

TD 1-Noether normalization, Nullstellensatz and applications

All rings will be commutative with 1, all ring maps send 1 to 1. k will always be a field. A map of rings $f : A \rightarrow B$ is called

- **integral** if any $b \in B$ is integral over A , i.e. satisfies an equation $b^n + a_{n-1}b^{n-1} + \dots + a_1b + a_0 = 0$ with $a_i \in A$ (we use f to see B as A -algebra).
- **finite** (resp. **of finite type**) if it turns B into a finitely generated A -module (resp. A -algebra).

0.1 Warming up

1. Describe the following set :
 - (a) $\text{Spec}(A)$, where A is a principle ring (i.e A is an integral domain and every ideals of A is principle).
 - (b) $\text{Spec}(\mathbb{R}[T])$ and $\text{Spec}(\mathbb{Z}[i])$.
 - (c) $\text{Spec}(k[[T]])$ where k is any field.
2. Let A be any ring, for any ideal I of A , show that : $\sqrt{I} = \bigcap_{I \subset \mathfrak{p}, \mathfrak{p} \in \text{Spec}(A)} \mathfrak{p}$

0.2 Connectedness of $\text{Spec}(A)$

Let A be a ring. Show that the followings are equivalent :

1. $\text{Spec}(A)$ is not connected.
2. There exists non zero idempotent elements $e_1, e_2 \in A$ (i.e $e_1^2 = e_1; e_2^2 = e_2$) such that $e_1e_2 = 0$.
3. A can be written as a product of two non trivial rings.

0.3 Basic things on integrality

Make sure you know the following things **very well**, since we will use them constantly.

1. Prove that any finite map of rings is integral. Moreover, an integral map $f : A \rightarrow B$ is finite if and only if it is of finite type, if and only if B is generated as A -algebra by finitely many elements integral over A .
2. Prove that a composition of finite (resp. integral, resp. of finite type) rings maps is also finite (resp...). Moreover, if $A \rightarrow B$ is integral (resp...), then for any map $A \rightarrow C$ the natural map $C \rightarrow C \otimes_A B$ is integral (resp...).
3. (a recurrent trick) Suppose that $f : A \rightarrow B$ is an injective integral map of rings. Prove that A is a field if and only if B is a field.

0.4 Noether's normalization lemma

This result is absolutely fundamental, make sure you understand it!

1. (preparation) Let R be a ring and let $f \in R[X_1, \dots, X_n] \setminus R$. Prove that if e is large enough, then we can find $d > 0$, $a \in R \setminus \{0\}$ and $a_0, \dots, a_{d-1} \in R[X_1, \dots, X_{n-1}]$ such that

$$f(X_1 + X_n^{e^{n-1}}, X_2 + X_n^{e^{n-2}}, \dots, X_{n-1} + X_n^e, X_n) = aX_n^d + a_{d-1}X_n^{d-1} + \dots + a_0.$$

2. Prove **Noether's normalization lemma** : let A, B be integral domains and let $f : A \rightarrow B$ be an injective map of finite type. Then we can find $x_1, \dots, x_d \in B$ and $f \in A \setminus \{0\}$ such that the natural $A[1/f]$ -algebra map $A[1/f][T_1, \dots, T_d] \rightarrow B[1/f], T_i \mapsto x_i$ is injective and finite.
Hint : pick the smallest d for which there are $f \in A \setminus \{0\}$ and $x_1, \dots, x_d \in B$ such that the previous map is integral, and show that up to replacing f by a multiple of it, x_1, \dots, x_d work, using the preparation result.
3. State in a comprehensible way what this result says about finitely generated algebras over a field and over \mathbf{Z} .

0.5 Zariski's lemma

Let k be a field. We want to prove (in two different ways) **Zariski's lemma** : if a finitely generated k -algebra A is a field, then A is a finite extension of k .

1. Give a proof using Noether normalization and the recurrent trick in exercise 1.
2. For a second proof, we will prove by induction on n the following statement : if A is a k -algebra generated by n elements, say x_1, \dots, x_n , and if A is a field, then x_1, \dots, x_n are algebraic over k .
 - a) Treat the case $n = 1$. Suppose now that the result holds for algebras generated by at most $n - 1$ elements (over any field!) and that x_1 is not algebraic over k .
 - b) Prove that we can find $a \in k[x_1] \subset A$ nonzero such that ax_2, \dots, ax_n are integral over $k[x_1]$.
Hint : note that $A = k(x_1)[x_2, \dots, x_n]$.
 - c) Prove that if $b \in k[x_1]$ is nonzero, then for d large enough $\frac{a^d}{b}$ belongs to $k[x_1, ax_2, \dots, ax_n]$. Conclude that $\frac{a^d}{b} \in k[x_1]$ and finish the proof.

0.6 Maximal ideals in $k[X_1, \dots, X_n]$ and $\mathbf{Z}[X_1, \dots, X_n]$

Let k be a field.

1. Prove that if m is a maximal ideal of $k[X_1, \dots, X_n]$, then $k[X_1, \dots, X_n]/m$ is a finite extension of k .
2. If k is algebraically closed, give a natural bijection between maximal ideals of $k[X_1, \dots, X_n]$ and k^n . In general, prove that the maximal ideals of $k[X_1, \dots, X_n]$ are in canonical bijection with the G -orbits on \bar{k}^n , where \bar{k} is an algebraic closure of k and $G = \text{Gal}(\bar{k}/k)$.
3. (**weak Nullstellensatz**) The polynomials $f_1, \dots, f_d \in k[X_1, \dots, X_n]$ have no common zero in an algebraic closure of k . Prove that we can find polynomials $g_1, \dots, g_d \in k[X_1, \dots, X_n]$ such that

$$f_1g_1 + \dots + f_dg_d = 1.$$

4. Prove that if m is a maximal ideal of $\mathbf{Z}[X_1, \dots, X_n]$, then $\mathbf{Z}[X_1, \dots, X_n]/m$ is a finite field.
5. Prove that a family of polynomials $f_i \in \mathbf{Z}[X_1, \dots, X_n]$ generates the unit ideal in $\mathbf{Z}[X_1, \dots, X_n]$ if and only if the equations $f_i(x_1, \dots, x_n) = 0$ have no common solution in any finite field.

0.7 The Nullstellensatz

Let k be a field.

1. Let $f : A \rightarrow B$ be a morphism of finitely generated k -algebras. Prove that the inverse image of any maximal ideal of B is a maximal ideal of A .
2. Prove that if A is a finitely generated k -algebra, then for all ideals I of A , \sqrt{I} is the intersection of all maximal ideals of A containing I . **Hint** : reduce to $I = 0$, then apply the first item to the map $A \rightarrow A[1/f]$ (for suitable f).
3. Deduce **Hilbert's Nullstellensatz** : if $f, g_1, \dots, g_d \in k[X_1, \dots, X_n]$ and f vanishes at each common zero of g_1, \dots, g_d in an algebraically closed extension of k , then for N large enough $f^N \in (g_1, \dots, g_d)$.