Bulk-Boundary Correspondence in Disordered Topological Insulators and Superconductors

Christopher Max

04.09.2018

Supervisor: PD Alexander Alldridge



- Construction of the C*-algebra of observables.
- (a) Classification of gapped bulk systems in Van Daele KR-theory.
- Systematic pseudo-symmetry picture for the corresponding boundary classes in Kasparov's KR-theory.

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Controlled Lattice Operators

Tight-binding model over the lattice L.

bulk lattice : $L = |\mathbb{Z}^d|$, half-space: $L = |\mathbb{Z}^{d-1} \times \mathbb{N}|$ Localized lattice states: $\ell^2(L) := \ell^2(L, \mathbb{C}) \rightarrow$ Complex Hilbert space with real structure \mathfrak{c} of point-wise complex conjugation.

-

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Controlled Lattice Operators

Tight-binding model over the lattice L.

bulk lattice : $L = |\mathbb{Z}^d|$, half-space: $L = |\mathbb{Z}^{d-1} \times \mathbb{N}|$ Localized lattice states: $\ell^2(L) := \ell^2(L, \mathbb{C}) \rightarrow$ Complex Hilbert space with real structure \mathfrak{c} of point-wise complex conjugation.

Definition (Controlled operators)

 $T \in \mathbb{B}(\ell^2(L))$ is controlled or has finite propagation, if there is some R > 0 such that $\langle x|T|y \rangle = 0$ for all $x, y \in L$ with |x - y| > R.

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Controlled Lattice Operators

Tight-binding model over the lattice L.

bulk lattice : $L = |\mathbb{Z}^d|$, half-space: $L = |\mathbb{Z}^{d-1} \times \mathbb{N}|$ Localized lattice states: $\ell^2(L) := \ell^2(L, \mathbb{C}) \rightarrow$ Complex Hilbert space with real structure \mathfrak{c} of point-wise complex conjugation.

Definition (Controlled operators)

 $T \in \mathbb{B}(\ell^2(L))$ is controlled or has finite propagation, if there is some R > 0 such that $\langle x|T|y \rangle = 0$ for all $x, y \in L$ with |x - y| > R.

Definition (Real C*-algebra)

A complex C^* -algebra A is a complex Banach algebra with an anti-linear anti-involution $*: A \to A$ s.th. $||a^*a|| = ||a||^2 \quad \forall a \in A$. A *Real* C^* -algebra is a complex C^* -algebra A with a real involution, i.e. a *-isometric anti-linear involution $\overline{\cdot}: A \to A$.

Definition (Uniform Roe C*-algebra)

 $C_u^*(L) := \overline{\{T \in \mathbb{B}(\ell^2(L)) \mid T \text{ controlled}\}}^{\|\cdot\|} \text{ defines a Real C*-algebra with real involution } Ad_{\mathfrak{c}}.$

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Nambu Space of Internal Degrees of Freedom

• Single particle picture

V: complex vector space of internal d.o.f.; inner product $\langle \cdot, \cdot \rangle$.

글 > 글

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Nambu Space of Internal Degrees of Freedom

• Single particle picture

V: complex vector space of internal d.o.f.; inner product $\langle \cdot, \cdot \rangle$.

• Many particle space without interactions:

Nambu space of fields:

$$W = V \oplus V^*$$

Choice of basis e_1, \ldots, e_n of V:

$$V \oplus V^* \cong \operatorname{span}_{\mathbb{C}}(c_1^{\dagger}, \ldots, c_n^{\dagger}, c_1, \ldots, c_n)$$

- A 🖻 🕨

э

Construction of $\mathbf{C}^*\text{-algebra}$ of observables

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Nambu Space of Internal Degrees of Freedom

• Single particle picture

V: complex vector space of internal d.o.f.; inner product $\langle \cdot, \cdot \rangle$.

• Many particle space without interactions: Nambu space of fields:

$$W = V \oplus V^{\circ}$$

Choice of basis e_1, \ldots, e_n of V:

$$V \oplus V^* \cong \operatorname{span}_{\mathbb{C}}(c_1^{\dagger}, \ldots, c_n^{\dagger}, c_1, \ldots, c_n)$$

Anti-linear Riesz isomorphism $R: V \to V^*$: $R(v) = \langle v, \cdot \rangle$.

- Real structure on W: $\gamma_W = \begin{pmatrix} 0 & R^* \\ R & 0 \end{pmatrix}$, $\gamma_W^2 = 1$, $\gamma_W(\lambda w) = \bar{\lambda} \gamma_W(w)$.
- γ_W induced by fermionic anti-commutation relations $\{\cdot, \cdot\}$ and the inner product $\langle \cdot, \cdot \rangle$ on V and V^{*}.
- $\bar{M} = \operatorname{Ad}_{\gamma_W}(M) = \gamma_W M \gamma_W$ real structure on $\operatorname{End}(W)$.

Hamiltonian without interaction:

$$\begin{aligned} \mathcal{H} &= \sum_{i,j} c_i^{\dagger} A_{ij} c_j + c_i^{\dagger} B_{ij} c_j^{\dagger} + c_i C_{ij} c_j + c_i D_{ij} c_j^{\dagger} \\ &\to \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{End}(W), \overline{\begin{pmatrix} A & B \\ C & D \end{pmatrix}} = - \begin{pmatrix} A & B \\ C & D \end{pmatrix}. \end{aligned}$$

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Homogeneous Disorder

Definition (Dynamical system of disorder)

A dynamical system $(\Omega, au, \mathbb{Z}^d)$ describing homogeneous disorder is given by

- a compact Hausdorff space $\Omega = \left(\Omega_0\right)^{\mathbb{Z}^d}$,
- the \mathbb{Z}^d -action on Ω : $\tau : \mathbb{Z}^d \to \operatorname{Homeo}(\Omega), \tau_x(\omega_y) = \omega_{y-x}$.

4 E b

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Homogeneous Disorder

Definition (Dynamical system of disorder)

A dynamical system $(\Omega, \tau, \mathbb{Z}^d)$ describing homogeneous disorder is given by

- a compact Hausdorff space $\Omega = (\Omega_0)^{\mathbb{Z}^d}$,
- the \mathbb{Z}^d -action on Ω : $\tau : \mathbb{Z}^d \to \operatorname{Homeo}(\Omega), \tau_x(\omega_y) = \omega_{y-x}$.

Disorder on the level of operators:

Definition (The disordered bulk C*-algebra)

Let $U:\mathbb{Z}^d\to \mathbb{B}\big(\ell^2(|\mathbb{Z}^d|)\big)$ be the action via translations. The Real $C^*\text{-algebra of bulk}$ observables is given by

$$egin{aligned} & \mathcal{A}^W_d = \Big\{ \mathcal{T} \in \mathcal{C}ig(\Omega, \mathcal{C}^*_u(|\mathbb{Z}^d|) \otimes \operatorname{End}(\mathcal{W})ig) \mid \mathcal{T}(au_{\mathrm{x}}(\omega)) = U_{\mathrm{x}}\mathcal{T}(\omega)U_{\mathrm{x}}^{-1} \, orall \, \mathrm{x} \in \mathbb{Z}^d \Big\}^{ extsf{integration}} \ & \subset \mathcal{C}(\Omega) \otimes \mathcal{C}^*_u(|\mathbb{Z}^d|) \otimes \operatorname{End}(\mathcal{W}) \end{aligned}$$

Real structure on A_d^W induced by real structures on $\operatorname{End}(W)$ and $C_u^*(|\mathbb{Z}^d|)$.

マロト イヨト イヨト

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Bulk C*-algebra as crossed product C*-algebra

Theorem (Crossed product form of bulk C*-algebra)

$$A^W_d = (C(\Omega) \otimes \operatorname{End}(W)) \rtimes \mathbb{Z}^d.$$

The crossed product $\mathsf{C}^*\mbox{-algebra}$ is the norm-closure of the non-commutative polynomials

$$\Big\{\sum_{x\in\mathbb{Z}^d}M_xu_1^{x_1}\cdots u_d^{x_d}\mid M_x\in C(\Omega)\otimes \operatorname{End}(W), M_x=0 \text{ for almost all } x\in\mathbb{Z}^d\Big\},$$

where

$$u_i M(\omega) u_i^* = M(\tau_{e_i}(\omega)), u_i^* = u_i^{-1}, u_i u_j = u_j u_i$$

for all $i, j \in \{1, \ldots, d\}$ and $M \in C(\Omega) \otimes \operatorname{End}(W) = C(\Omega, \operatorname{End}(W))$.

医下 利用下

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Bulk C*-algebra as crossed product C*-algebra

Theorem (Crossed product form of bulk C*-algebra)

$$A^W_d = (C(\Omega) \otimes \operatorname{End}(W)) \rtimes \mathbb{Z}^d.$$

The crossed product $\mathsf{C}^*\mbox{-algebra}$ is the norm-closure of the non-commutative polynomials

$$\Big\{\sum_{x\in\mathbb{Z}^d}M_xu_1^{x_1}\cdots u_d^{x_d}\mid M_x\in C(\Omega)\otimes \operatorname{End}(W), M_x=0 \text{ for almost all } x\in\mathbb{Z}^d\Big\},$$

where

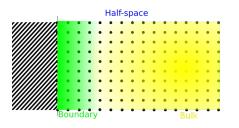
$$u_i M(\omega) u_i^* = M(\tau_{e_i}(\omega)), u_i^* = u_i^{-1}, u_i u_j = u_j u_i$$

for all $i, j \in \{1, ..., d\}$ and $M \in C(\Omega) \otimes \operatorname{End}(W) = C(\Omega, \operatorname{End}(W))$. <u>Clean system</u>: $\Omega_0 = \{pt\}$. Trivial action of \mathbb{Z}^d on $\Omega \to$ translational invariance, $A_d^W = \operatorname{End}(W) \otimes C^*(\mathbb{Z}^d)$.

(* (E)) * (E))

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Half-space and boundary C*-algebra



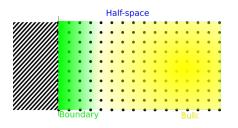
Half-space C*-algebra: $\hat{A}_d^W \cong \overline{\left\{\sum_{n_1,n_2 \in \mathbb{N}} p_{n_1,n_2}(\hat{u}_d)^{n_1}(\hat{u}_d^*)^{n_2}\right\}}^{\|\cdot\|}$, for $p_{n_1,n_2} \in A_{d-1}^W$ and

$$\begin{aligned} \hat{u}_d^* \hat{u}_d &= 1, \ \hat{u}_d \hat{u}_d^* = 1 - P_0, \\ \hat{u}_d M(\omega) &= M(\tau_{e_d}(\omega)) \hat{u}_d, \ \hat{u}_d^* M(\omega) = M(\tau_{-e_d}(\omega)) \hat{u}_d^*, \end{aligned}$$

where P_0 is a 1-dim. projection $(P_0 = |0\rangle \langle 0|)$.

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Half-space and boundary C*-algebra



Half-space C*-algebra: $\hat{A}_d^W \cong \overline{\left\{\sum_{n_1,n_2 \in \mathbb{N}} p_{n_1,n_2}(\hat{u}_d)^{n_1}(\hat{u}_d^*)^{n_2}\right\}}^{\|\cdot\|}$, for $p_{n_1,n_2} \in A_{d-1}^W$ and

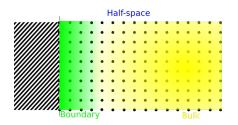
$$\hat{u}_{d}^{*}\hat{u}_{d} = 1, \ \hat{u}_{d}\hat{u}_{d}^{*} = 1 - P_{0}, \\ \hat{u}_{d}M(\omega) = M(\tau_{e_{d}}(\omega))\hat{u}_{d}, \ \hat{u}_{d}^{*}M(\omega) = M(\tau_{-e_{d}}(\omega))\hat{u}_{d}^{*},$$

where P_0 is a 1-dim. projection $(P_0 = |0\rangle \langle 0|)$.

 $\textbf{Boundary } \textbf{C}^*\textbf{-algebra:} \ B^W_d := \hat{A}^W_d P_0 \hat{A}^W_d \cong A^W_{d-1} \otimes \mathbb{K}\big(\ell^2(\mathbb{N})\big) \rightarrow \text{ideal in } \hat{A}^W_d$

Bulk Classification in Van Daele KR-theory Systematic boundary classification and bulk-boundary correspondence

Bulk-boundary short exact sequence



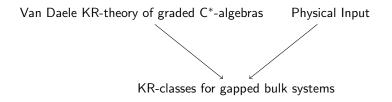
Short exact sequence of Real C*-algebras: $(\mathbb{K} = \mathbb{K}(\ell^2(\mathbb{N})))$

$$0 \to A_{d-1}^W \otimes \mathbb{K} \stackrel{\iota}{\hookrightarrow} \hat{A}_d^W \stackrel{\pi}{\longrightarrow} A_d^W \to 0,$$

where π is the bulk-projection (Real *-homomorphism) defined by $\pi(\hat{u}_d) = u_d$ and $\pi(a) = a$ for $a \in A_{d-1}^W$.

-

Classification of gapped free-fermion bulk groundstates with symmetries



Reference: Kellendonk (2015), arxiv: 1509.06271

Van Daele KR-theory

Definition (Graded, Real C*-algebra)

Let A be a Real C*-algebra. A grading on A is a decomposition

 $\textbf{\textit{A}}=\textbf{\textit{A}}^{(0)}\oplus\textbf{\textit{A}}^{(1)} \text{ with } \textbf{\textit{a}}_i\in\textbf{\textit{A}}^{(i)}, \textbf{\textit{a}}_j\in\textbf{\textit{A}}^{(j)} \Rightarrow \textbf{\textit{a}}_i\textbf{\textit{a}}_j\in\textbf{\textit{A}}^{(i+j)}, \ \bar{\textbf{\textit{a}}}_i\in\textbf{\textit{A}}^{(i)} \ \forall i,j\in\mathbb{Z}_2.$

 $A^{(0)}$: 'even' elements, $A^{(1)}$: 'odd' elements.

- A 🖻 🕨

-

Van Daele KR-theory

Definition (Graded, Real C*-algebra)

Let A be a Real C*-algebra. A grading on A is a decomposition

$$A = A^{(0)} \oplus A^{(1)} \text{ with } a_i \in A^{(i)}, a_j \in A^{(j)} \Rightarrow a_i a_j \in A^{(i+j)}, \ \bar{a}_i \in A^{(i)} \ \forall i, j \in \mathbb{Z}_2.$$

 $A^{(0)}$: 'even' elements, $A^{(1)}$: 'odd' elements.

Example

 $Cl_{a,b}$: Clifford algebra generated by the positive generators K_1, \ldots, K_a and the negative generators l_1, \ldots, l_b , s.th. for all $m, n \in \{1, \ldots, a\}$, $i, j \in \{1, \ldots, b\}$:

$$K_m K_n + K_n K_m = 2\delta_{m,n}, \ K_m^* = K_m, \ \bar{K}_m = K_m,$$
$$I_i I_j + I_j I_i = -2\delta_{i,j}, \ I_i^* = -I_i, \ \bar{I}_i = I_i,$$
$$K_m I_i + I_i K_m = 0.$$

Standard grading: K_n , I_i odd $\forall n, i$.

Van Daele KR-theory

Let A be a graded, Real C*-algebra.

$$\mathcal{F}(A) := \{ a \in A^{(1)} \mid a^* = a, a^2 = 1, \overline{a} = a \},$$

 $F(A) := \mathcal{F}(A) / \text{homotopy.}$

For $[x] \in F(M_n(A)), [y] \in F(M_m(A))$ let $[x] + [y] := \begin{bmatrix} x & 0 \\ 0 & y \end{bmatrix} \in F(M_{n+m}(A)).$

э

글 에 세 글 어

Van Daele KR-theory

Let A be a graded, Real C*-algebra.

$$\mathcal{F}(A) := \{ a \in A^{(1)} \mid a^* = a, a^2 = 1, \overline{a} = a \},$$

 $F(A) := \mathcal{F}(A) / \text{homotopy.}$

For
$$[x] \in F(M_n(A)), [y] \in F(M_m(A))$$
 let $[x] + [y] := \begin{bmatrix} x & 0 \\ 0 & y \end{bmatrix} \in F(M_{n+m}(A)).$

Definition

Choose a reference element $e \in \mathcal{F}(A)$. Van Daele KR-theory for A w.r.t. e is defined as the inductive limit

$$\mathrm{DKR}_{e}(A) := \lim_{n \to n} F(M_{n}(A)),$$

where $F(M_n(A)) \ni [x] \mapsto \begin{bmatrix} \begin{pmatrix} x & 0 \\ 0 & e \end{pmatrix} \end{bmatrix} \in F(M_{n+1}(A)).$

Bulk classification in Van Daele KR-theory

Theorem (Stability)

 $\operatorname{DKR}_{e}(A) \cong \operatorname{DKR}_{e}(A \otimes M_{n}(\mathbb{C}))$ for all $n \in \mathbb{N}$.

э

< ∃ >

Bulk classification in Van Daele KR-theory

Theorem (Stability)

 $\operatorname{DKR}_{e}(A) \cong \operatorname{DKR}_{e}(A \otimes M_{n}(\mathbb{C}))$ for all $n \in \mathbb{N}$.

Theorem

If $e \in \mathcal{F}(A)$ with $e \sim_{hom} -e$, then $DKR_e(A)$ is a group that is, up to isomorphism, independent of the choice of e:

- $[x] + [y] = \left[\begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} \right],$
- Neutral element: [e] = 0,
- Inverse for $[x] \in F(M_n(A))$: $[-e_n x e_n] \in F(M_n(A))$, where $e_n = e \oplus e \dots \oplus e$.
- $\rightarrow \text{DKR}(A)$

医下 利用下

Bulk classification in Van Daele KR-theory

Theorem (Stability)

 $\operatorname{DKR}_{e}(A) \cong \operatorname{DKR}_{e}(A \otimes M_{n}(\mathbb{C}))$ for all $n \in \mathbb{N}$.

Theorem

If $e \in \mathcal{F}(A)$ with $e \sim_{hom} -e$, then $\text{DKR}_e(A)$ is a group that is, up to isomorphism, independent of the choice of e:

- $[x] + [y] = \left[\begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} \right],$
- Neutral element: [e] = 0,
- Inverse for $[x] \in F(M_n(A))$: $[-e_n x e_n] \in F(M_n(A))$, where $e_n = e \oplus e \dots \oplus e$.

 $\rightarrow \text{DKR}(A)$

Theorem

If A is a Real, ungraded C^{*}-algebra, then $DKR(A \otimes Cl_{a+1,b}) \cong KR_{b-a}(A)$.

・ 同下 ・ ヨト ・ ヨト

Bulk Classification - Physical Input

symmetry-	# of pseudo-	physical
class	symmetries	symmetries
D	0	none,
DIII	1	time reversal T ,
All	2	T, charge conj. Q ,
CII	3	T, Q, twisted particle-hole conj. C ,
С	4	spin rotations j_1, j_2, j_3 ,
CI	5	j_1, j_2, j_3, T ,
AI	6	$j_1, j_2, j_3, T, Q,$
BDI	7	j_1, j_2, j_3, T, Q, C .

 $\begin{cases} \begin{array}{l} \mathsf{Quasi-particle vacuum (QPV)} \\ J \in A_d^W : J^2 = -1, \bar{J} = J, J^* = -J \\ H := -iJ : \text{ flattened Hamiltonian} \end{array} \xrightarrow{1:1} \begin{cases} \mathsf{Free-fermion groundstate} \\ \mathsf{projection} \ P_+ := \frac{1}{2}(1+iJ) \end{cases} \\ \begin{cases} \mathsf{pseudo-symmetries} \\ J_1, \dots, J_s \in \mathrm{End}(W) \subset A_d^W : \\ J_i J_j + J_j J_i = -2\delta_{i,j}, \ \bar{J}_i = J_i, J_i^* = -J_i, \\ J_i J + JJ_i = 0 \ \forall \ i, j \in \{1, \dots, s\} \end{cases} \xrightarrow{1:1} \begin{cases} \mathsf{physical symmetries of} \\ \mathsf{the free fermion groundstate} \end{cases} \end{cases}$

Ref.: Zirnbauer, Kennedy (2014), arxiv: 1412.4808

Bulk classification: class D, s = 0

Consider
$$J \in A_d^W$$
, $J^2 = -1$, $J^* = -J$, $\overline{J} = J$.
 $s = 0$:

• No restriction on $J \to \text{classify all } x \in A_d^W$ s.th. $x^2 = -1, x^* = -x, \bar{x} = x$.

ヨト イヨト

Bulk classification: class D, s = 0

Consider
$$J \in A_d^W$$
, $J^2 = -1, J^* = -J, \overline{J} = J$.
 $s = 0$:

- No restriction on $J \to \text{classify all } x \in A_d^W$ s.th. $x^2 = -1, x^* = -x, \bar{x} = x$.
- Bijection:

 $A_d^W \ni x \mapsto x \otimes I_1 \in \mathcal{F}(A_d^W \otimes \mathit{CI}_{0,1})$

- ∢ ⊒ ▶

Bulk classification: class D, s = 0

Consider
$$J \in A_d^W$$
, $J^2 = -1$, $J^* = -J$, $\overline{J} = J$.
 $s = 0$:

- No restriction on $J \to \text{classify all } x \in A_d^W$ s.th. $x^2 = -1, x^* = -x, \bar{x} = x$.
- Bijection:

$$A_d^W
i x \mapsto x \otimes I_1 \in \mathcal{F}(A_d^W \otimes CI_{0,1})$$

• KR-class for QPV in class D:

$$\begin{bmatrix} \begin{pmatrix} J \otimes I_1 & 0 \\ 0 & -J_0 \otimes I_1 \end{bmatrix} \in \mathrm{DKR}_e(M_2(A_d^W \otimes CI_{0,1})) \cong \mathrm{KR}_2(A_d^W)$$

where $e = \begin{pmatrix} J_0 \otimes I_1 & 0 \\ 0 & -J_0 \otimes I_1 \end{pmatrix}$, $J_0 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \in \mathrm{End}(W) \subset A_d^W$.
 $\cos(t) \begin{pmatrix} J_0 \otimes I_1 & 0 \\ 0 & -J_0 \otimes I_1 \end{pmatrix} + \sin(t) \begin{pmatrix} 0 & J_0 \otimes I_1 \\ J_0 \otimes I_1 & 0 \end{pmatrix}$ connects e and $-e$.

).

э

- ∢ ⊒ ▶

Bulk classification: class DIII, s = 1

symmetry-	# of pseudo-	physical					
class	symmetries	symmetries					
D	0	none,					
DIII	1	time reversal T ,					
All	2	T, charge conj. Q ,					
CII	3	T, Q, twisted particle-hole conj. C ,					
С	4	spin rotations j_1, j_2, j_3 ,					
CI	5	j_1, j_2, j_3, T ,					
AI	6	$j_1, j_2, j_3, T, Q,$					
BDI	7	$j_1, j_2, j_3, T, Q, C.$					

•
$$T: V \to V, T^2 = -1, T^* = -T$$

 \to pseudo-symmetry: $J_1 = \begin{pmatrix} 0 & TR^* \\ RT & 0 \end{pmatrix} \in \operatorname{End}(W) \subset A_d^W.$

イロン イ団と イヨン イヨン

Э.

Bulk classification: class DIII, s = 1

symmetry-	# of pseudo-	physical				
class	symmetries	symmetries				
D	0	none,				
DIII	1	time reversal T ,				
All	2	T, charge conj. Q ,				
CII	3	T, Q, twisted particle-hole conj. C ,				
С	4	spin rotations j_1, j_2, j_3 ,				
CI	5	j_1, j_2, j_3, T ,				
AI	6	$j_1, j_2, j_3, T, Q,$				
BDI	7	j_1, j_2, j_3, T, Q, C .				

•
$$T: V \to V, T^2 = -1, T^* = -T$$

 \to pseudo-symmetry: $J_1 = \begin{pmatrix} 0 & TR^* \\ RT & 0 \end{pmatrix} \in \operatorname{End}(W) \subset A_d^W.$

Use *TR*^{*} to split End(*W*) = End(*V*) ⊗ H^C, where H^C denotes the complexification of the quaternions H = span_R ((ⁱ_j 0 − i), (¹_j 0 − i)), (ⁱ_j 0)).

$$A^W_d \cong A^V_d \otimes \mathbb{H}^\mathbb{C}$$

- A 🖻 🕨

э

Bulk classification: class DIII, s = 1

$$\begin{split} A_d^W &\cong A_d^V \otimes \mathbb{H}^{\mathbb{C}}: \quad J_1 = \begin{pmatrix} 0 & TR^* \\ RT & 0 \end{pmatrix} \mapsto 1 \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}} \\ \gamma &= \begin{pmatrix} 0 & R^* \\ R & 0 \end{pmatrix} \mapsto T \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \end{split}$$

T defines a quaternionic $(T^* = -T, T^2 = -1)$ structure on A_d^V .

프 + + 프 +

< 🗇 🕨

э.

Bulk classification: class DIII, s = 1

$$\begin{split} A_d^W &\cong A_d^V \otimes \mathbb{H}^{\mathbb{C}}: \quad J_1 = \begin{pmatrix} 0 & TR^* \\ RT & 0 \end{pmatrix} \mapsto 1 \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}} \\ \gamma &= \begin{pmatrix} 0 & R^* \\ R & 0 \end{pmatrix} \mapsto T \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \end{split}$$

T defines a quaternionic ($T^* = -T, T^2 = -1$) structure on A_d^V .

• QPV $J \in A_d^W$ in class *DIII* commutes with γ and anti-commutes with J_1 :

$$J \mapsto x_1 \otimes \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + x_2 \otimes \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}},$$
(1)

with $\bar{x}_i := T^* x_i T = x_i$, $x_i^* = x_i$, $x_i^2 = 1$ for i = 1, 2 and $x_1 x_2 = x_2 x_1$, $x_1^2 + x_2^2 = 1$.

医下 利用下

-

Bulk classification: class DIII, s = 1

$$\begin{split} A_d^W &\cong A_d^V \otimes \mathbb{H}^{\mathbb{C}}: \quad J_1 = \begin{pmatrix} 0 & TR^* \\ RT & 0 \end{pmatrix} \mapsto 1 \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}} \\ \gamma &= \begin{pmatrix} 0 & R^* \\ R & 0 \end{pmatrix} \mapsto T \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \end{split}$$

T defines a quaternionic $(T^* = -T, T^2 = -1)$ structure on A_d^V .

• QPV $J \in A_d^W$ in class *DIII* commutes with γ and anti-commutes with J_1 :

$$J \mapsto x_1 \otimes \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + x_2 \otimes \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}},$$
(1)

with $\bar{x}_i := T^* x_i T = x_i$, $x_i^* = x_i$, $x_i^2 = 1$ for i = 1, 2 and $x_1 x_2 = x_2 x_1$, $x_1^2 + x_2^2 = 1$. • Bijection:

$$(x_1, x_2) \mapsto x_1 \otimes K_1 + x_2 \otimes K_2 \in \mathcal{F}(A_d^V \otimes Cl_{2,0})$$

프 🖌 🔺 프 🛌

Bulk classification: class DIII, s = 1

$$\begin{split} A_d^W &\cong A_d^V \otimes \mathbb{H}^{\mathbb{C}}: \quad J_1 = \begin{pmatrix} 0 & TR^* \\ RT & 0 \end{pmatrix} \mapsto 1 \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}} \\ \gamma &= \begin{pmatrix} 0 & R^* \\ R & 0 \end{pmatrix} \mapsto T \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}. \end{split}$$

T defines a quaternionic $(T^* = -T, T^2 = -1)$ structure on A_d^V .

• QPV $J \in A_d^W$ in class DIII commutes with γ and anti-commutes with J_1 :

$$J \mapsto x_1 \otimes \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + x_2 \otimes \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \in A_d^V \otimes \mathbb{H}^{\mathbb{C}},$$
(1)

with $\bar{x}_i := T^* x_i T = x_i$, $x_i^* = x_i$, $x_i^2 = 1$ for i = 1, 2 and $x_1 x_2 = x_2 x_1$, $x_1^2 + x_2^2 = 1$. • Bijection:

$$(x_1, x_2) \mapsto x_1 \otimes K_1 + x_2 \otimes K_2 \in \mathcal{F}(A_d^V \otimes Cl_{2,0})$$

• DKR-class for QPV (1) in class DIII:

$$[x_1 \otimes \mathcal{K}_1 + x_2 \otimes \mathcal{K}_2] \in \mathrm{DKR}(\mathcal{A}_d^V \otimes \mathcal{C}l_{2,0}) \cong \mathcal{K}\mathcal{R}_{-1}(\mathcal{A}_d^V) \cong \mathcal{K}\mathcal{R}_3(\mathcal{A}_d^W)$$

where e.g. $e = 1 \otimes K_1$.

-

Bulk classification

symmetry-	# of pseudo-	physical				
class	symmetries	symmetries				
D	0	none,				
DIII	1	time reversal <i>T</i> ,				
All	2	T, charge conj. Q ,				
CII	3	T, Q, twisted particle-hole conj. C ,				
С	4	spin rotations j_1, j_2, j_3 ,				
CI	5	j_1, j_2, j_3, T ,				
AI	6	$j_1, j_2, j_3, T, Q,$				
BDI	7	$j_1, j_2, j_3, T, Q, C.$				

Observation

A bulk QPV in symmetry class s defines a class in $KR_{s+2}(A_d^W)$.

< ∃⇒

3 N

э

Bulk-boundary correspondence:

- Construct a boundary morphism $\partial : \operatorname{KR}_{s+2}(A_d^W) \to \operatorname{KR}_{s+1}(A_{d-1}^W)$ inducing bulk-boundary correspondence.
- Ouse the Kasparov picture of the KR-classes to get a systematic picture of the boundary classes.

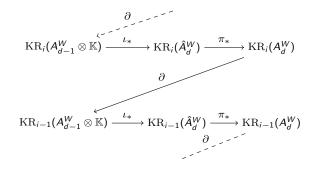
∃ ⊳

Long exact sequence of KR-theory

Short exact sequence of Real C*-algebras:

$$0 \to A_{d-1}^{W} \otimes \mathbb{K} \stackrel{\iota}{\hookrightarrow} \hat{A}_{d}^{W} \stackrel{\pi}{\longrightarrow} A_{d}^{W} \to 0$$

Long exact sequence of KR-theory: (*∂*: connecting/boundary morphism)



3 K 4 3 K

Boundary classes in KKR-theory

 $\mathrm{KR}_{s+1}(A_{d-1}^W) \cong \mathrm{KKR}(\mathit{Cl}_{s,0}, A_{d-1}^W \otimes \mathit{Cl}_{0,1}):$

• The elements are (equivalence classes of) tuples $(\psi : Cl_{s,0} \to \mathbb{B}(\mathcal{H}), F \in \mathbb{B}(\mathcal{H}))$, where $\mathcal{H} = \ell^2(\mathbb{N}) \otimes A_{d-1}^W \otimes Cl_{0,1}$ and ψ is a grading preserving *-morphism such that

$$\begin{aligned} (F^* - F)\psi(Cl_{s,0}) &= 0, \\ F\psi(K_i) + \psi(K_i)F &= 0 \quad \forall i \in \{1, \dots, s\}, \\ (F^2 - 1)\psi(Cl_{s,0}) \in \mathbb{K}(\mathcal{H}) &= \mathbb{K}(\ell^2(\mathbb{N})) \otimes A_{d-1}^W \otimes Cl_{0,1} \end{aligned}$$

医下 利用下

Boundary classes in KKR-theory

 $\mathrm{KR}_{s+1}(A_{d-1}^W) \cong \mathrm{KKR}(\mathit{Cl}_{s,0}, A_{d-1}^W \otimes \mathit{Cl}_{0,1}):$

• The elements are (equivalence classes of) tuples $(\psi : Cl_{s,0} \to \mathbb{B}(\mathcal{H}), F \in \mathbb{B}(\mathcal{H}))$, where $\mathcal{H} = \ell^2(\mathbb{N}) \otimes A_{d-1}^{\mathcal{W}} \otimes Cl_{0,1}$ and ψ is a grading preserving *-morphism such that

$$\begin{aligned} (F^* - F)\psi(Cl_{s,0}) &= 0, \\ F\psi(K_i) + \psi(K_i)F &= 0 \quad \forall i \in \{1, \dots, s\}, \\ (F^2 - 1)\psi(Cl_{s,0}) \in \mathbb{K}(\mathcal{H}) &= \mathbb{K}(\ell^2(\mathbb{N})) \otimes A_{d-1}^W \otimes Cl_{0,1}. \end{aligned}$$

• Degenerate tuples: $(F^2 - 1)\psi(Cl_{s,0}) = 0 \rightarrow$ Trivial KR-theory.

-

Boundary classes in KKR-theory

 $\mathrm{KR}_{s+1}(A_{d-1}^W) \cong \mathrm{KKR}(\mathit{Cl}_{s,0}, A_{d-1}^W \otimes \mathit{Cl}_{0,1}):$

• The elements are (equivalence classes of) tuples $(\psi : Cl_{s,0} \to \mathbb{B}(\mathcal{H}), F \in \mathbb{B}(\mathcal{H}))$, where $\mathcal{H} = \ell^2(\mathbb{N}) \otimes A_{d-1}^{\mathcal{W}} \otimes Cl_{0,1}$ and ψ is a grading preserving *-morphism such that

$$\begin{aligned} (F^* - F)\psi(Cl_{s,0}) &= 0, \\ F\psi(K_i) + \psi(K_i)F &= 0 \quad \forall i \in \{1, \dots, s\}, \\ (F^2 - 1)\psi(Cl_{s,0}) \in \mathbb{K}(\mathcal{H}) &= \mathbb{K}(\ell^2(\mathbb{N})) \otimes A_{d-1}^W \otimes Cl_{0,1} \end{aligned}$$

- Degenerate tuples: $(F^2 1)\psi(Cl_{s,0}) = 0 \rightarrow$ Trivial KR-theory.
- Equivalence relations:

 $\begin{array}{l} \underbrace{ \text{Unitary equivalence: } (\psi_1,F_1)\sim_u (v^*\psi_1v,v^*F_1v) \text{ for unitary, even } v\in \mathbb{B}(\mathcal{H}). \\ \hline \underbrace{ \text{Operator homotopy equivalence: } }_{(\psi,F_0)\sim_h (\psi,F_1).} \\ \hline \underbrace{ \text{Stabilization: Direct sum } (\psi_1,F_1) \oplus (\psi_2,F_2) = (\psi_1 \oplus \psi_2,F_1 \oplus F_2) \text{ well defined, since } \mathcal{H} \oplus \mathcal{H} \cong \mathcal{H}. \\ \rightarrow (\psi,f)\sim_s (\psi,F) \oplus (\psi_{deg},F_{deg}) \text{ if } (\psi_{deg},F_{deg}) \text{ is degenerate.} \end{array}$

(個) (目) (目) (目) (0)()

Boundary classes in KKR-theory

Theorem

The boundary class for symmetry class s is given by

$$\left[\left(\mathcal{H}, \psi, \hat{J} \otimes \mathit{I}_{1}
ight)
ight] \in \mathit{KKR}(\mathit{Cl}_{s,0}, \mathit{A}_{d-1}^{W} \otimes \mathit{Cl}_{0,1}),$$

where $\mathcal{H} = \ell^2(\mathbb{N}) \otimes A_{d-1}^W \otimes C_{l_{0,1}}$ and $\psi : C_{l_{s,0}} \to \mathbb{B}(\mathcal{H}); \psi(K_i) = J_i \otimes I_1$ for $i = 1, \ldots, s$.

- $\hat{J} \in \hat{A}_{d-1}^{W} \subseteq \mathbb{B}(\ell^{2}(\mathbb{N}) \otimes A_{d-1}^{W})$ half-space QPV corresponding to $J \in A_{d}^{W}$, i.e. $\pi(\hat{J}) = J$ for the bulk-projection π . $\Rightarrow 1 + \hat{J}^{2} \in A_{d-1}^{W} \otimes \mathbb{K}$.
- Pseudo symmetries $J_1, \ldots, J_s \in \text{End}(W) \subset \hat{A}_d^W$ anti-commute with $\hat{J} \in \hat{A}_d^W$. $\Rightarrow [\psi(x), \hat{J} \otimes I_1] = 0 \, \forall \, x \in Cl_{s,0}.$

-

• Solid motivation for the crossed product algebra as observable algebra for the disordered tight-binding model.

< 🗇 🕨

< ∃→

3 N

- Solid motivation for the crossed product algebra as observable algebra for the disordered tight-binding model.
- Canonical construction of KR-classes of gapped bulk systems.

글 > 글

- Solid motivation for the crossed product algebra as observable algebra for the disordered tight-binding model.
- Canonical construction of KR-classes of gapped bulk systems.
- Systematic picture for gapless boundary classification.

3. 3

- Solid motivation for the crossed product algebra as observable algebra for the disordered tight-binding model.
- Canonical construction of KR-classes of gapped bulk systems.
- Systematic picture for gapless boundary classification.
- Properties of bulk-boundary correspondence $\partial : \operatorname{KR}_{s+2}(A_d^W) \to \operatorname{KR}_{s+1}(A_{d-1}^W)$:
 - $J \in A_d^W$ defines a bulk KR-class in ker(∂) if and only if $J \in A_{d-1}^W \subset A_d^W$.
 - $Im(\partial) = KR_{s+1}(A_{d-1}^W)$ in clean system. In general false for disordered systems.
 - Given a fixed bulk class, the boundary classes can be different for different directions of the boundary.

ヨト・イヨト

 $\bullet\,$ Strong topological invariants agree, up to a sign, in bulk and boundary for any direction. 1

symmetry-	# of pseudo-	Dimension d							
class	symmetries	0	1	2	3	4	5	6	7
D	0	\mathbb{Z}_2	\mathbb{Z}_2	Z	0	0	0	Z	0
DIII	1	0	\mathbb{Z}_2	\mathbb{Z}_2	Z	0	0	0	\mathbb{Z}
All	2	Z	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	3	0	Z	0	\mathbb{Z}_2	\mathbb{Z}_2	Z	0	0
С	4	0	0	Z	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	5	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AI	6	Z	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	7	\mathbb{Z}_2	Z	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2

• Non-trivial strong invariant in bulk \Rightarrow Gapless boundaries.

Table: Strong Topological Invariants

¹Bourne, Kellendonk, Rennie (2016), arxiv: 1604.02337

∃ ⊳