

# Band topology and submanifolds of matrices

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## Theme of my talk

### **Submanifold in the space of matrices**

**I will relate:**

- **cohomology classes on such submanifolds;**
- **topological invariants of quantum systems on lattices such as insulators and semimetals.**

**What I will talk about is something like a basic idea for future possible works, and it stemmed from discussion with collaborators:**

**Ken Shiozaki, Masatoshi Sato, Guo Chuan Thiang, ...**

# Plan of my talk

- 1 Introduction
- 2 Submanifolds in the space of matrices
- 3 Change of topological numbers
- 4 Semimetal

## Quantum system on lattice

- I would like to consider a certain **quantum mechanical system on a lattice**  $\mathbb{Z}^d \subset \mathbb{R}^d$ .
- The Hilbert space in this system is

$$L^2(\mathbb{Z}^d, \mathbb{C}^n) = \left\{ \psi = (\psi(j))_{j \in \mathbb{Z}^d} \mid \sum \|\psi(j)\|^2 < \infty \right\},$$

where  $n$  is the internal freedom at each site.

- The Hamiltonian  $H : L^2(\mathbb{Z}^d, \mathbb{C}^n) \rightarrow L^2(\mathbb{Z}^d, \mathbb{C}^n)$  is a self-adjoint operator which is
  - commuting with the translation operator on the lattice,
  - describing a “short range interaction”.

## Fourier transformed Hamiltonian

- Under the assumptions on the Hamiltonian  $H$ , its information is completely encoded into the Fourier transformed Hamiltonian

$$\hat{H} : T^d \rightarrow \mathcal{H}(\mathbb{C}^n).$$

This is a continuous map from the  $d$ -dimensional torus (BZ torus) to the space of  $n \times n$  Hermitian matrices

$$\mathcal{H}(\mathbb{C}^n) = \{H \in M(n, \mathbb{C}) \mid H^\dagger = H\} \cong \mathbb{R}^{n^2}.$$

- Let us say that the quantum system is
  - **insulator** if  $\det \hat{H}(k) \neq 0$  for all  $k \in T^d$ ,
  - **semimetal** if  $\det \hat{H}(k) = 0$  at some  $k \in T^d$ .

(The definition may be physically insufficient.)

## Classification of topological phase by homotopy

- Now, the classification of the topological phase described by  $\hat{H}$  can be done by the classification of **homotopy class of  $\hat{H}$**  within a fixed type of phases.
- For example, if  $\hat{H}$  is an insulator ( $\det \hat{H} \neq 0$ ), then we consider the homotopy within insulators. In other words, we consider the homotopy classes of

$$T^d \rightarrow \mathcal{H}_0(\mathbb{C}^n) = \{H \in \mathcal{H}(\mathbb{C}^n) \mid \det H \neq 0\}.$$

- A more equivalence relation (such as “stability” under an addition of uninteresting phases) can be introduced , but I will not consider it today for simplicity.

## Topological invariant: the case of insulator

- Then, for the classification of the homotopy class of  $\hat{H}$ , it is useful to consider a **topological invariant of  $\hat{H}$** , a quantity invariant under the homotopy deformation.
- For example, in the case of an insulator

$$\hat{H} : T^d \rightarrow \mathcal{H}_0(\mathbb{C}^n) = \{H \in \mathcal{H}(\mathbb{C}^n) \mid \det H \neq 0\},$$

one has the Chern classes of the Bloch vector bundle

$$E = \bigcup_{k \in T^d} \bigoplus_{\lambda < 0} \text{Ker}(\hat{H}(k) - \lambda).$$

- In 2D case, the integration of the Chern class  $c_1(E)$  is a topological invariant of the insulator.

## Topological invariant: the case of insulator

- In the example of an insulator, the Chern classes of Bloch bundles are closely related to the subspace

$$\mathcal{H}_0(\mathbb{C}^n) = \{H \in \mathcal{H}(\mathbb{C}^n) \mid \det H \neq 0\}$$

in the space of Hermitian matrices  $\mathcal{H}(\mathbb{C}^n)$ .

- Actually,  $\mathcal{H}_0(\mathbb{C}^n) \subset \mathcal{H}(\mathbb{C}^n)$  is an open submanifold homotopy equivalent to the Grassmannian of  $\mathbb{C}^n$ .
- To consider semimetals, we allow **singularities** for the Hamiltonian  $\hat{H} : T^d \rightarrow \mathcal{H}(\mathbb{C}^n)$ , namely, points  $k \in T^d$  at which  $\det \hat{H}(k) = 0$ .
- This leads us to consider subspaces in  $\mathcal{H}(\mathbb{C}^n)$  other than  $\mathcal{H}_0(\mathbb{C}^n)$ .



## The things I would like to talk today:

- 1 **Some subspaces of matrices give rise to submanifolds.**
- 2 **Some cohomology classes on such submanifolds provide invariants of insulators and semimetals.**
- 3 **An application is a formula of the change of topological numbers under a deformation allowing singularities.**

## The things I would like to talk today:

- 1 **Some subspaces of matrices give rise to submanifolds.**
  - 2 **Some cohomology classes on such submanifolds provide invariants of insulators and semimetals.**
  - 3 **An application is a formula of the change of topological numbers under a deformation allowing singularities.**
- **Originally, I anticipated that a use of the submanifolds of matrices can be applied to a detection of new topological phases. But, the things I tell you today are still on the way to the goal.**

- ① Introduction
- ② **Submanifolds in the space of matrices**
- ③ **Change of topological numbers**
- ④ **Semimetal**

## The space of complex square matrices

- To warm up, I would like to consider submanifolds in the **space of complex matrices**  $\mathcal{M}(\mathbb{C}^n) = M(n, \mathbb{C})$ , rather than those in the space of Hermitian matrices  $\mathcal{H}(\mathbb{C}^n)$ .

## The space of complex square matrices

- To warm up, I would like to consider submanifolds in the **space of complex matrices**  $\mathcal{M}(\mathbb{C}^n) = M(n, \mathbb{C})$ , rather than those in the space of Hermitian matrices  $\mathcal{H}(\mathbb{C}^n)$ .
- If we consider a quantum system of **class AIII**, then the **chiral symmetry** allows us to assume that a Fourier-transformed Hamiltonian is of the form

$$\hat{H}(k) = \begin{pmatrix} 0 & A(k) \\ A(k)^\dagger & 0 \end{pmatrix}.$$

Hence the Hamiltonian  $\hat{H}$  amounts to

$$A : T^d \rightarrow \mathcal{M}(\mathbb{C}^n) = M(n, \mathbb{C}).$$

- Because of this fact, it is still meaningful to study  $\mathcal{M}(\mathbb{C}^n)$  in the context of quantum systems.

## Subspaces in $\mathcal{M}(\mathbb{C}^n) = M(n, \mathbb{C}) \cong \mathbb{C}^{n^2}$

### Definition

For an integer  $k$ , we put

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) := \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker} A \leq k\},$$

$$\mathcal{M}_k(\mathbb{C}^n) := \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker} A = k\}.$$

Here  $A$  is regarded as a linear map  $A : \mathbb{C}^n \rightarrow \mathbb{C}^n$  by the left multiplication.

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \mathcal{M}_{\leq k-1}(\mathbb{C}^n) \cup \mathcal{M}_k(\mathbb{C}^n)$$

$$\cdots \subset \mathcal{M}_{\leq k-1}(\mathbb{C}^n) \subset \mathcal{M}_{\leq k}(\mathbb{C}^n) \subset \cdots$$

- In general,  $\mathcal{M}_{\leq k}(\mathbb{C}^n) \subset \mathcal{M}(\mathbb{C}^n)$  is an **open complex submanifold**, and  $\dim_{\mathbb{C}} \mathcal{M}_{\leq k} = \dim_{\mathbb{C}} \mathcal{M} = n^2$ .

## Example: $n = 1$

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker } A \leq k\} \stackrel{\text{open}}{\subset} \mathcal{M}(\mathbb{C}^n),$$

$$\mathcal{M}_k(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker } A = k\}.$$

$$\mathcal{M}_{\leq 1}(\mathbb{C}) = M(1, \mathbb{C}) = \mathbb{C}$$

$$\cup$$

$$\mathcal{M}_1(\mathbb{C}) = \{0\}$$

$$\mathcal{M}_{\leq 0}(\mathbb{C}) = GL(1, \mathbb{C}) = \mathbb{C}^\times$$

- In general, we have obvious identifications

$$\mathcal{M}_{\leq n}(\mathbb{C}^n) = \mathcal{M}(\mathbb{C}^n) \cong \mathbb{C}^{n^2},$$

$$\mathcal{M}_n(\mathbb{C}^n) = \{0\},$$

$$\mathcal{M}_{\leq n-1}(\mathbb{C}^n) \cong \mathbb{C}^{n^2} \setminus \{0\},$$

$$\mathcal{M}_{\leq 0}(\mathbb{C}^n) = \mathcal{M}_0(\mathbb{C}^n) = GL(n, \mathbb{C}).$$

## Example: $n = 2$

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker } A \leq k\} \stackrel{\text{open}}{\subset} \mathcal{M}(\mathbb{C}^n),$$

$$\mathcal{M}_k(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker } A = k\}.$$

$$\mathcal{M}_{\leq 2}(\mathbb{C}^2) = M(2, \mathbb{C}) \cong \mathbb{C}^4$$

$$\cup$$

$$\mathcal{M}_{\leq 1}(\mathbb{C}^2) \cong \mathbb{C}^4 \setminus \{0\}$$

$$\cup$$

$$\mathcal{M}_{\leq 0}(\mathbb{C}^2) = GL(2, \mathbb{C})$$

$$\mathcal{M}_2(\mathbb{C}^2) = \{0\}$$

$$\mathcal{M}_1(\mathbb{C}^2) = \{A \neq 0, \det A = 0\}$$



## Subspace $\mathcal{M}_k(\mathbb{C}^n) \subset \mathcal{M}_{\leq k}(\mathbb{C}^n)$

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker} A \leq k\} \overset{\text{open}}{\subset} \mathcal{M}(\mathbb{C}^n),$$

$$\mathcal{M}_k(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker} A = k\}.$$

### Fact

$\mathcal{M}_k(\mathbb{C}^n) \subset \mathcal{M}_{\leq k}(\mathbb{C}^n)$  is a **closed complex submanifold** of

$$\dim_{\mathbb{C}} \mathcal{M}_k(\mathbb{C}^n) = n^2 - k^2.$$

$$\mathcal{M}_{\leq 1}(\mathbb{C}) = M(1, \mathbb{C}) = \mathbb{C}$$

$$\cup$$

$$\mathcal{M}_{\leq 0}(\mathbb{C}) = GL(1, \mathbb{C}) = \mathbb{C}^{\times}$$

$$\mathcal{M}_1(\mathbb{C}) = \{0\}$$

## Example: $n = 2$

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker} A \leq k\} \overset{\text{op}}{\subset} \mathcal{M}(\mathbb{C}^n),$$

$$\mathcal{M}_k(\mathbb{C}^n) = \{A \in \mathcal{M}(\mathbb{C}^n) \mid \dim \text{Ker} A = k\} \underset{\text{cl}}{\subset} \mathcal{M}_{\leq k}(\mathbb{C}^n).$$

$$\mathcal{M}_{\leq 2}(\mathbb{C}^2) = M(2, \mathbb{C}) \cong \mathbb{C}^4$$

$$\cup$$

$$\mathcal{M}_2(\mathbb{C}^2) = \{0\}$$

$$\mathcal{M}_{\leq 1}(\mathbb{C}^2) \cong \mathbb{C}^4 \setminus \{0\}$$

$$\cup$$

$$\mathcal{M}_1(\mathbb{C}^2) = \{A \neq 0, \det A = 0\}$$

$$\mathcal{M}_{\leq 0}(\mathbb{C}^2) = GL(2, \mathbb{C})$$

## Cohomology

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \mathcal{M}_{\leq k-1}(\mathbb{C}^n) \cup \mathcal{M}_k(\mathbb{C}^n) \supset \mathcal{M}_k(\mathbb{C}^n)$$

$\cup$ 
closed

$\mathcal{M}_{\leq k-1}(\mathbb{C}^n)$  open

- To apply the submanifolds of matrices, we need to know their cohomology groups.
- A basic tool is the long exact sequence associated to the pair  $(\mathcal{M}_{\leq k}(\mathbb{C}^n), \mathcal{M}_{\leq k-1}(\mathbb{C}^n))$  :

$$\begin{aligned} \dots &\rightarrow H^m(\mathcal{M}_{\leq k}, \mathcal{M}_{\leq k-1}) \rightarrow H^m(\mathcal{M}_{\leq k}) \rightarrow H^m(\mathcal{M}_{\leq k-1}) \rightarrow \\ \dots &\rightarrow H^{m+1}(\mathcal{M}_{\leq k}, \mathcal{M}_{\leq k-1}) \rightarrow \dots \end{aligned}$$

## Cohomology

$$\mathcal{M}_{\leq k}(\mathbb{C}^n) = \mathcal{M}_{\leq k-1}(\mathbb{C}^n) \cup \mathcal{M}_k(\mathbb{C}^n) \supset \mathcal{M}_k(\mathbb{C}^n)$$

$\cup$  closed

$\mathcal{M}_{\leq k-1}(\mathbb{C}^n)$  open

- **Another basic tool is an isomorphism**  
 (“Alexander-Poincaré duality”)

$$H^m(\mathcal{M}_{\leq k}(\mathbb{C}^n), \mathcal{M}_{\leq k-1}(\mathbb{C}^n)) \stackrel{\alpha}{\cong} H^{m-2k^2}(\mathcal{M}_k(\mathbb{C}^n)).$$

- $n = 1$  and  $k = 1$ :

$$H^m(\mathcal{M}_{\leq 1}, \mathcal{M}_{\leq 0}) = H^m(\mathbb{C}, \mathbb{C}^\times) \cong H^m(D^2, \partial D^2),$$

$$H^{m-2}(\mathcal{M}_1) = H^{m-2}(\{0\}).$$

## Remark

- Next, I will apply the submanifolds and their cohomology classes to quantum systems of class AIII.
- Before that, I remark that what I presented here is a finite-dimensional analogue of Koschorke's work:

U. Koschorke, Infinite dimensional  $K$ -theory and characteristic classes of Fredholm bundle maps. 1970 Global Analysis (Proc. Sympos. Pure Math., Vol. XV, Berkeley, Calif., 1968) pp. 95–133 Amer. Math. Soc., Providence, R.I.

- In this paper, characteristic classes for  $K$ -theory are constructed by using submanifolds in the space of Fredholm operators.

## Remark

- Replacing  $\mathbb{C}^n$  by an infinite-dimensional Hilbert space  $\mathcal{H}$ , we can make sense of the following generalizations of  $\mathcal{M}_{\leq k}(\mathbb{C}^n)$  and  $\mathcal{M}_k(\mathbb{C}^n)$ :

$$\mathcal{F}_{\leq k}(\mathcal{H}) = \left\{ \begin{array}{l} A : \mathcal{H} \rightarrow \mathcal{H} \text{ Fredholm operator} \\ \dim \text{Ker } A = \dim \text{Coker } A \leq k \end{array} \right\},$$

$$\mathcal{F}_k(\mathcal{H}) = \left\{ \begin{array}{l} A : \mathcal{H} \rightarrow \mathcal{H} \text{ Fredholm operator} \\ \dim \text{Ker } A = \dim \text{Coker } A = k \end{array} \right\}.$$

- It turns out that  $\mathcal{F}_k(\mathcal{H}) \subset \mathcal{F}_{\leq k}(\mathcal{H})$  is a complex submanifold of codimension  $k^2$ , although  $\mathcal{F}_k(\mathcal{H})$  and  $\mathcal{F}_{\leq k}(\mathcal{H})$  are infinite-dimensional.
- There is also an isomorphism

$$H^m(\mathcal{F}_{\leq k}, \mathcal{F}_{\leq k-1}) \cong H^{m-2k^2}(\mathcal{F}_k).$$

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## Change of topological numbers

- Recall that a certain quantum system on a  $d$ -dimensional lattice is described by a map  $H : T^d \rightarrow \mathcal{H}(\mathbb{C}^n)$ .
- An insulator is characterized by the gap condition;  $\det H(k) \neq 0$  for all  $k \in T^d$ .
- A quantum system is **class AIII**, if there is a linear symmetry  $\Gamma$  (called a chiral symmetry) such that

$$\Gamma^2 = 1, \quad \Gamma H(k) = -H(k)\Gamma.$$

- Without loss of generality, we can assume

$$\Gamma = \begin{pmatrix} 1_{\mathbb{C}^n} & 0 \\ 0 & -1_{\mathbb{C}^n} \end{pmatrix}, \quad H(k) = \begin{pmatrix} 0 & A(k)^\dagger \\ A(k) & 0 \end{pmatrix}.$$



## Class AIII insulator

- Then a  $d$ -dim insulator of class AIII is described by

$$A : T^d \rightarrow \mathcal{M}_{\leq 0}(\mathbb{C}^n) = \mathcal{M}_0(\mathbb{C}^n) = GL(n, \mathbb{C}).$$

- It is well-known that  $GL(n, \mathbb{C}) \simeq U(n)$ , and

$$H^\bullet(U(n); \mathbb{Z}) = \bigwedge (\nu_1, \dots, \nu_{2n-1}),$$

where  $\nu_{2j-1} \in H^{2j-1}(U(n); \mathbb{Z})$ .

- In the case that  $d = 2n - 1$ , we can define the  **$d$ -dimensional winding number** by

$$\text{top}(A) = \int_{T^{2n-1}} A^* \nu_{2n-1} \in \mathbb{Z}.$$

## Change of topological phases

- Now, let us consider a **one-parameter family** of  $(2n - 1)$ -dimensional class **AIII** quantum systems

$$\tilde{A} : T^{2n-1} \times [0, 1] \rightarrow \mathcal{M}(\mathbb{C}^n) = \mathbb{C}^{n^2}.$$

### Assumption

- 1  $\tilde{A}$  is a smooth map.
- 2  $\tilde{A}(k, t) \in \mathcal{M}_{\leq 1}(\mathbb{C}^n) \subset \mathcal{M}(\mathbb{C}^n)$  for all  $k, t$ .
- 3  $\tilde{A}(k, i) \in \mathcal{M}_0(\mathbb{C}^n)$  for all  $k$  and  $i = 0, 1$ .
- 4 a condition which ensures that

$$\Sigma := \{(k, t) \in T^{2n-1} \times [0, 1] \mid \det \tilde{A}(k, t) = 0\}$$

is a  $(2n - 2)$ -dimensional submanifold of  $T^{2n-1} \times [0, 1]$ .

## Change of topological numbers

$$(T^{2n-1} \times [0, 1], T^{2n-1} \times \{0, 1\}) \xrightarrow{\tilde{A}} (\mathcal{M}_{\leq 1}(\mathbb{C}^n), \mathcal{M}_{\leq 0}(\mathbb{C}^n))$$

$$\Sigma = \{(k, t) \in T^{2n-1} \times [0, 1] \mid \det \tilde{A}(k, t) = 0\} \xrightarrow{\tilde{A}|_{\Sigma}} \mathcal{M}_1(\mathbb{C}^n)$$

### Theorem

Under the assumption, we have

$$\text{top}(\tilde{A}|_{T^{2n-1} \times \{0\}}) - \text{top}(\tilde{A}|_{T^{2n-1} \times \{1\}}) = \int_{\Sigma} \tilde{A}|_{\Sigma}^* \gamma_{2n-2},$$

where  $\gamma_{2n-2} \in H^{2n-2}(\mathcal{M}_1(\mathbb{C}^n))$  is the class induced from  $\nu_{2n-1} \in H^{2n-1}(\mathcal{M}_{\leq 0}(\mathbb{C}^n))$  through

$$H^{2n-1}(\mathcal{M}_{\leq 0}) \xrightarrow{\delta} H^{2n}(\mathcal{M}_{\leq 1}, \mathcal{M}_{\leq 0}) \cong^{\alpha} H^{2n-2}(\mathcal{M}_1).$$

### Example: $d = 1$ ( $n = 1$ )

$$(T^{2n-1} \times [0, 1], T^{2n-1} \times \{0, 1\}) \xrightarrow{\tilde{A}} (\mathcal{M}_{\leq 1}(\mathbb{C}^n), \mathcal{M}_{\leq 0}(\mathbb{C}^n))$$

$$\Sigma = \{(k, t) \in T^{2n-1} \times [0, 1] \mid \det \tilde{A}(k, t) = 0\}$$

$$\text{top}(\tilde{A}|_{T^{2n-1} \times \{0\}}) - \text{top}(\tilde{A}|_{T^{2n-1} \times \{1\}}) = \int_{\Sigma} \tilde{A}|_{\Sigma}^* \gamma_{2n-2}$$

- In the case of  $n = 1$ , let us consider

$$\tilde{A} : \mathbb{R}/2\pi\mathbb{Z} \times [0, 1] \longrightarrow \mathcal{M}_{\leq 1}(\mathbb{C}) = \mathbb{C}$$

$$\tilde{A}(k, t) = (1 - t) + te^{ik}$$

- Then the change of the topological numbers

$$0 - 1 = -1$$

agrees with the number of points in  $\Sigma = \{(\pi, 1/2)\}$

counted with weight  $\tilde{A}^* \gamma_0 = -1$ .

## Remark

- I just explained the case of class AIII, but the formula can be generalized:
  - ① we can consider the other Altland-Zirnbauer classes (class A, AI, BDI, D, ....)
  - ② we can allow crystalline symmetry of the lattice
- In the first generalization, we need to consider a suitable **equivariant cohomology**, for real classes.
- In the second generalization, we need to consider a **fiber bundle of submanifolds of matrices** in addition, for nonsymmorphic crystalline symmetry.

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## Semimetal

- I would like to relate submanifolds of matrices with the “semimetal class” of **V. Mathai** and **G. C. Thiang**:

**V. Mathai and G. C. Thiang, Global topology of Weyl semimetals and Fermi arcs. Journal of Physics A: Mathematical and Theoretical 50 (11), 11LT01**

**V. Mathai and G. C. Thiang, Differential topology of semimetals. Communications in Mathematical Physics 355 (2), 561-602**

## Submanifolds of traceless Hermitian matrices

- For the semimetal setup, let us consider the subspaces of  $n \times n$  **traceless** Hermitian matrices

$$\begin{aligned}\mathcal{H}^0(\mathbb{C}^n) &= \{H \in \mathcal{M}(\mathbb{C}^n) \mid H^\dagger = H, \text{tr}H = 0\} \cong \mathbb{R}^{n^2-1}, \\ \mathcal{H}_{\leq k}^0(\mathbb{C}^n) &= \{H \in \mathcal{H}^0(\mathbb{C}^n) \mid \dim \text{Ker}H \leq k\}, \\ \mathcal{H}_k^0(\mathbb{C}^n) &= \{H \in \mathcal{H}^0(\mathbb{C}^n) \mid \dim \text{Ker}H = k\}.\end{aligned}$$

- The traceless condition leads to

$$\mathcal{H}_{n-1}^0(\mathbb{C}^n) = \emptyset, \quad \mathcal{H}_{\leq n-2}^0(\mathbb{C}^n) = \mathcal{H}_{\leq n-1}^0(\mathbb{C}^n).$$

- Except for this point, we have the same results as before.



## Submanifolds of traceless Hermitian matrices

$$\mathcal{H}_{\leq k}^0(\mathbb{C}^n) = \{H \in \mathcal{H}^0(\mathbb{C}^n) \mid \dim \text{Ker} H \leq k\},$$

$$\mathcal{H}_k^0(\mathbb{C}^n) = \{H \in \mathcal{H}^0(\mathbb{C}^n) \mid \dim \text{Ker} H = k\}.$$

- In general,  $\mathcal{H}_{\leq k}^0(\mathbb{C}^n) \subset \mathcal{H}^0(\mathbb{C}^n)$  is an open submanifold of dimension  $\dim_{\mathbb{R}} \mathcal{H}_{\leq k}^0(\mathbb{C}^n) = \dim_{\mathbb{R}} \mathcal{H}^0(\mathbb{C}^n) = n^2 - 1$ .
- $\mathcal{H}_k^0(\mathbb{C}^n) \subset \mathcal{H}_{\leq k}^0(\mathbb{C}^n)$  is a closed submanifold of dimension  $n^2 - k^2 - 1$  for  $k \leq n - 2$ , with orientable normal bundle.
- In the case of  $n = 2$ :

$$\mathcal{H}_{\leq 2}^0(\mathbb{C}^2) \cong \mathbb{R}^3$$

$$\cup$$

$$\mathcal{H}_{\leq 0}^0(\mathbb{C}^2) = \mathcal{H}_{\leq 1}^0(\mathbb{C}^2) \cong \mathbb{R}^3 \setminus \{0\}$$

$$\mathcal{H}_2(\mathbb{C}^2) = \{0\}$$

### 3d “Weyl” semimetal

$$\begin{array}{l} \mathcal{H}_{\leq 2}^0(\mathbb{C}^2) \cong \mathbb{R}^3 \\ \cup \\ \mathcal{H}_{\leq 0}^0(\mathbb{C}^2) = \mathcal{H}_{\leq 1}^0(\mathbb{C}^2) \cong \mathbb{R}^3 \setminus \{0\} \end{array} \quad \mathcal{H}_2(\mathbb{C}^2) = \{0\}$$

- Suppose that we are given a continuous map

$$H : T^3 \rightarrow \mathcal{H}_{\leq 2}^0(\mathbb{C}^2) = \mathcal{H}^0(\mathbb{C}^2) \cong \mathbb{R}^3$$

such that the set of “Weyl points”

$$W = \{k \in T^3 \mid \det H(k) = 0\}$$

consists of a finite number of points.

### 3d “Weyl” semimetal

$$W = \{k \in T^3 \mid \det H(k) = 0\}$$

$$\begin{array}{ccc}
 W & & \mathcal{H}_2^0(\mathbb{C}^2) = \{0\} \\
 \cap & & \cap \\
 T^3 & \xrightarrow{H} & \mathcal{H}_{\leq 2}^0(\mathbb{C}^2) \cong \mathbb{R}^3 \\
 \cup & & \cup \\
 T^3 \setminus W & & \mathcal{H}_{\leq 0}^0(\mathbb{C}^2) = \mathcal{H}_{\leq 1}^0(\mathbb{C}^2) \cong \mathbb{R}^3 \setminus \{0\} \simeq S^2
 \end{array}$$

- We can then have a map

$$H : T^3 \setminus W \rightarrow \mathcal{H}_{\leq 0}^0(\mathbb{C}^2).$$

- The pullback of a generator in  $H^2(\mathcal{H}_{\leq 0}^0(\mathbb{C}^2)) \cong \mathbb{Z}$  is essentially the semimetal class of Mathai and Thiang:

The semimetal class of  $H \in H^2(T^3 \setminus W)$ .

### 3d “Weyl” semimetal

- The “Mayer-Vietoris sequence” of Mathai-Thiang is equivalent to

$$\overbrace{H^2(T^3)}^{\text{insulator}} \rightarrow \overbrace{H^2(T^3 \setminus W)}^{\text{semimetal}} \xrightarrow{\delta} H^3(T^3, T^3 \setminus W) \cong \overbrace{H^0(W)}^{\text{charge}}.$$

- There is the universal counterpart

$$H^2(\mathcal{H}_{\leq 2}^0) \rightarrow H^2(\mathcal{H}_{\leq 0}^0) \xrightarrow{\delta} H^3(\mathcal{H}_{\leq 2}^0, \mathcal{H}_{\leq 0}^0) \cong H^0(\mathcal{H}_2^0).$$

- A use of the submanifolds

$$\cdots \subset \mathcal{H}_{\leq k-1}^0(\mathbb{C}^n) \subset \mathcal{H}_{\leq k}^0(\mathbb{C}^n) \subset \cdots$$

would generalize the semimetal class.