $s_i$  that satisfy relations  $(s_i s_j)^{m_{ij}} = e$  encoded as vertices and edges of the following graphs:

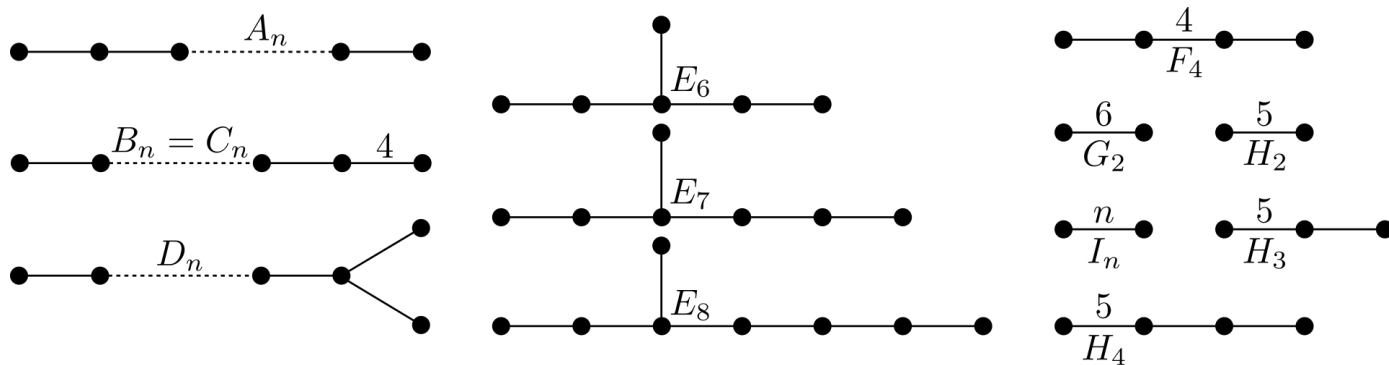


Figure 6: Finite Coxeter Groups (source: Wikipedia)

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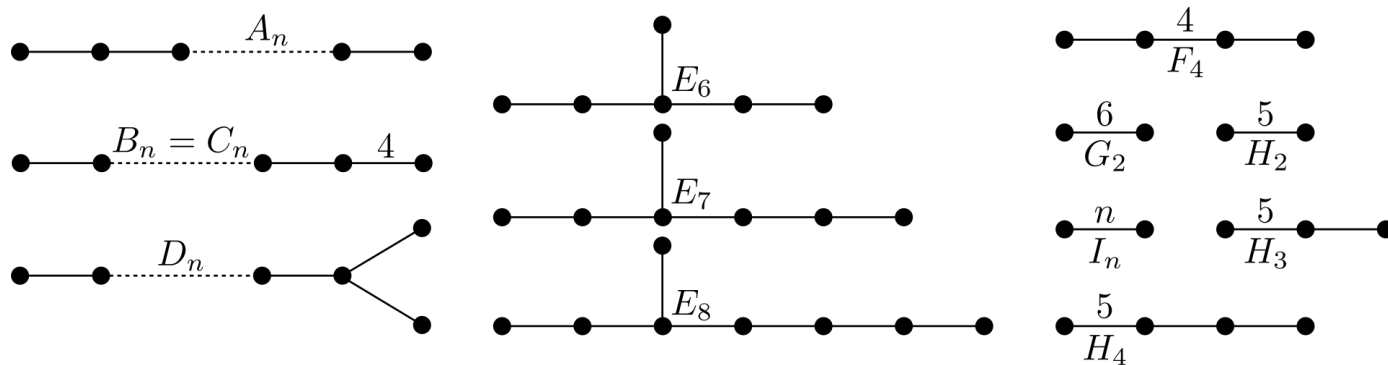


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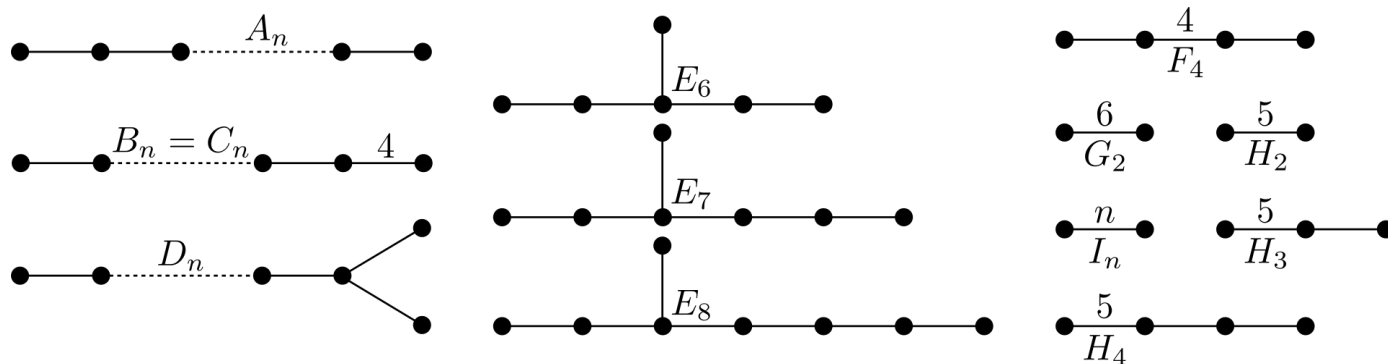


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Not all of them have combinatorial objects like permutations associated to them.



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Different points of view have been found to study them:

- Lattice congruences
- Root systems
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Different points of view have been found to study them:

- Lattice congruences
- Root systems
- Pattern avoidance.
- Reduced words (**automata!**)

## Big objective

Characterize minimal elements of permutree congruences in all Coxeter groups.

## Little objective

Get an algorithm based on reduced words that characterizes minimal elements of permutrees congruences in type A (permutations).

There are already other characterizations [PP18], [CPP19]:

- Pattern avoidance.
- Minimality of linear extensions of permutrees.
- Inversion sets.

# Translations

We can identify our congruences through orientations of the Coxeter graph of  $\mathfrak{S}_n$ .

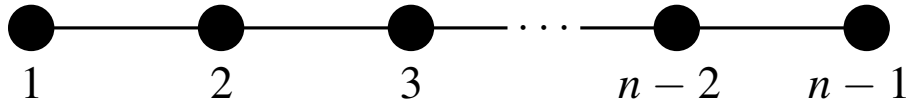


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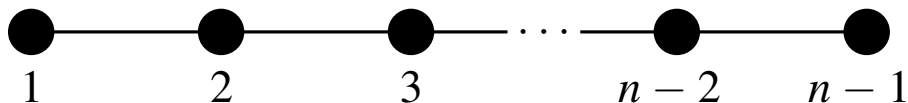
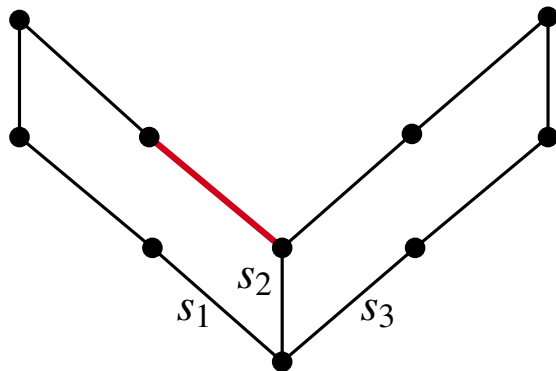


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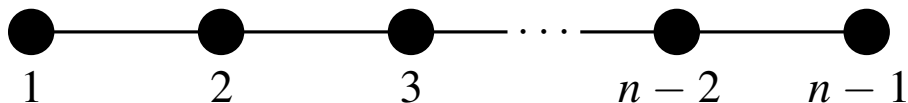
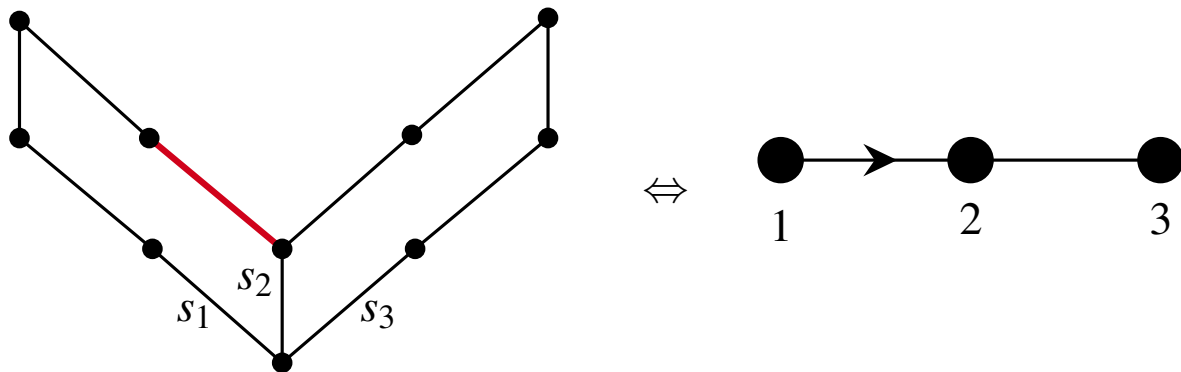


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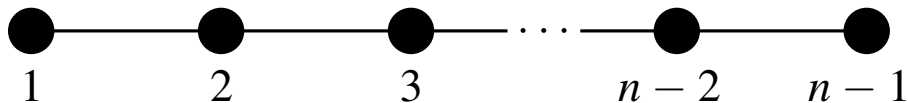
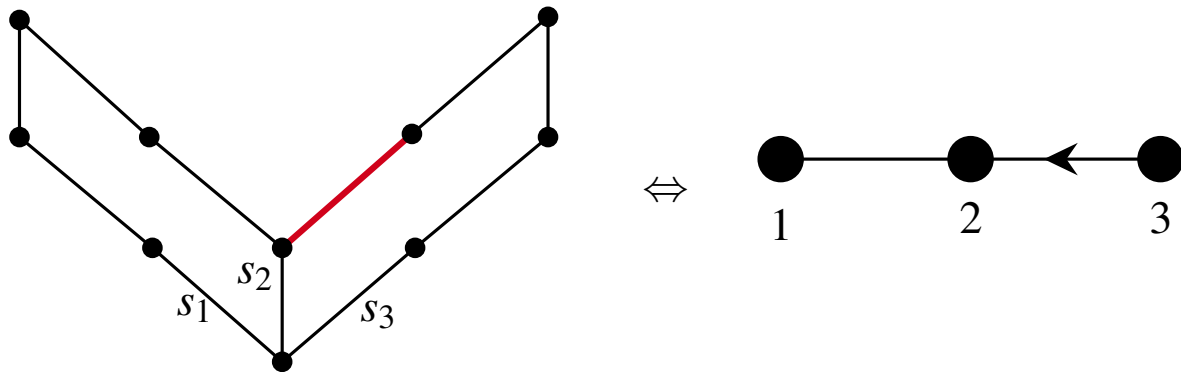


Figure 8: Coxeter graph of  $\mathfrak{S}_n$ .





# Single orientation automata

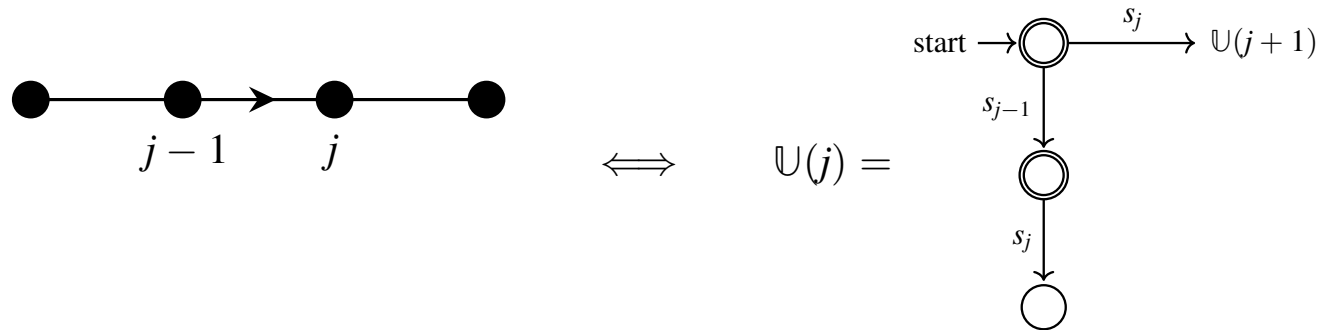


Figure 9: A single orientation and its automaton.

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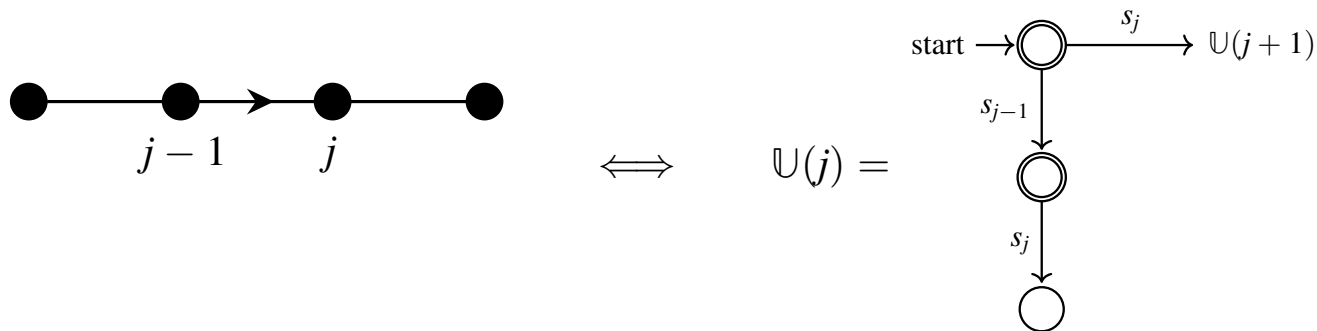


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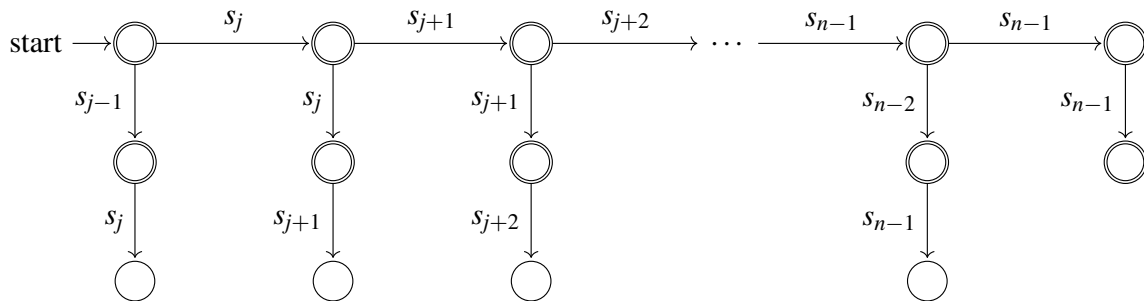


Figure 10: The complete automaton  $U(j)$ .

# Example

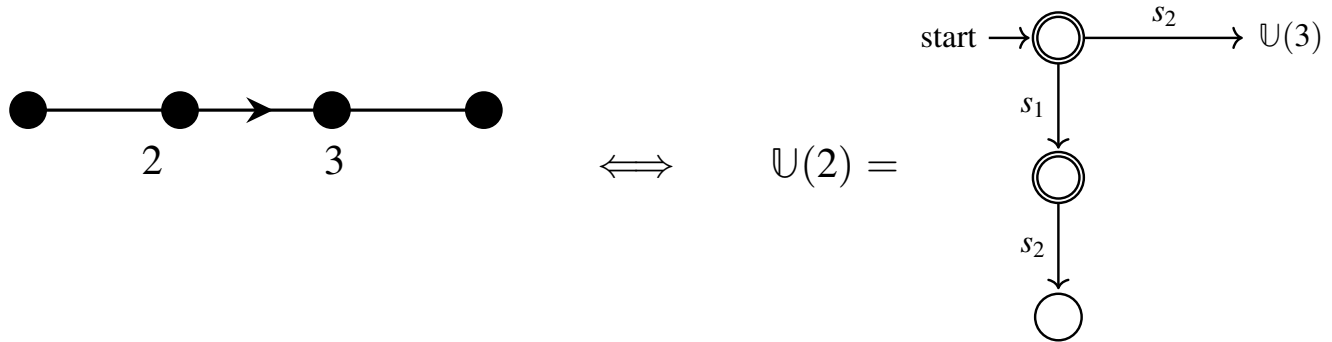


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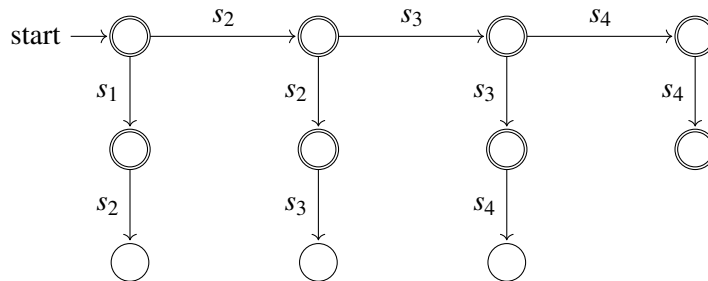


Figure 12: The complete automaton  $\mathcal{U}(2)$  for  $\mathfrak{S}_5$ .

# Example

- $s_3 \cdot s_2 \cdot s_1 \cdot s_2$  is accepted by  $\mathbb{U}(2)$ .
- $s_3 \cdot s_1 \cdot s_2 \cdot s_1$  is rejected by  $\mathbb{U}(2)$ .
- $s_1 \cdot s_3 \cdot s_2 \cdot s_1$  is rejected by  $\mathbb{U}(2)$ .

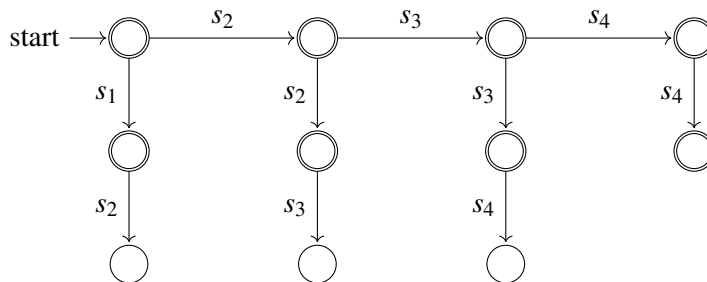


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# Properties of the automata

Theorem (Pilaud, Pons, T. 2020)

Fix  $j \in \{2, \dots, n-1\}$ . The following conditions are equivalent for  $\pi \in \mathfrak{S}_n$ :

- $\pi$  has a reduced expression accepted by the automaton  $\mathbb{U}(j)$ ,
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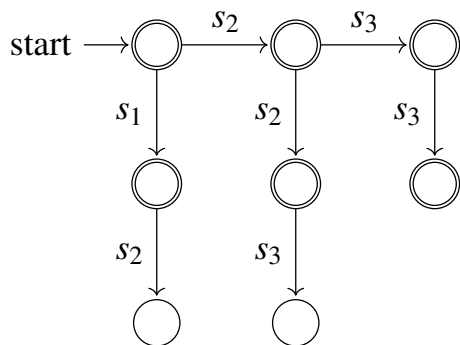
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Example:



4213 avoids  $2ki$  and has the reduced expression  $s_3 \cdot s_2 \cdot s_1 \cdot s_2$  which is accepted by  $\mathbb{U}(2)$ .

## Some other properties

The accepted reduced words have a nice structure!

- They are closed by prefix.

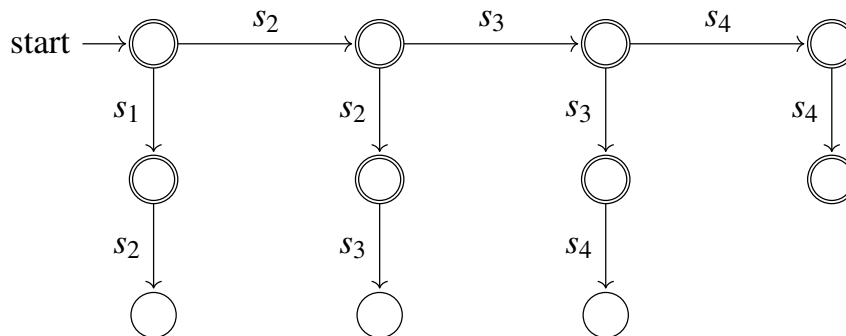


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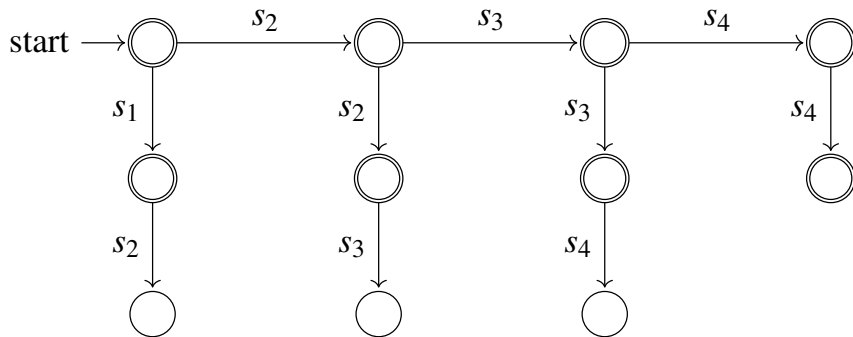


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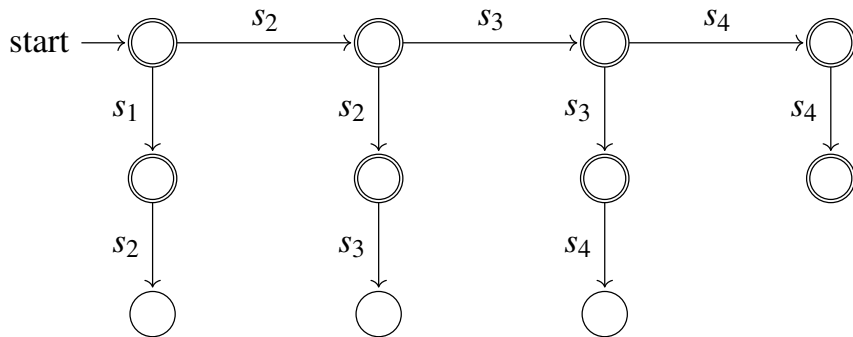


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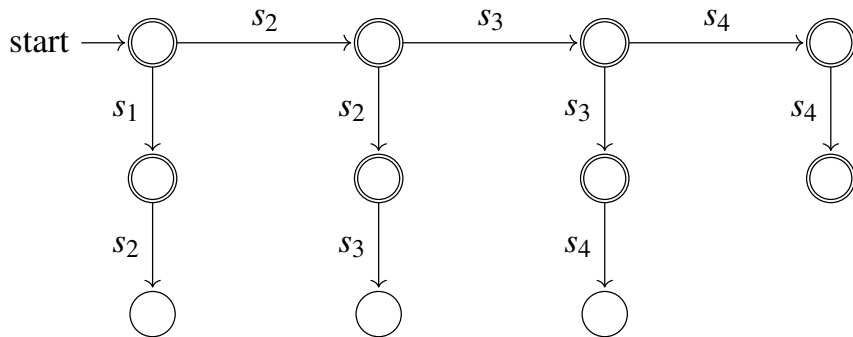


Figure 15: The complete automaton  $\mathcal{U}(2)$  for  $\mathfrak{S}_5$ .

## Proposition (Pilaud, Pons, T. 2020)

All reduced expressions of a permutation  $\pi \in \mathfrak{S}_n$  end at

- 1 the same healthy state of  $\mathcal{U}(j)$  if  $\pi$  keeps the values  $[j]$  in the same relative order.
- 2 the same state of  $\mathcal{U}(j)$  if  $\pi$  keeps the values  $[n] \setminus [j - 1]$  in the same relative order.
- 3 the same ill state of  $\mathcal{U}(j)$  otherwise.

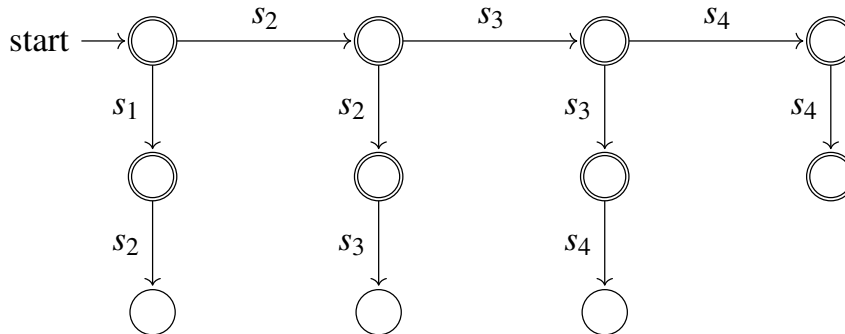


Figure 16: The complete automaton  $\mathcal{U}(2)$  for  $\mathfrak{S}_5$ .

# Accepted reduced words

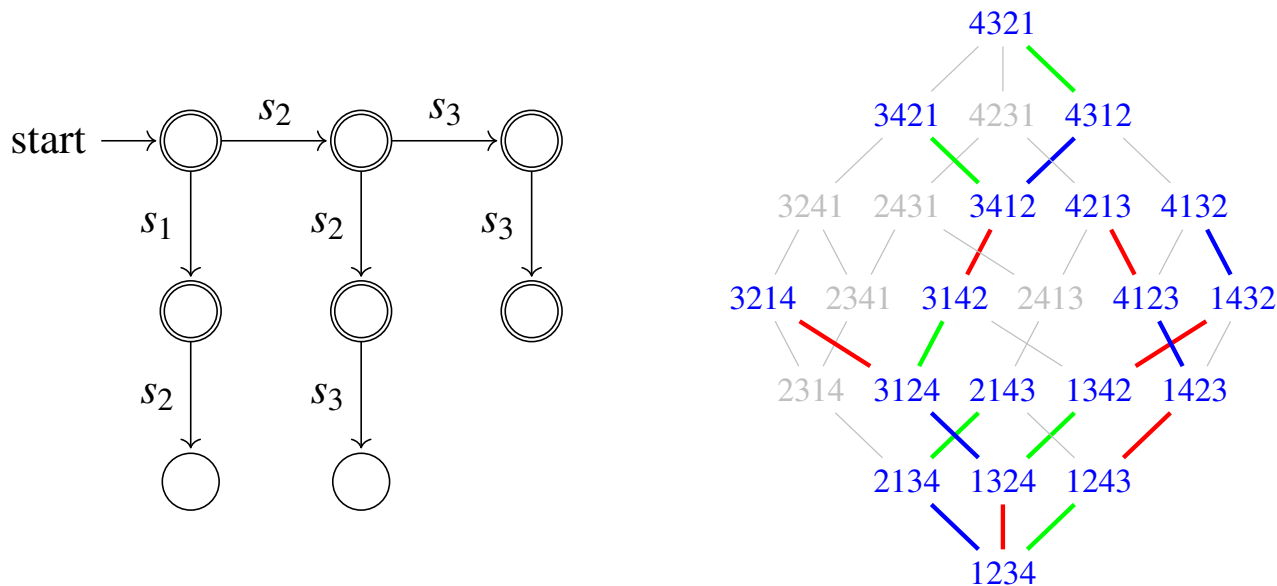


Figure 17:  $\mathbb{U}(2)$  and its accepted reduced words.

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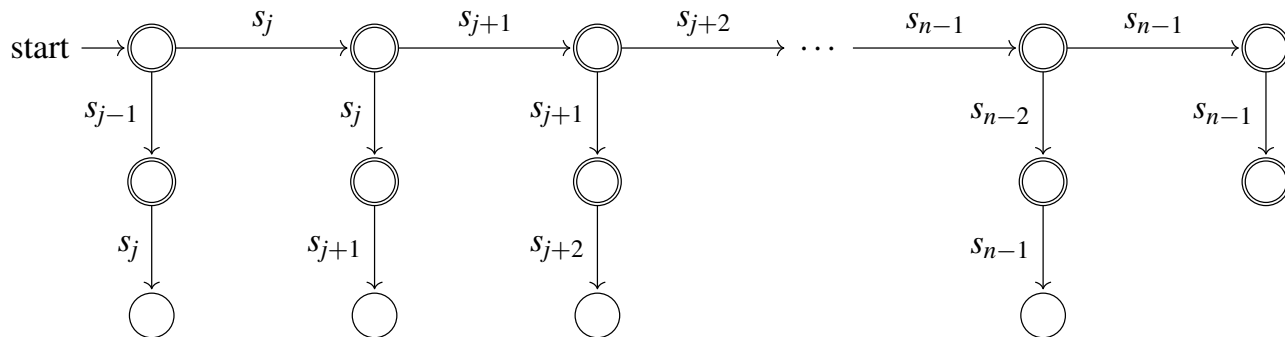


Figure 18: The complete automaton  $\mathcal{U}(j)$ .

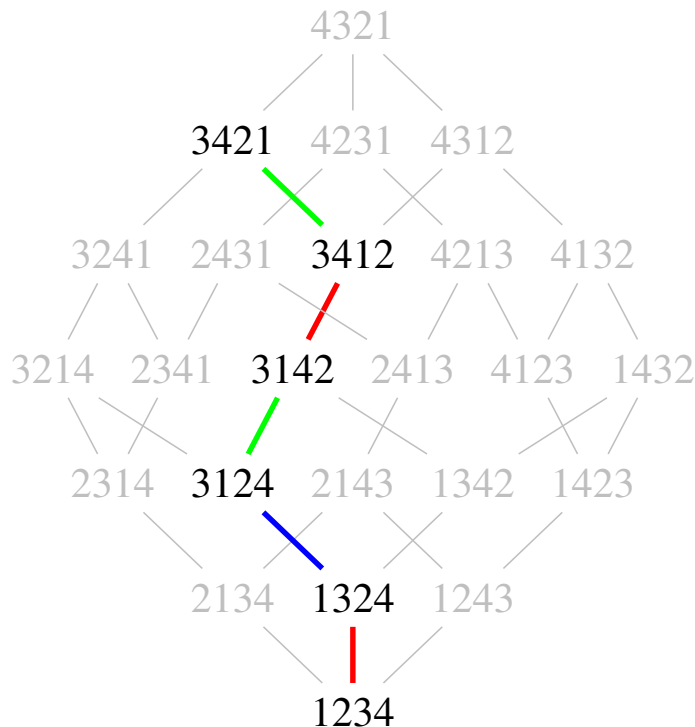
# Algorithm example

**Data:** a permutation  $\pi \in \mathfrak{S}_n$  and an integer  $j \in \{2, \dots, n-1\}$

**Result:** a reduced word accepted by  $\cup(j)$  that may be a reduced expression for  $\pi$

$\pi$	$w$	$j$	$\ell$
3421	$\varepsilon$	2	2
2431	$s_2$	3	1
1432	$s_2 \cdot s_1$	3	3
1342	$s_2 \cdot s_1 \cdot s_3$	4	2
1243	$s_2 \cdot s_1 \cdot s_3 \cdot s_2$	4	3
1234	$s_2 \cdot s_1 \cdot s_3 \cdot s_2 \cdot s_3$	4	

**Table 1:** The  $(\{2\}, \emptyset)$ -permutree sorting of  $\pi_2 := 3421$ .



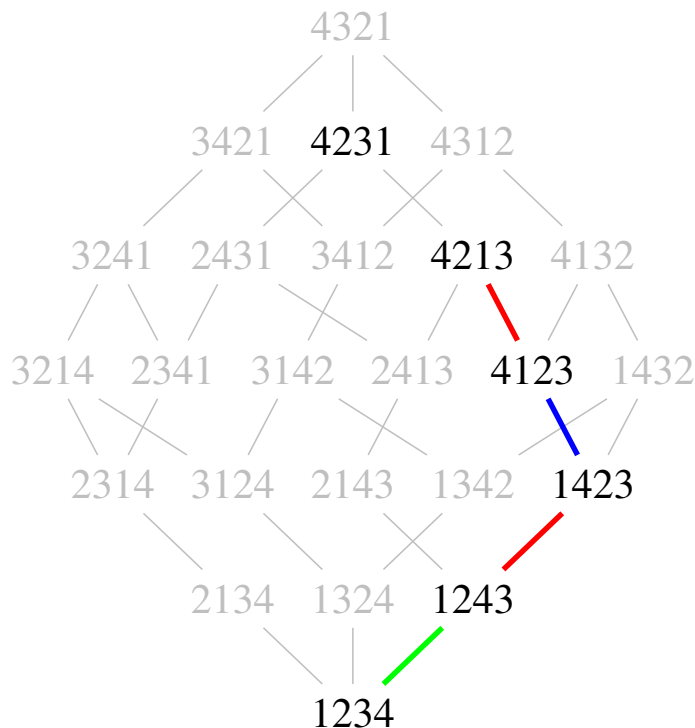
# Algorithm non-example

**Data:** a permutation  $\pi \in \mathfrak{S}_n$  and an integer  $j \in \{2, \dots, n-1\}$

**Result:** a reduced word accepted by  $\cup(j)$  that may be a reduced expression for  $\pi$

$\pi$	$w$	$j$	$\ell$
4231	$\varepsilon$	2	3
3241	$s_3$	2	2
2341	$s_3 \cdot s_2$	3	1
1342	$s_3 \cdot s_2 \cdot s_1$	3	2
1243	$s_3 \cdot s_2 \cdot s_1 \cdot s_2$	3	

**Table 2:** The  $(\{2\}, \emptyset)$ -permutree sorting of  $\pi_2 := 4231$ .



# Multiple orientation automata

Several orientations lead to multiple congruences, which correspond to multiple automata.



# Multiple orientation automata

Several orientations lead to multiple congruences, which correspond to multiple automata.

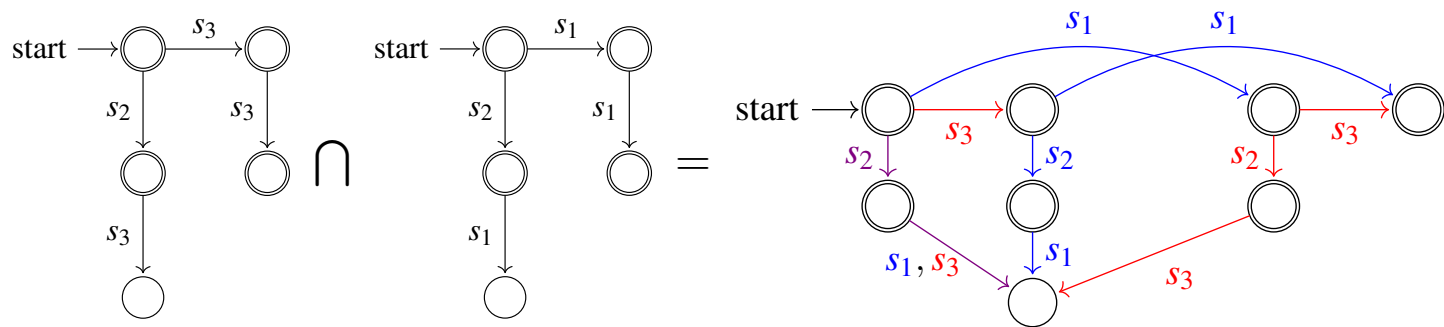


Figure 19: The automaton  $\mathbb{P}(\{3\}, \{2\})$ .

Red (resp. blue) transitions indicate we are staying in the same type of state in  $\mathbb{U}(3)$  (resp.  $\mathbb{D}(2)$ ). Purple ones indicate we are changing state in both automata.

# Intersection of automata

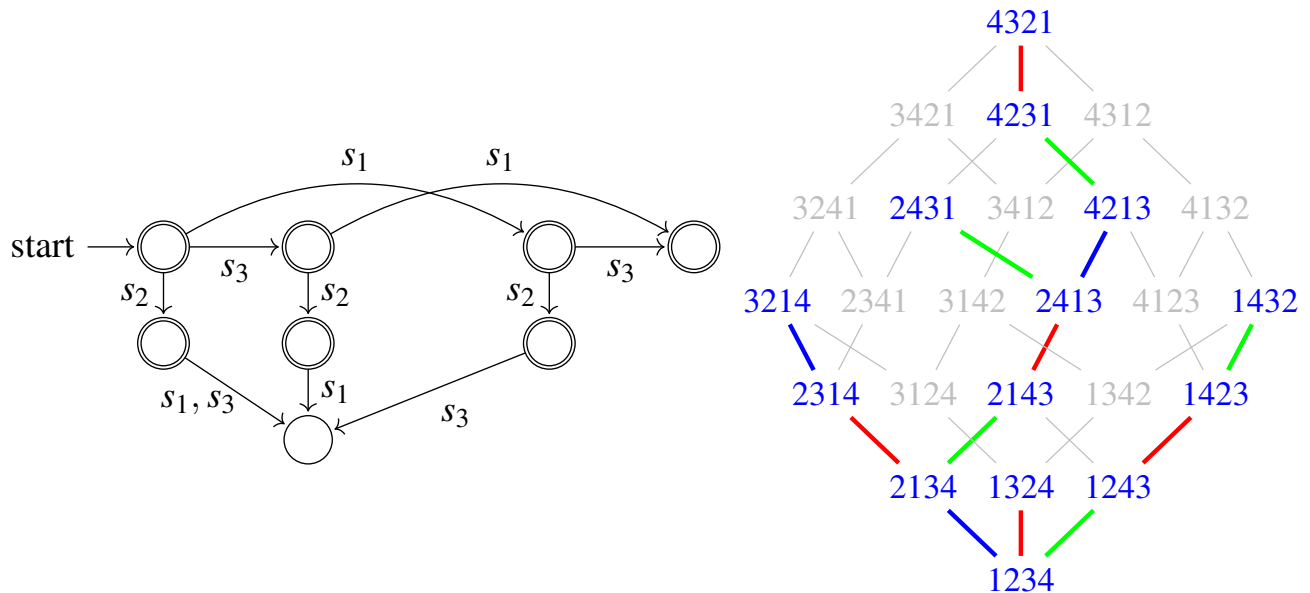
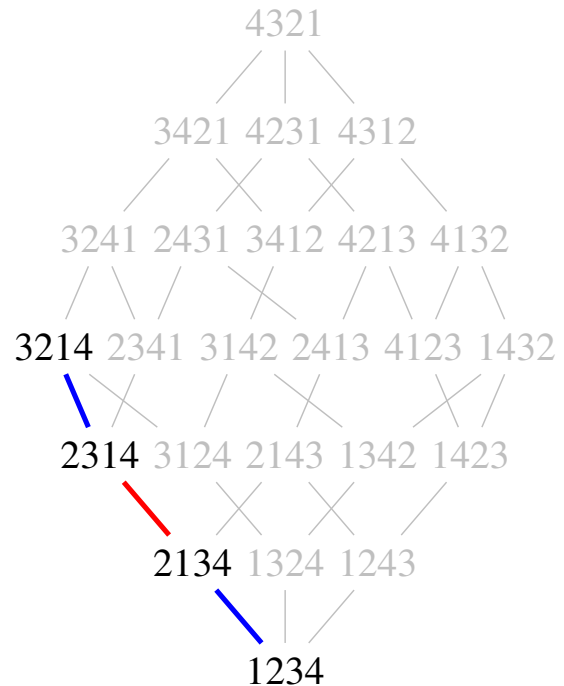


Figure 20:  $\mathbb{P}(\{3\}, \{2\})$  and its accepted reduced words.

# Algorithm 2 example

$\pi$	$w$	$U$	$D$	$\ell$	$k$
3214	$\varepsilon$	$\{3\}$	$\{2\}$	1	.
3124	$s_1$	$\{3\}$	$\{1\}$	2	3
2134	$s_1 \cdot s_2$	$\emptyset$	$\{1\}$	1	.
1234	$s_1 \cdot s_2 \cdot s_1$				

**Table 3:** The  $(\{3\}, \{2\})$ -permutree sorting of  $\pi_2 := 3214$ .



## $c$ -sorting (Coxeter sorting) [Rea07]

Let  $\pi := 3421$  and take the *Coxeter element*  $c := s_2 \cdot s_1 \cdot s_3$ .

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Consider the infinite word

$$c^\infty = c \cdot c \cdot c \cdots = s_2 \cdot s_1 \cdot s_3 \cdot s_2 \cdot s_1 \cdot s_3 \cdot s_2 \cdot s_1 \cdot s_3 \cdots .$$

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Out of all the reduced expressions of  $\pi$ , denote the lexicographically first in  $c^\infty$  as  $\pi(c) = s_2 \cdot s_1 \cdot s_3 \cdot s_2 \cdot s_3$  and call it the  *$c$ -sorting word*.

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If  $\text{Supp}(\pi(c)) \supseteq \text{Supp}(\pi(c)) \supseteq \text{Supp}(\pi(c)) \supseteq \cdots$ , we say that  $\pi$  is  *$c$ -sortable*.

## Theorem (Pilaud, Pons, T. 2020)

For any Coxeter element  $c$  and permutation  $\pi$ , TFAE:

- 1  $\pi$  avoids  $jki$  for  $j \in U_c$  and  $kij$  for  $j \in D_c$ ,
- 2 for each  $j$ , there exists a reduced expression for  $\pi$  that is accepted by  $\mathbb{U}(j)$  if  $j \in U_c$  and  $\mathbb{D}(j)$  if  $j \in D_c$ ,
- 3 there exists a reduced expression of  $\pi$  accepted by  $\mathbb{P}(U_c, D_c)$ ,
- 4 the  $c$ -sorting word  $\pi(c)$  is accepted by the automaton  $\mathbb{P}(U_c, D_c)$ ,
- 5  $\pi$  is  $c$ -sortable.



# References



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# Recent developments and advantages

# Recent developments and advantages

- Generalizable to type B.

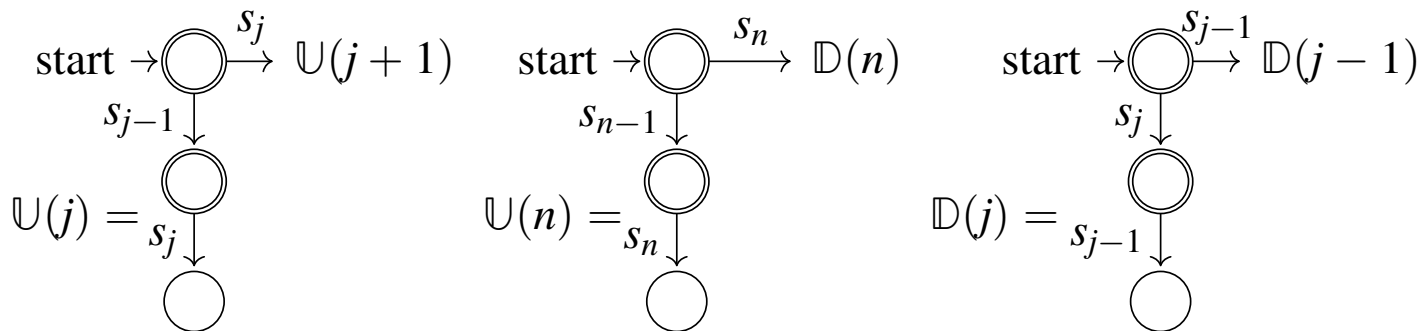
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- Generalizable to type B.
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- Generalizable to type B.
- Problems arise in other Coxeter types like type D and H.
- Computationally faster than doing lattice congruences in SageMath (albeit some details).

# Other types



**Figure 21:** Recursive definition of the automata  $\mathbb{U}(j)$  and  $\mathbb{D}(j)$  in type B (above) and the corresponding automata that form  $\mathbb{U}(2)$  in  $D_4$  (below).

