

FLOER SIZE
HOMOLOGY
FOR TANGLES

BY

VERA VÉRTESI

JOINT WITH:

INA PETKOVA

MANY EQUIVALENT THEORIES

Donaldson invariants (1982) 4

Instanton Floer homology 3

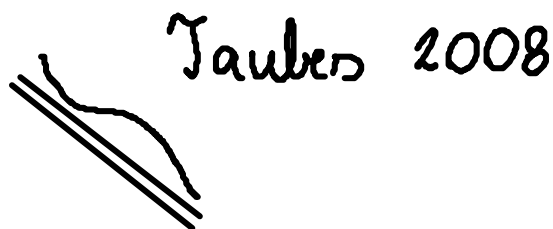
(Floer, 1988)

Seiberg-Witten invariants (1994)
monopole Floer homology 3

(Kronheimer-Mrowka, 2007)



Multuhan - Lee - Taubes
2010



Taubes 2008

Gromov-Taubes invariant 4
(Taubes 199?)

embedded contact homology 3
(Hutchings, 2010)



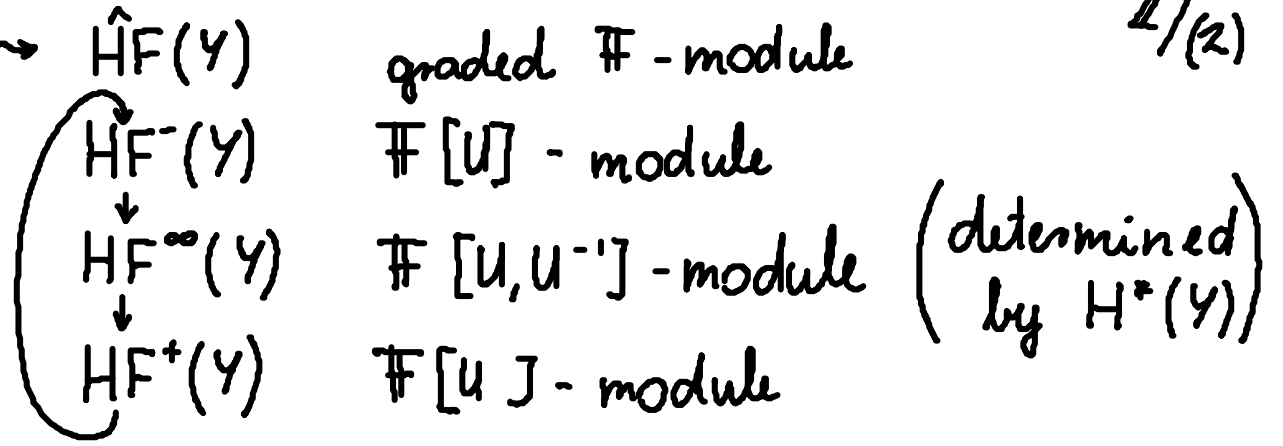
Colin - Ghiggini - Honda
2011

Heegaard Floer homology 3 , 4
(Ozsváth-Szabó, 2001)

WHAT IS HEEGAARD FLOER THEORY ?

$\mathbb{F} = \mathbb{Z}$ or $\mathbb{Z}/(2)$

Y 3-manifold \rightsquigarrow

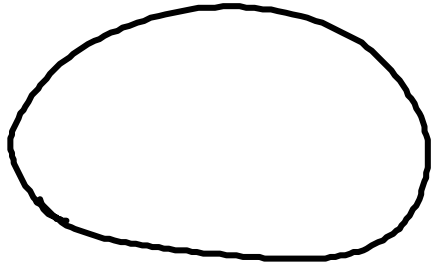


W cobordism btwn 3-manifolds

$$\hat{F}_W^{+, -, \infty} : \hat{HF}^{+, -, \infty}(Y_1) \rightarrow \hat{HF}^{+, -, \infty}(Y_2)$$



X 4-manifold

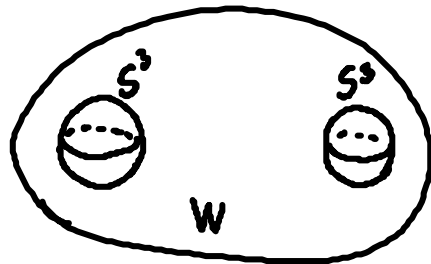


WHAT IS HEEGAARD FLOER THEORY ?

$\mathbb{F} = \mathbb{Z}$ or $\mathbb{Z}/(2)$

| | | | |
|----------------|--------------------|----------------|---|
| Y 3-manifold | \rightsquigarrow | $\hat{HF}(Y)$ | graded \mathbb{F} -module |
| | | $HF^-(Y)$ | $\mathbb{F}[U]$ -module |
| | | $HF^\infty(Y)$ | $\mathbb{F}[U, U^{-1}]$ -module (determined by $H^*(Y)$) |
| | | $HF^+(Y)$ | $\mathbb{F}[U^{-1}]$ -module |

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X 4-manifold

$$F_w : \hat{HF}(S^3) \rightarrow \hat{HF}(S^3)$$

$$\begin{matrix} \mathbb{Z} & \xrightarrow{\cdot n} & \mathbb{Z} \\ \parallel & & \parallel \\ \mathbb{Z} & & \mathbb{Z} \end{matrix}$$

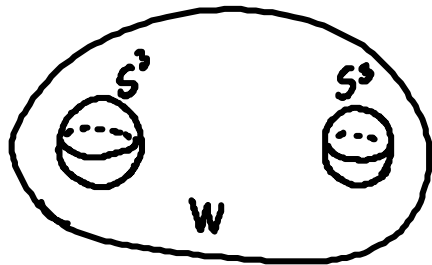
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$$F_W : \widehat{HF}_{\mathbb{Z}}(S^3) \rightarrow \widehat{HF}_{\mathbb{Z}}(S^3)$$

$\xrightarrow{\cdot n} \mathbb{Z}$

! This does not work!

WHAT IS HEEGAARD FLOER THEORY ?

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X 4-manifold \rightsquigarrow a number for every spin^c -structure

$K \subset Y$ knot \rightsquigarrow $\widehat{HFK}(Y, K)$ bigraded \mathbb{F} -module

$HFK^-(Y, K)$ $\mathbb{F}[U]$ -module

WHY IS HEEGAARD FLOER THEORY USEFUL?

Geometric content

- Ozsváth-Szabó: Detects smooth structures on 4-manifolds
- Ozsváth-Szabó, Ni: Detects the genus of knots
Thurston norm of 3-manifolds
- Ozsváth-Szabó, Ghiggini, Ni, Yuhász, ...
Detects fiberness of knots and 3-manifolds
- Ozsváth-Szabó, ... Bounds the slice genus
minimal class representatives of homology classes

Computability

- defined using a PDE **but sometimes can be combinatorial:**
- Manolescu - Ozsváth - Sarkar: $\widehat{HF}K^-$ for knots
- Sarkar - Wang, Ozsváth - Stipsicz - Szabó: $\widehat{HF}(Y)$, easier version of \widehat{HF}^-
- Manolescu - Ozsváth - Thurston: $\widehat{HF}^{\pm, \infty}$, 4-manifold invariant

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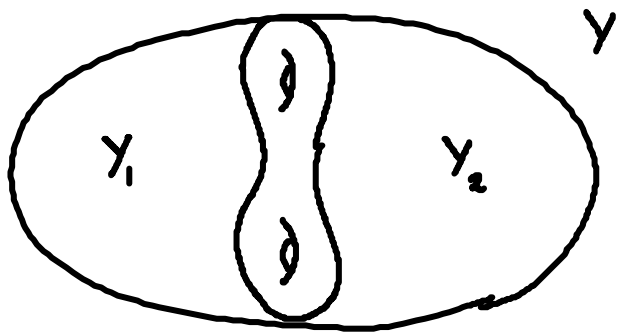
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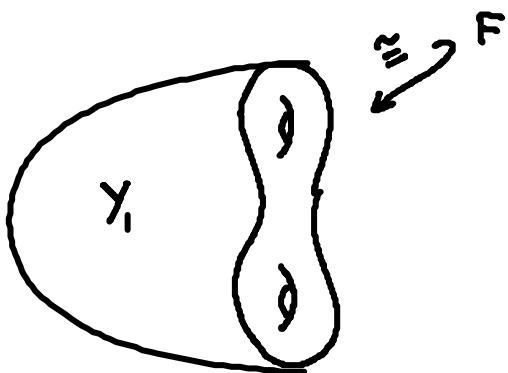
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- still **HARD** to compute in practice

NEW APPROACH - BORDERED FLOER HOMOLOGY (Lipshitz - Ozsvath - Thurston)

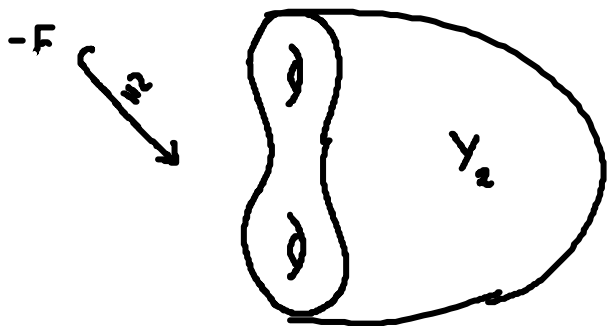


model surface w/ a given handle-decomposition

$\mathbb{F} \rightsquigarrow \mathcal{A}(\mathbb{F})$ - Differential Graded Algebra (DGA)



$\rightsquigarrow \widehat{CFA}_{\mathcal{A}(\mathbb{F})}(Y_1)$ - right \mathcal{A}_∞ -module over $\mathcal{A}(\mathbb{F})$



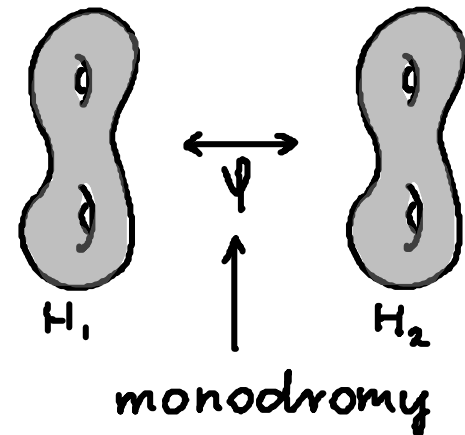
$\mathcal{A}(\mathbb{F}) \widehat{CFD}$ - left DG-module over $\mathcal{A}(-\mathbb{F})$

PAIRING THM:

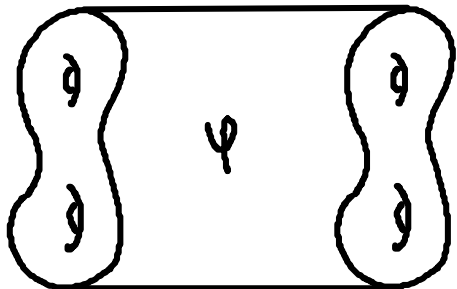
$$\widehat{CFA}(Y_1) \tilde{\otimes} \widehat{CFD}(Y_2) \cong \widehat{CF}(Y_1 \cup_{\mathbb{F}} Y_2)$$

COMPUTATION - STRATEGY

- take a Heegaard decomposition of Y

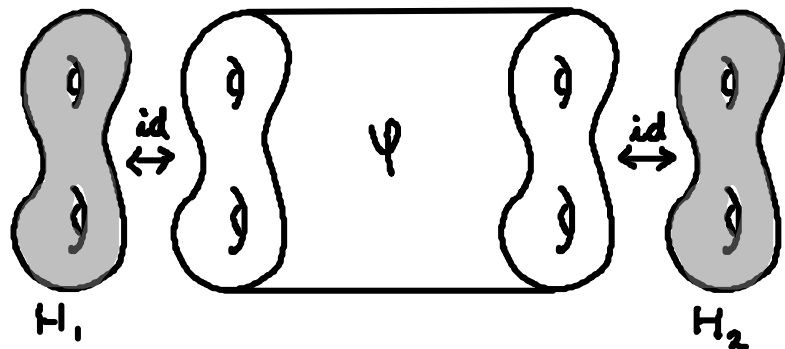


- define



$F \times I$

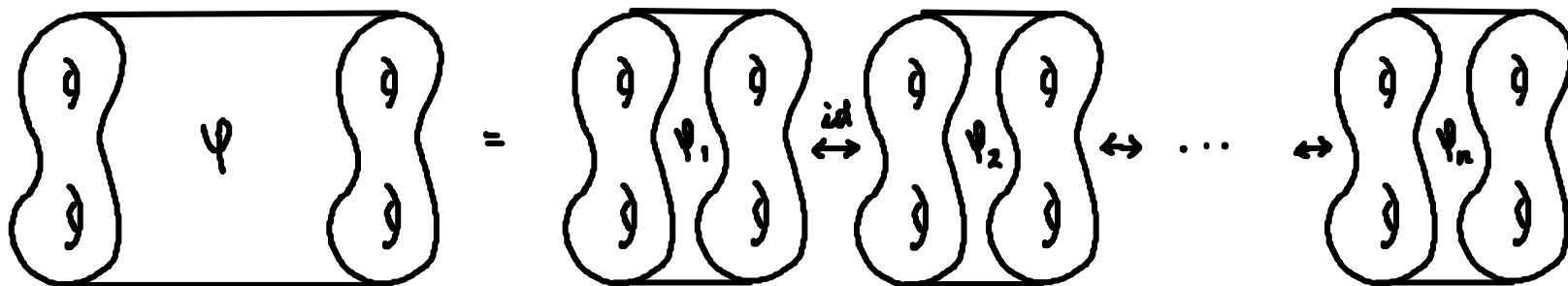
$\mathcal{A}(F) \widehat{CFAD}_{\mathcal{A}(F)}(\Psi)$
bimodule



$$\sim \widehat{CF}(Y) \cong \widehat{CFA}(H) \otimes \widehat{CFAD}(\Psi) \otimes \widehat{CFD}(H)$$

↑ simple ↑ simple

"cut" Ψ into elementary pieces



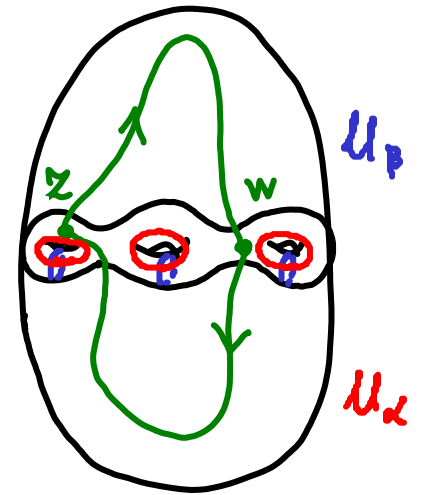
$$\widehat{CFAD}(\Psi) \cong \widehat{CFAD}(\Psi_1) \tilde{\otimes} \widehat{CFAD}(\Psi_2) \tilde{\otimes} \dots \tilde{\otimes} \widehat{CFAD}(\Psi_n)$$

↑ simple

KNOT FLOER HOMOLOGY

$K \hookrightarrow Y, (\Sigma, \underline{\alpha}, \underline{\beta}, \underline{O}, \underline{X})$ Heegaard diagram
w/ Σ -Heegaard surface

- $\underline{\alpha} = \{\alpha_1, \dots, \alpha_k\}$ set of curves bounding in U_α
- $\underline{\beta} = \{\beta_1, \dots, \beta_g\}$ set of curves bounding in U_β
- $\underline{O} = \{O_1, \dots, O_{g-k+1}\}$ + intersection of K & Σ
- $\underline{X} = \{X_1, \dots, X_{g-k+1}\}$ - intersection σK & Σ



\rightsquigarrow chain complex with generators $\vec{x} = (x_1, \dots, x_k)$ k -tuples of intersection points between $\underline{\alpha}$ - & $\underline{\beta}$ - curves with exactly 1 point on each over $\mathbb{Z}/(2) [U_1, \dots, U_n] : CFK^-$

boundary map : counts holomorphic curves in $\Sigma \times \mathbb{C}P^1$

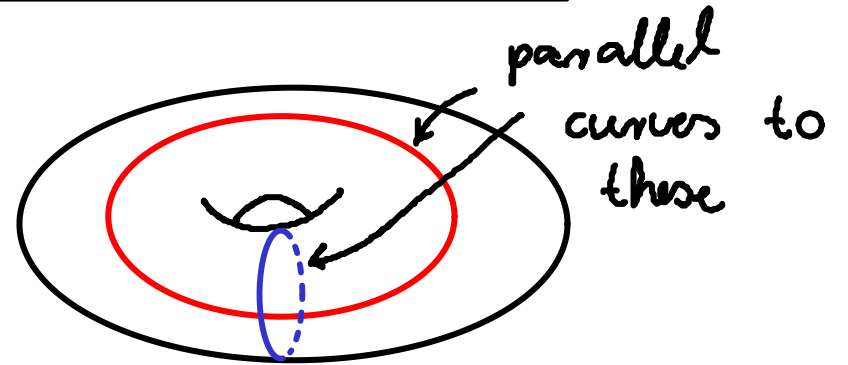
$$\partial_x \vec{x} = \sum_{\vec{y}} \sum_{\substack{\phi \\ \mu(\phi)=1 \\ \phi \cap X = \emptyset}} \mu_1^{\phi \cap O_1} \dots \mu_{g-k+1}^{\phi \cap O_{g-k+1}} \# \mathcal{H}(\phi) / \mathbb{Z} \cdot \vec{y}$$

Thm (OSz) This homology is an invariant of the isotopy class of the knot : $\widehat{HF}K(K)$ the knot Floer homology

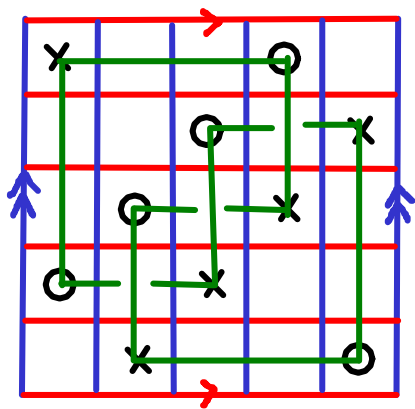
EXAMPLE - COMBINATORIAL KNOT FLOER HOMOLOGY

| | | | | |
|---|---|---|---|---|
| x | | | o | |
| | | o | | x |
| | o | | x | |
| o | | x | | |
| | x | | | o |

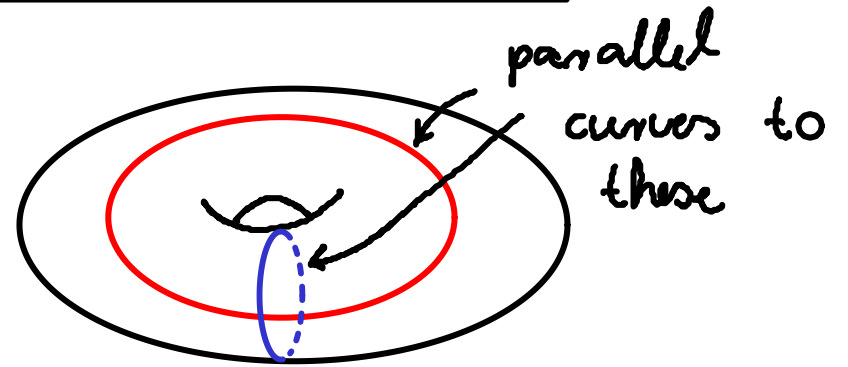
On a torus:



EXAMPLE - COMBINATORIAL KNOT FLOER HOMOLOGY

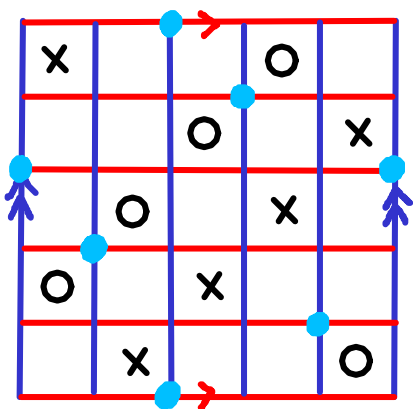


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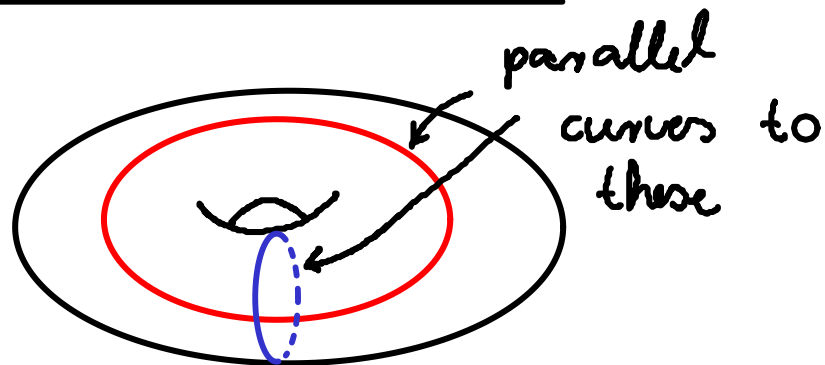


← right handed trefoil

EXAMPLE - COMBINATORIAL KNOT FLOER HOMOLOGY

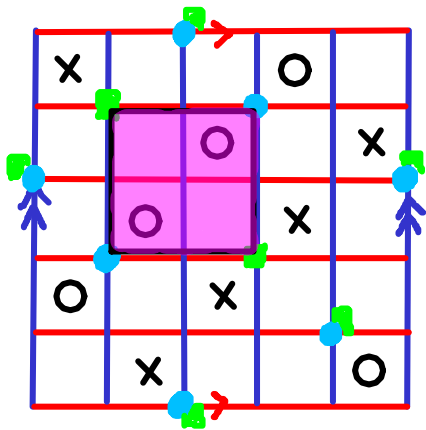


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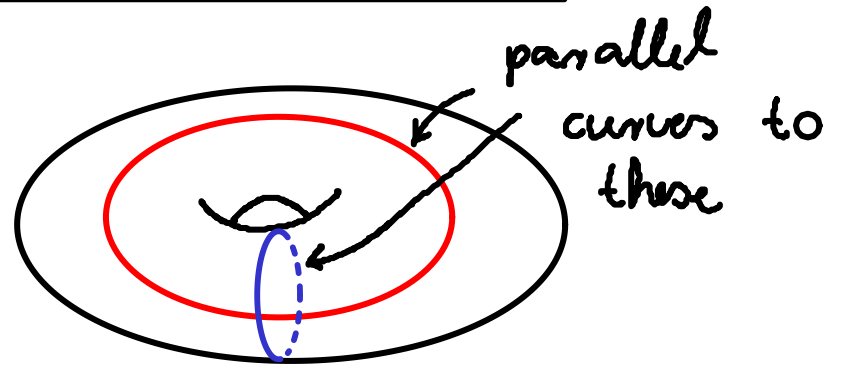


chain complex with generators $\vec{x} = (x_1, \dots, x_n)$ -tuples of intersection points between α - β - curves with exactly 1 point on each over $\mathbb{Z}/(2) [u_1, \dots, u_n] : CFK^- : \bullet$

EXAMPLE - COMBINATORIAL KNOT FLOER HOMOLOGY



On a torus:



chain complex with generators $\vec{x} = (x_1, \dots, x_k)$ -tuples of intersection points between α - & β -curves with exactly 1 point on each over $\mathbb{Z}/(2) [u_1, \dots, u_n] : CFK^-$

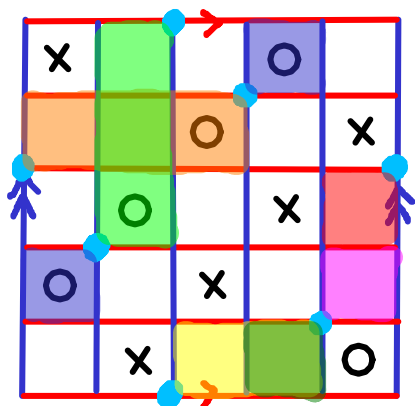
boundary map: counts holomorphic curves in $\Sigma \times \mathbb{R} \times \mathbb{S}^1$

$$\partial_x \vec{x} = \sum_{\vec{y}} \sum_{\substack{\phi \\ \mu(\phi)=1 \\ \phi \cap X = \phi}} u_1^{\phi \cap \alpha_1} \dots u_{g-k+1}^{\phi \cap \alpha_{g-k+1}} \# \mathcal{M}(\phi) / \mathbb{R} \cdot \vec{y}$$

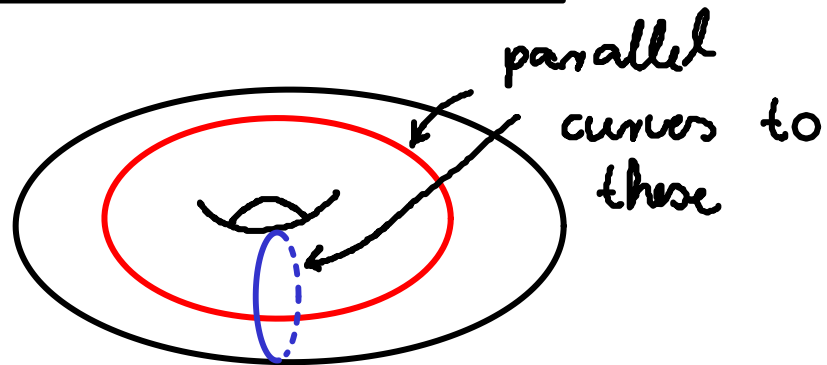
here the projection of all holomorphic curves are rectangles & $\# \mathcal{M} = 1$

$$\partial_k \bullet = u_3 u_4 \blacksquare +$$

EXAMPLE - COMBINATORIAL KNOT FLOER HOMOLOGY



On a torus:



chain complex with generators $\vec{x} = (x_1, \dots, x_k)$ -tuples of intersection points between α - β - curves with exactly 1 point on each over $\mathbb{Z}/(2) [\mu_1, \dots, \mu_n] : CFK^-$

boundary map: counts holomorphic curves in $\Sigma \times \mathbb{R} \times \mathbb{R}^2$

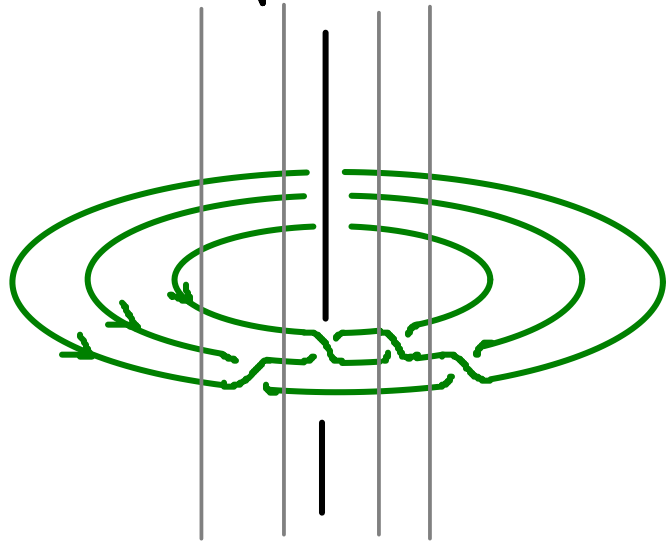
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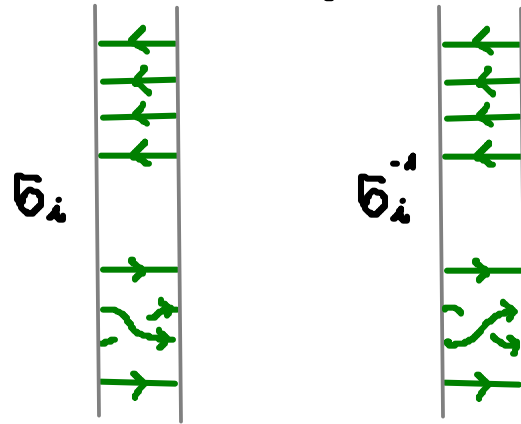
$$\partial_k \bullet = \mu_3 \mu_4 \blacksquare + \dots$$

BRAIDS

every knot can be put in braid position



elementary pieces:



- relations:
- $\sigma_i \sigma_i^{-1} = \mathbb{1}$
 - $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$
 - $\sigma_i \sigma_j = \sigma_j \sigma_i$ if $|i - j| \geq 2$

Goal: • define differential graded algebra \mathcal{A}_n corresponding to

• define differential graded bimodules

$$\mathcal{M}_i = {}_{\mathcal{A}_n} \widehat{\text{CF}} \widehat{\text{TAD}} (\sigma_i)^{\mathcal{A}_n} \quad \& \quad \overline{\mathcal{M}}_i = {}_{\mathcal{A}_n} \widehat{\text{CF}} \widehat{\text{TAD}} (\sigma_i^{-1})^{\mathcal{A}_n}$$

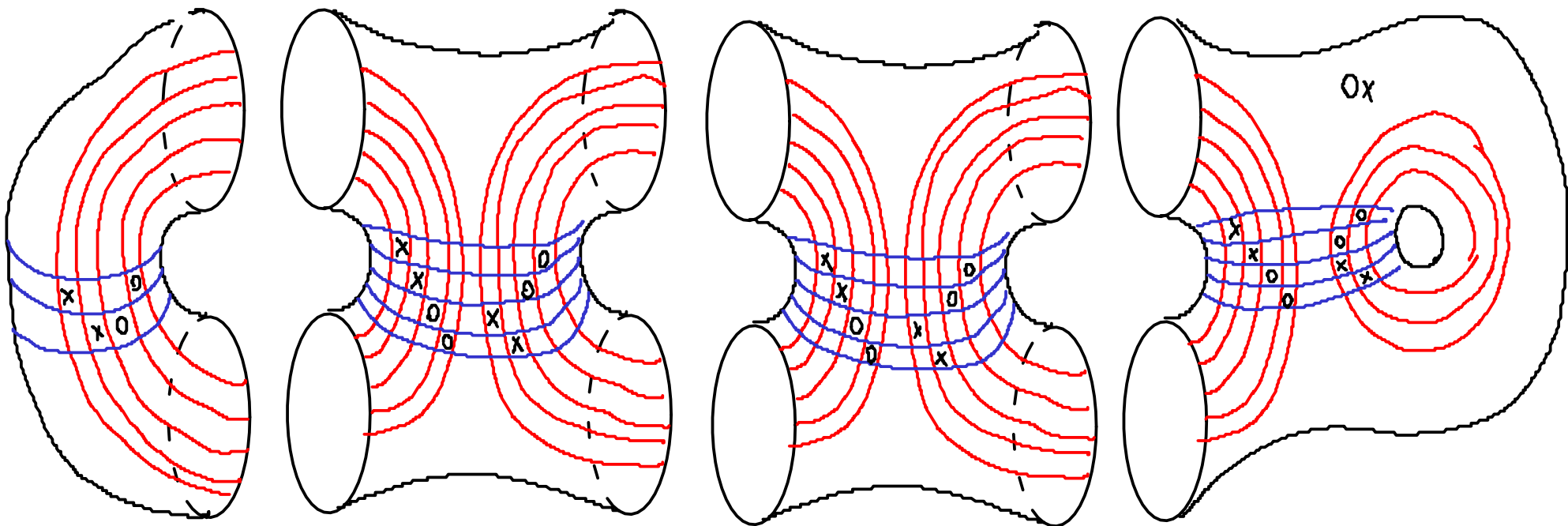
such that: - $\mathcal{M}_i \otimes \overline{\mathcal{M}}_i \simeq [\mathbb{1}]$

- $\mathcal{M}_i \otimes \mathcal{M}_{i+1} \otimes \mathcal{M}_i \simeq \mathcal{M}_{i+1} \otimes \mathcal{M}_i \otimes \mathcal{M}_{i+1}$

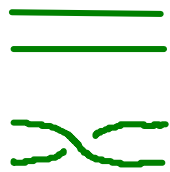
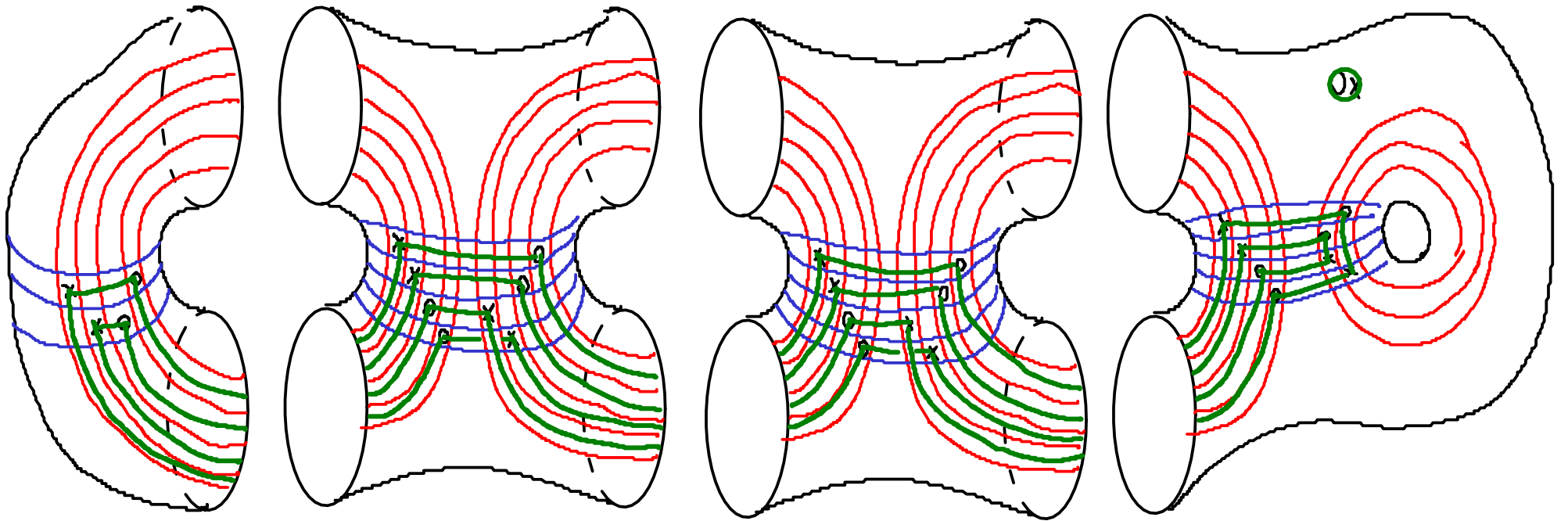
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EXAMPLE - BRAIDS



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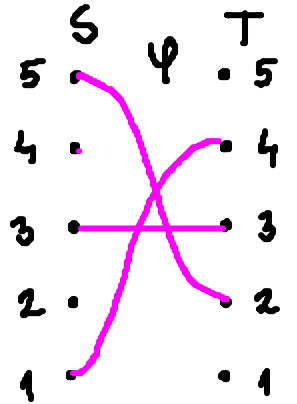
right handed trefoil

THE ALGEBRA A_n

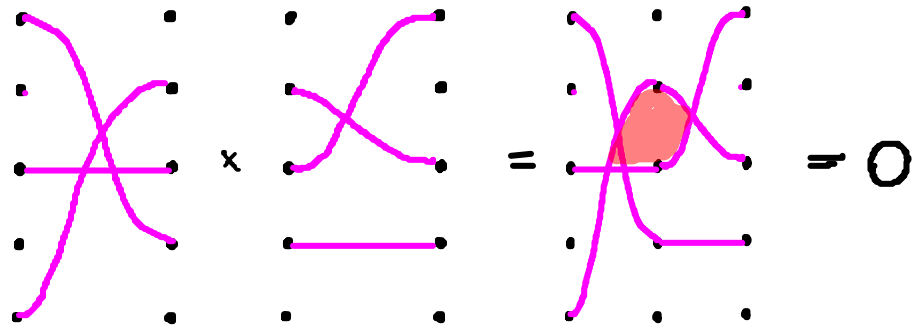
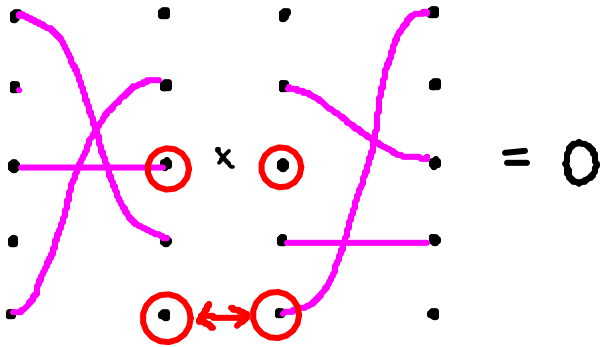
generators: (S, T, ψ) where $S, T \subseteq \{1, 2, \dots, 2n+1\}$

$$\psi: S \xrightarrow{1:1} T$$

over $\mathbb{Z}/(2)[u_1, \dots, u_n]$ (pretend $u_i = 0$)



multiplication: concatenation (if possible)



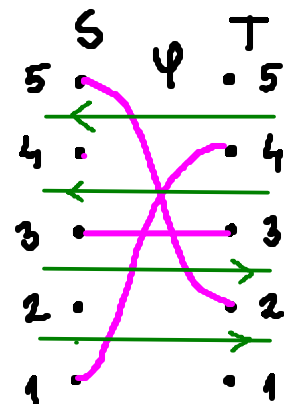
& relation: = 0

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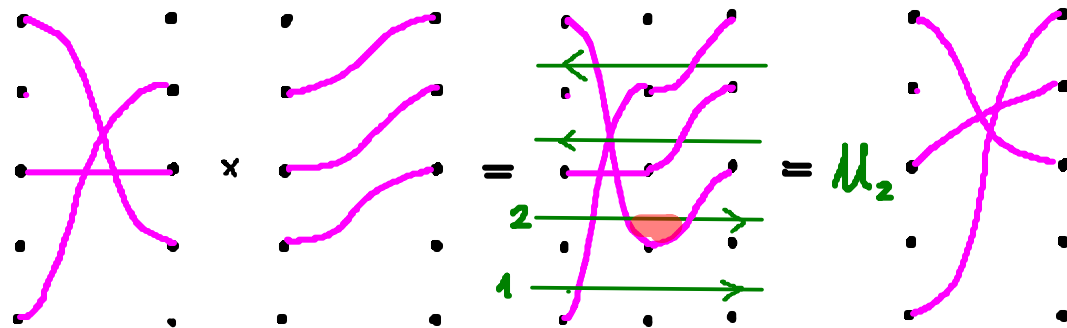
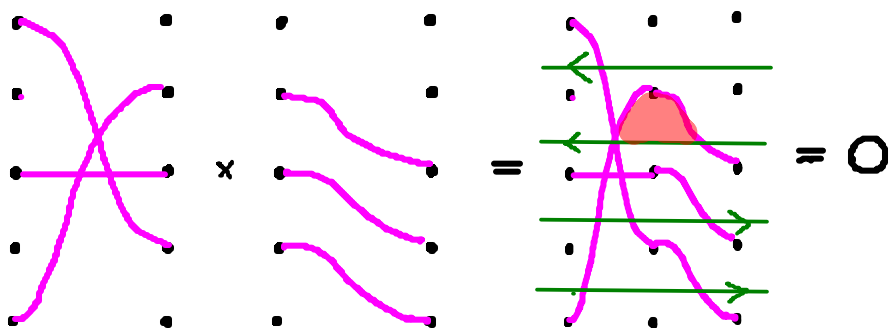
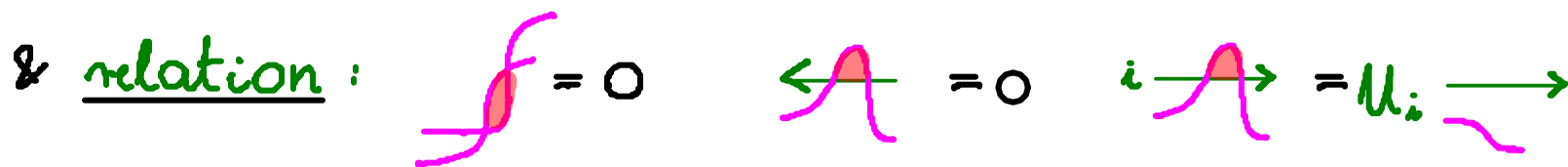
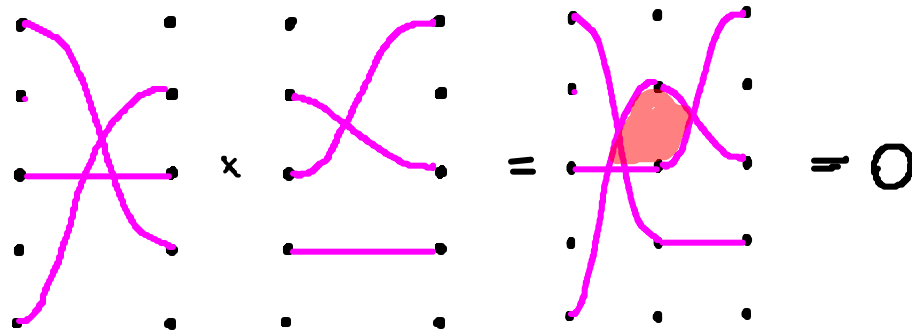
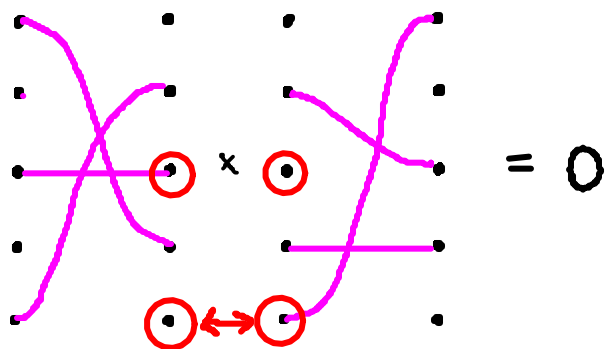
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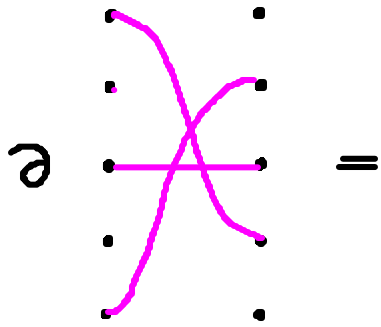


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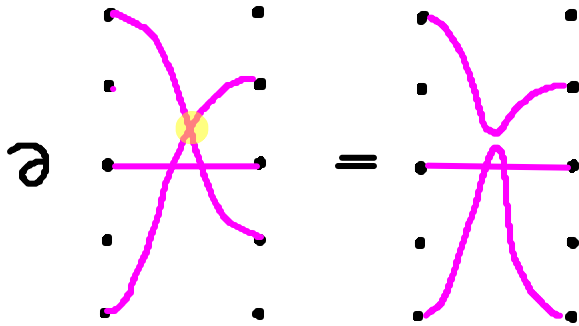
THE ALGEBRA A_n

differential: resolving intersections



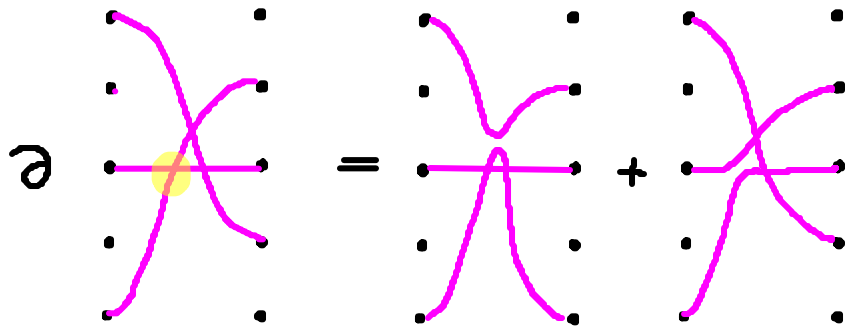
THE ALGEBRA A_n

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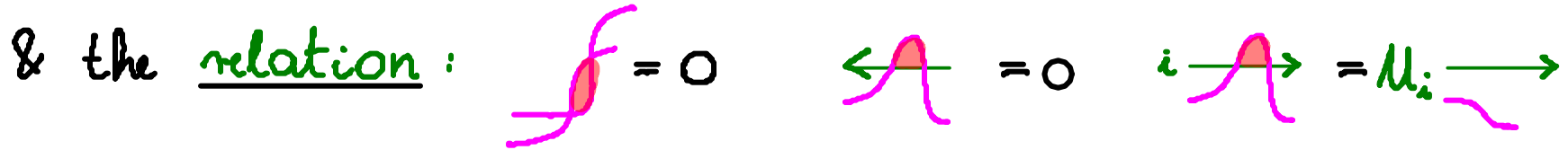
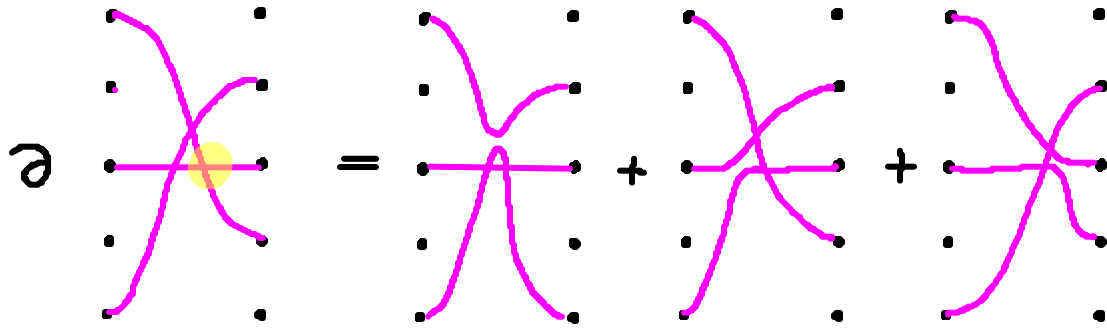
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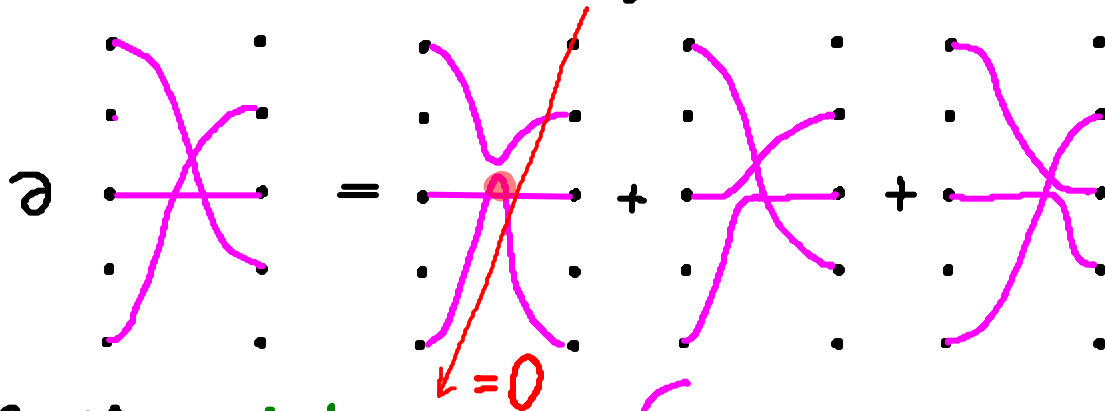
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differential: resolving intersections

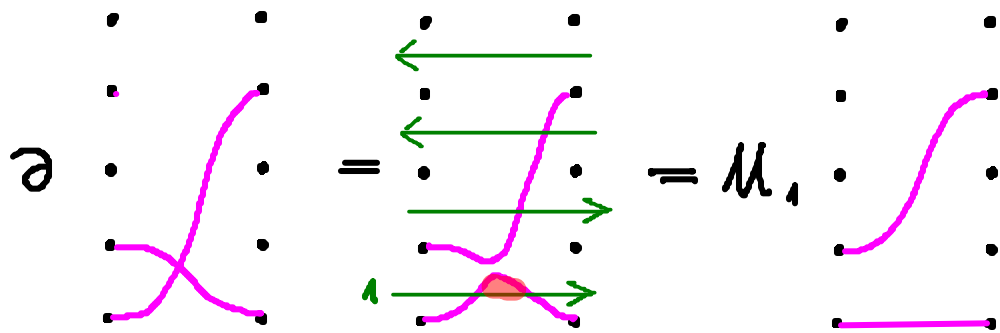


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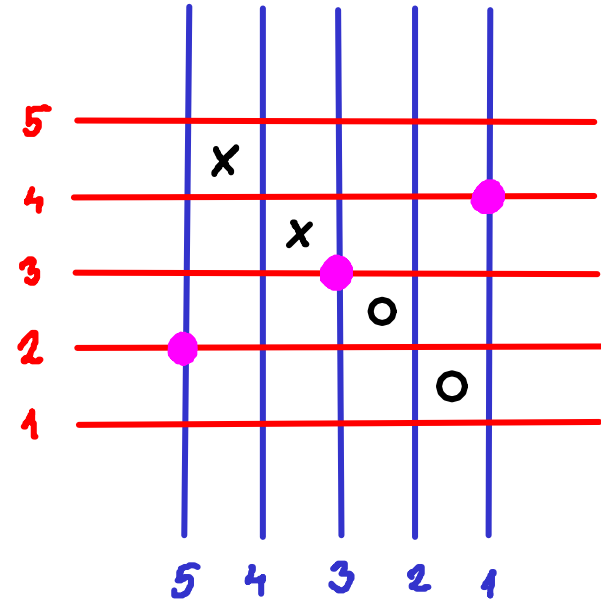
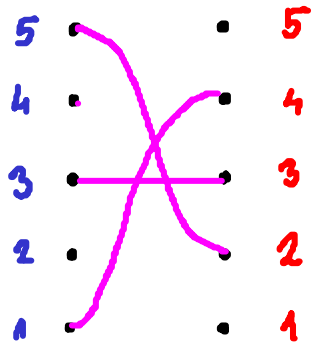
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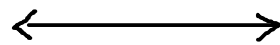
& the relation:  = 0  = 0  = μ_i



ANOTHER DESCRIPTION OF \mathcal{A}_n

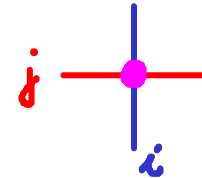
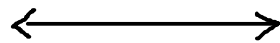


generators



tuples of intersection points
at most 1 on each curve

differential

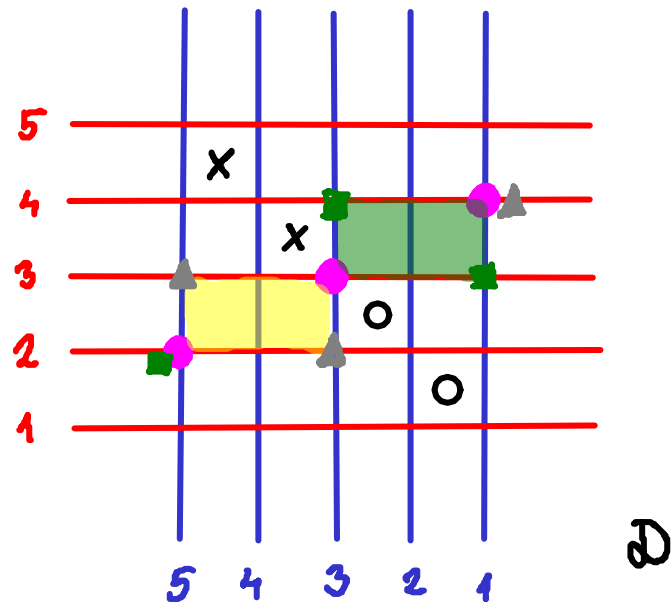
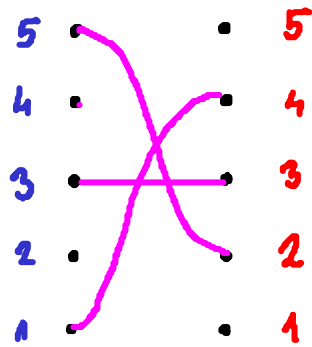


empty rectangles

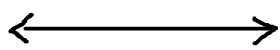


(no X,
no •
keep track of O_i by U_i)

ANOTHER DESCRIPTION OF \mathcal{A}_n

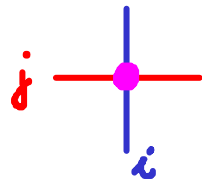
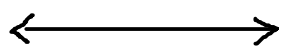


generators

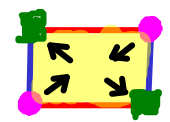


tuples of intersection points
at most 1 on each curve

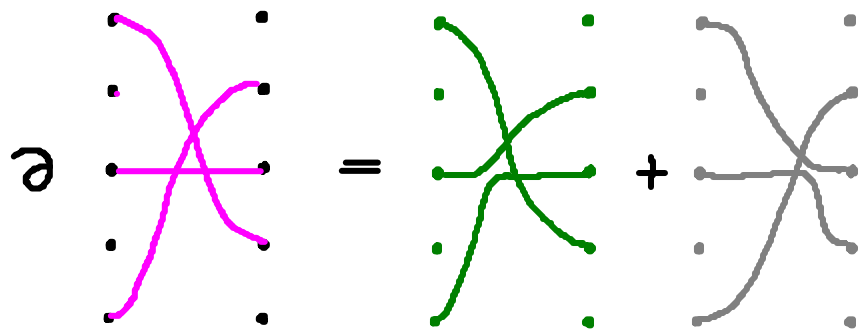
differential



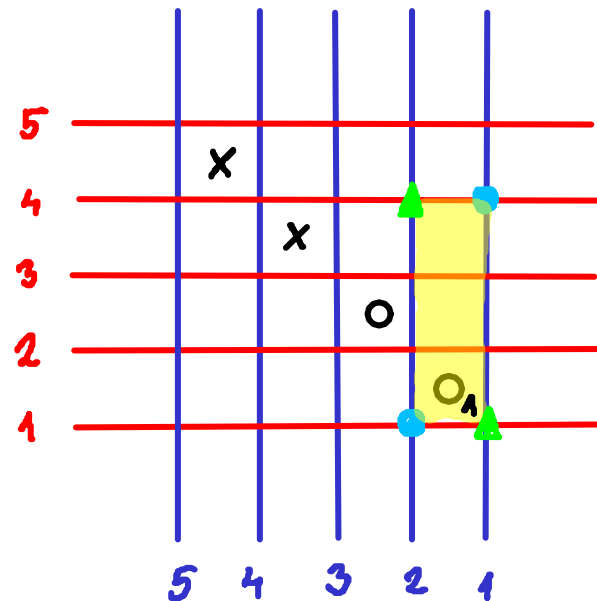
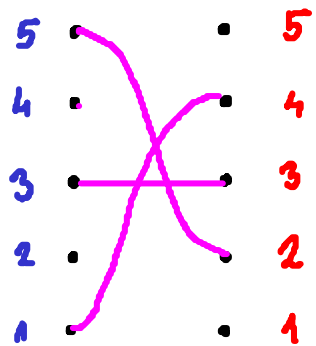
empty rectangles



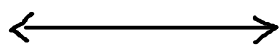
(no X,
no •
keep track of O_i by u_i)



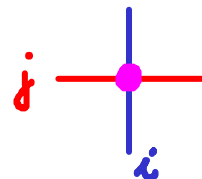
ANOTHER DESCRIPTION OF \mathcal{A}_n



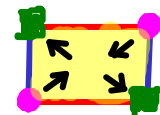
generators



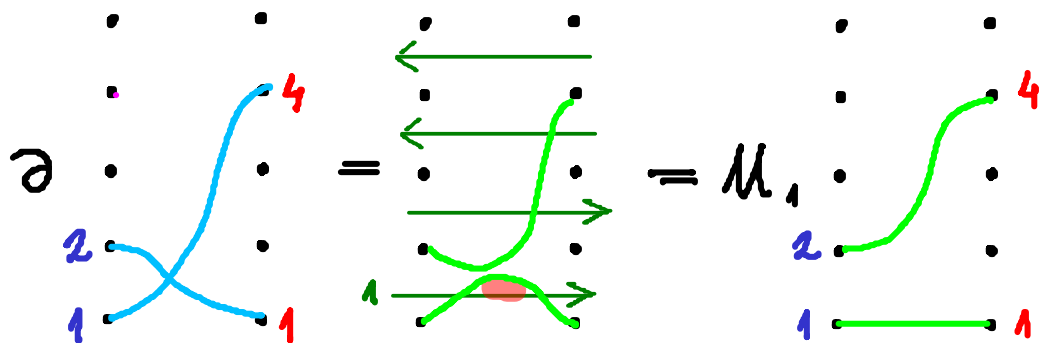
tuples of intersection points
at most 1 on each curve



empty rectangles



differential

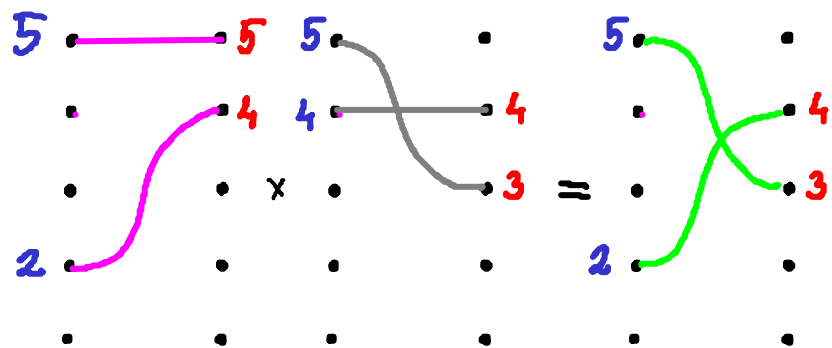


ANOTHER DESCRIPTION OF \mathcal{A}_n

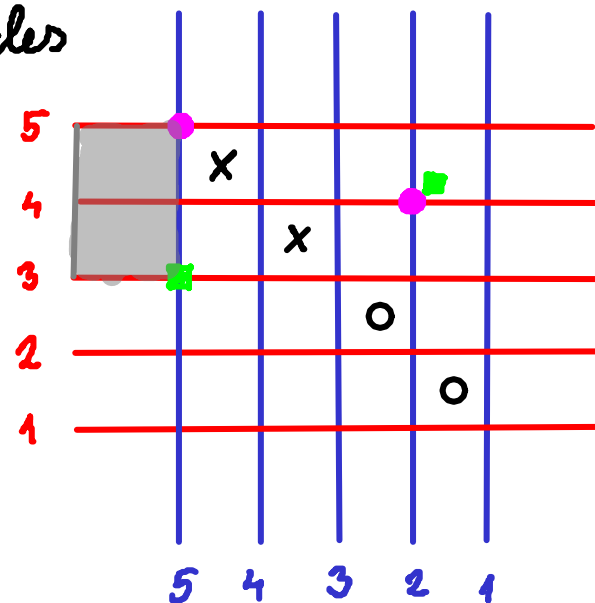
multiplication



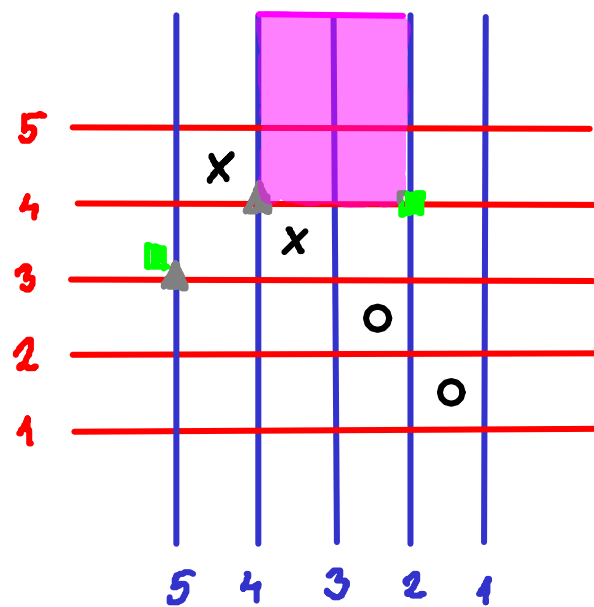
half rectangles



• right action:

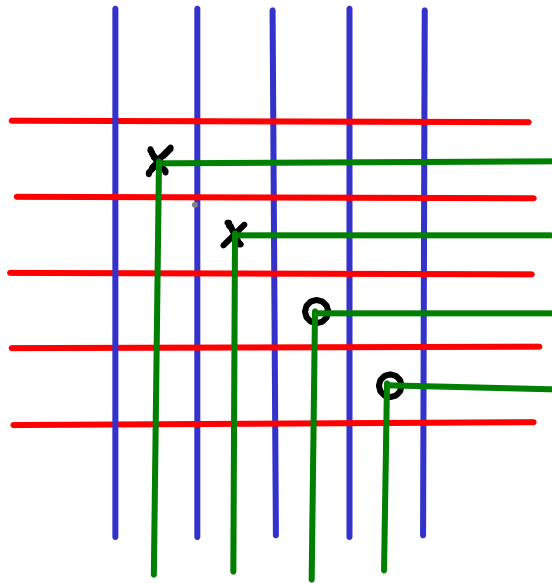


• left action



Thus the diagram gives \mathcal{A}_n as a bimodule: $\mathcal{A}_n \mathcal{A}_n \mathcal{A}_n$

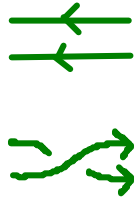
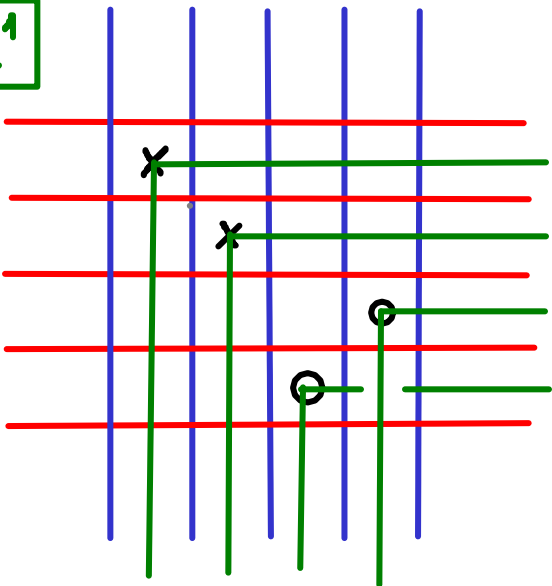
BACK TO BRAIDS



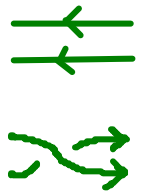
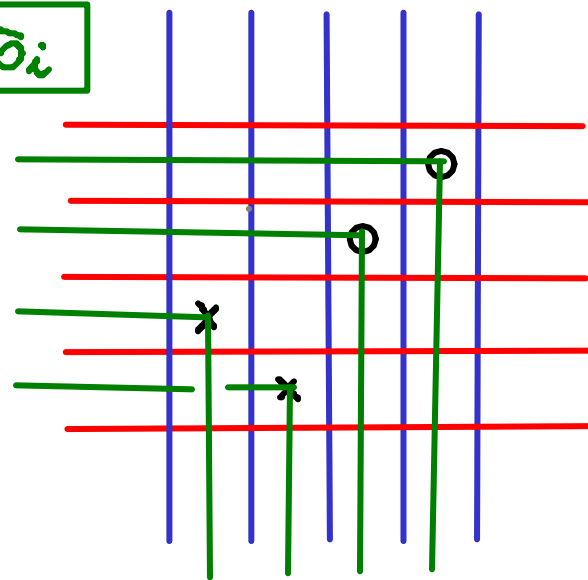
$\mathbb{1}$

trivial braid 

σ_i^{-1}

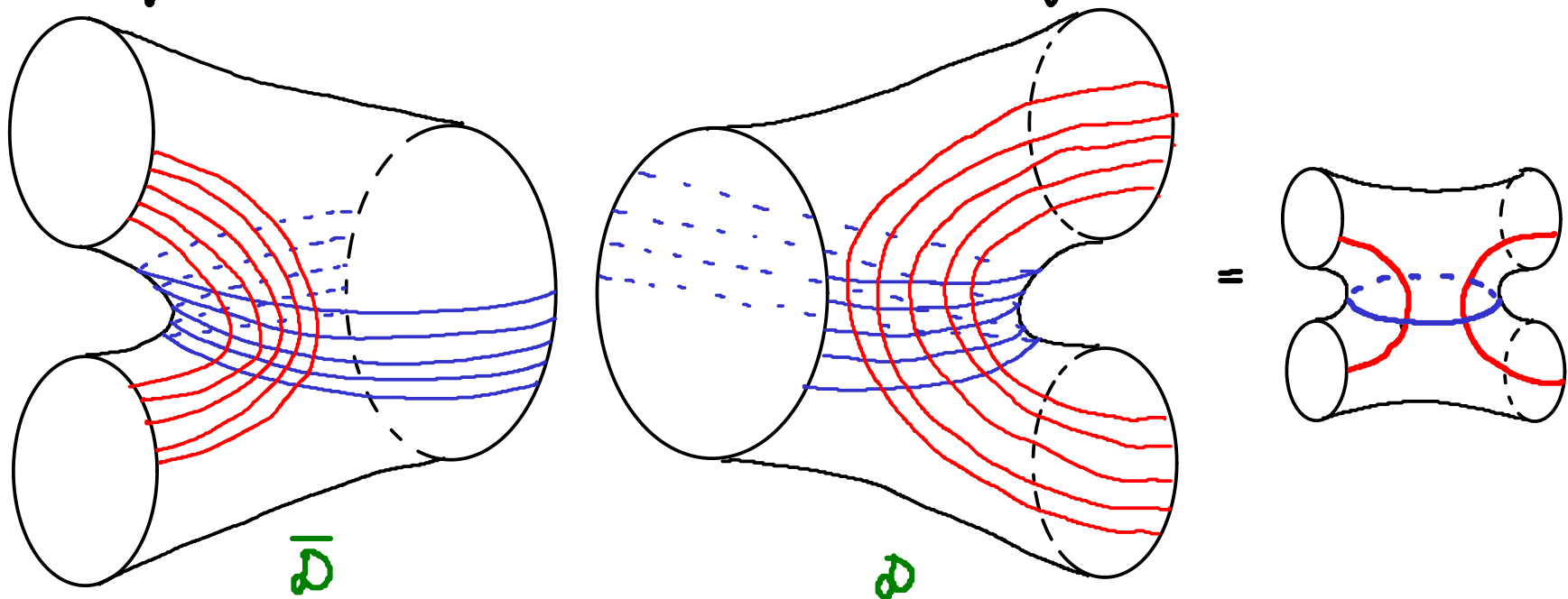


σ_i



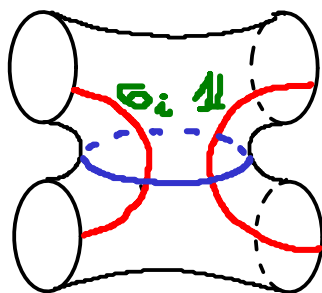
BUILDING BLOCKS

each of the above can be put on the diagram

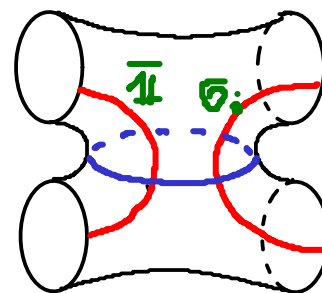


diagram

$\mathcal{H}(\sigma_i)$



$\mathcal{H}(\sigma_i')$




bimodule

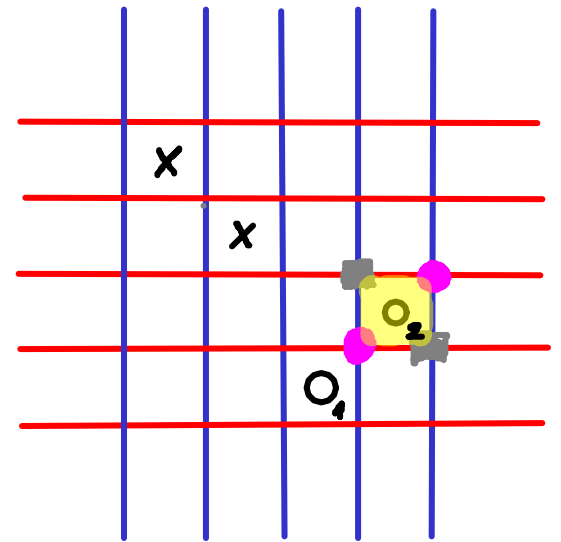
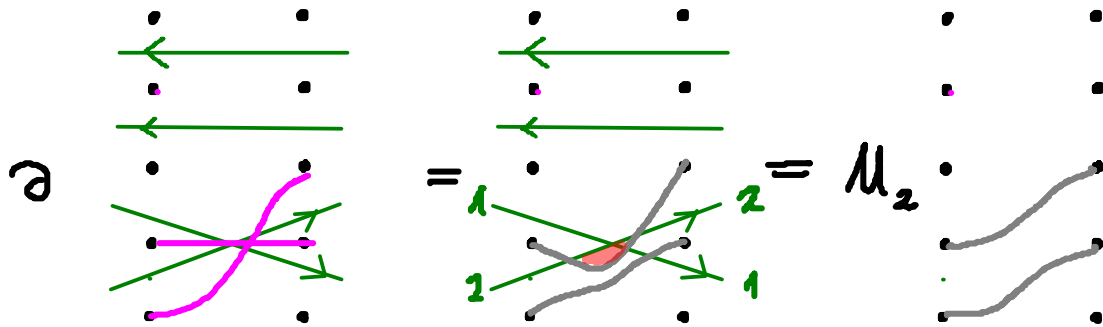
$\mathcal{A}_n \mathcal{M}_i \mathcal{A}_n$

$\mathcal{A}_n \bar{\mathcal{M}}_i \mathcal{A}_n$

MODULES CORRESPONDING TO \mathfrak{g}_1 & \mathfrak{g}_2^{-1}

generators : same

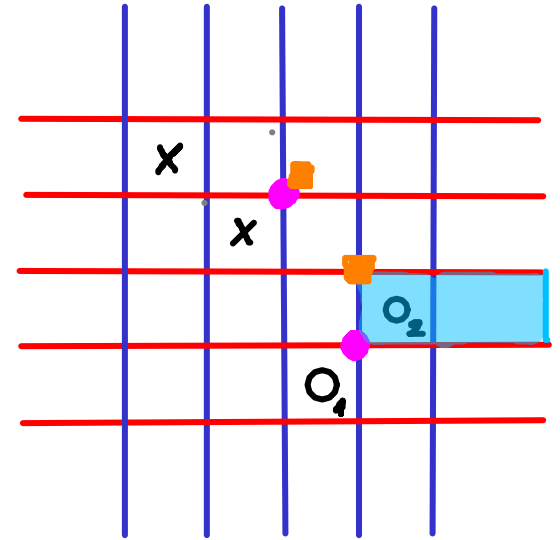
differential : same except the relations are defined by the new  curves



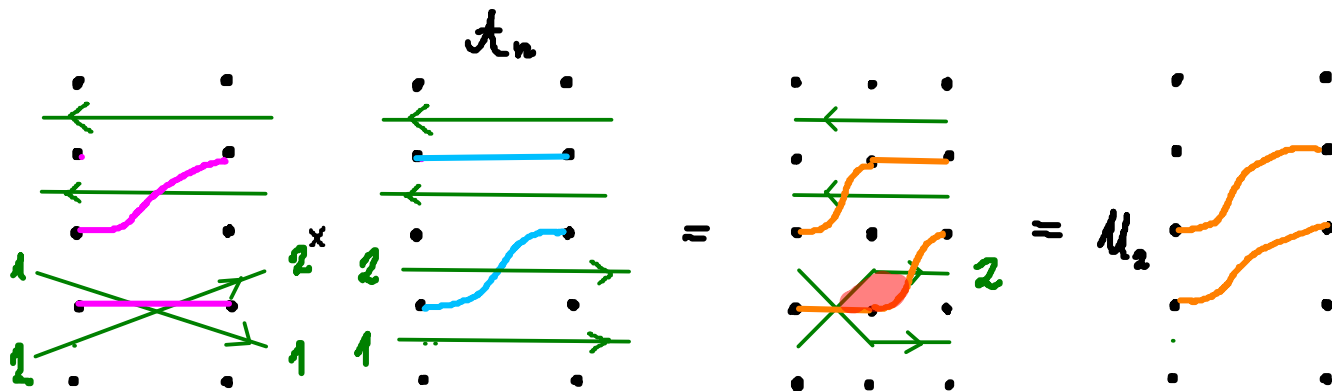
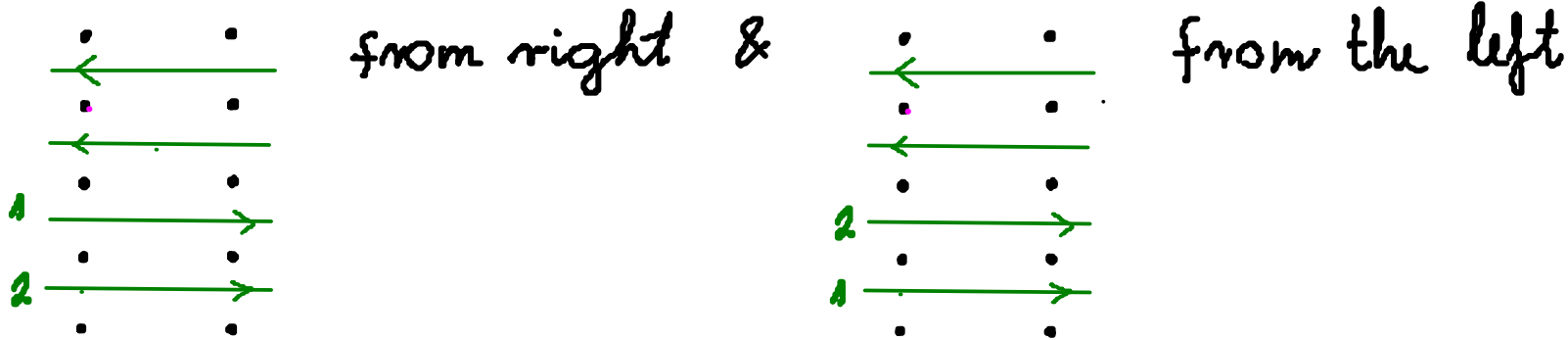
MODULES CORRESPONDING TO \mathfrak{b}_1 & \mathfrak{b}_2^{-1}

generators : same

differential : same except the relations are defined by the new \equiv curves

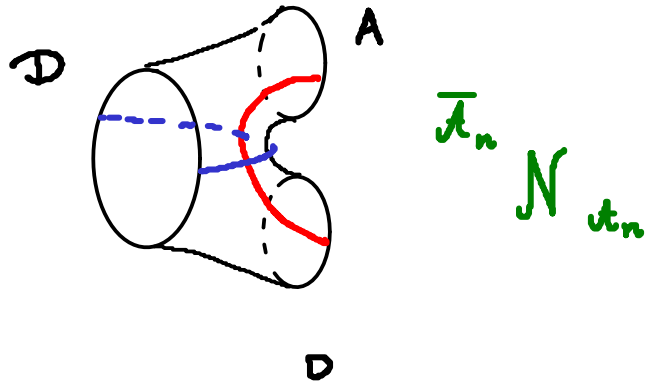


multiplication by



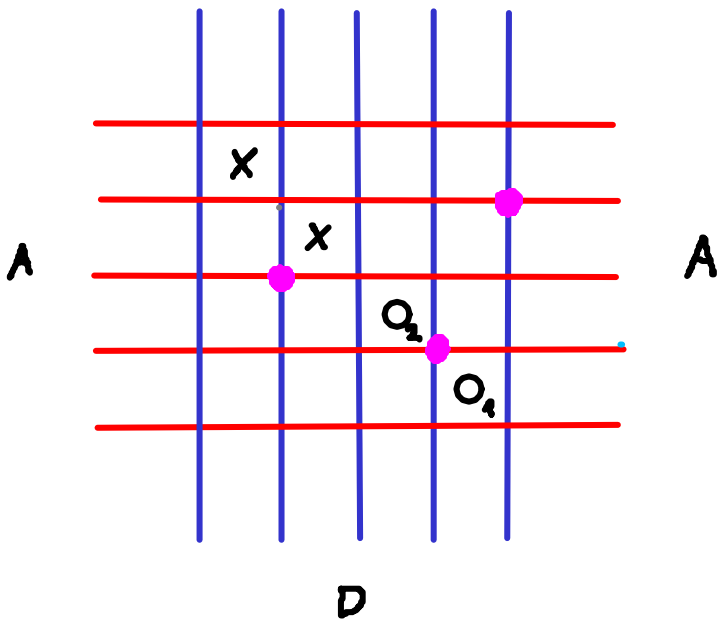
TYPE DA-STRUCTURE

In order to understand gluing we need to work with DA-structures



- where the left x_n -action is the usual
- \bar{x}_n only acts by its idempotents:

$$I \otimes x = \begin{cases} x & I = I_D(x) \\ 0 & \text{otherwise} \end{cases}$$



$$I_D(\bullet) = \overline{\begin{pmatrix} \text{---} & \text{---} \\ \cdot & \cdot \\ \text{---} & \text{---} \\ \cdot & \cdot \\ \vdots & \vdots \\ \cdot & \cdot \end{pmatrix}} \quad (\text{the non-occupied strands})$$

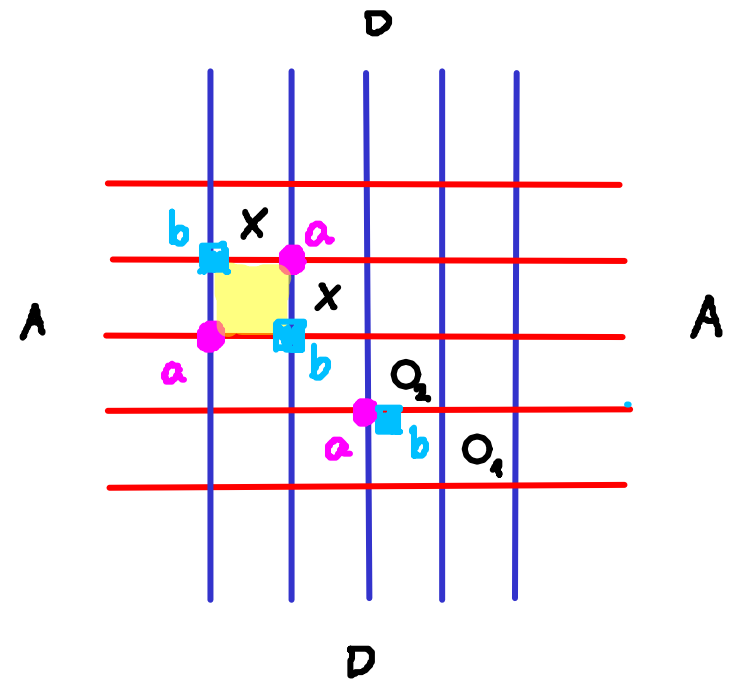
- and another map: $\delta^1: \mathcal{N} \rightarrow \bar{x}_n \otimes \mathcal{N}$
defined by rectangles and partial rectangles

$$\begin{pmatrix} \text{no } x, \\ \text{no } \bullet \\ \text{keep track of } O_i \text{ by } u_i \end{pmatrix}$$

TYPE DA-STRUCTURE — δ'

$$\delta': \mathcal{N} \rightarrow \overline{\mathcal{A}}_n \otimes \mathcal{N}$$

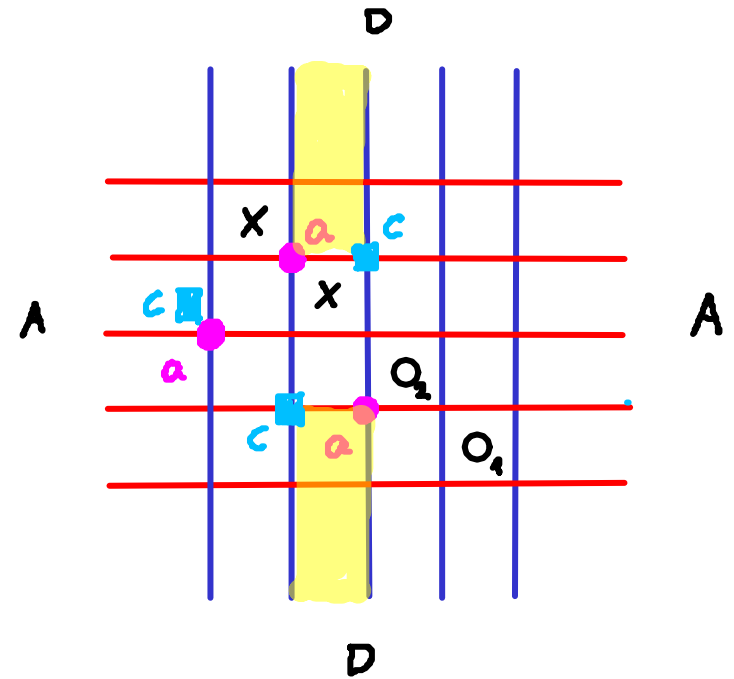
$$\delta'(a) = I_D(a) \otimes b$$



TYPE DA-STRUCTURE — δ'

$$\delta': \mathcal{N} \rightarrow \overline{\mathcal{A}}_n \otimes \mathcal{N}$$

$$\delta'(a) = I_D(a) \otimes b + I_D(a) \otimes c +$$

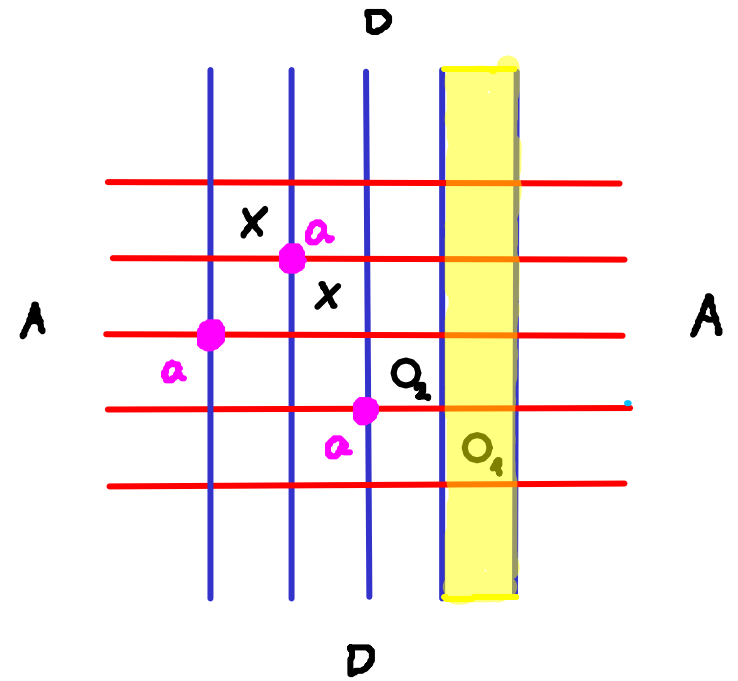


TYPE DA-STRUCTURE — δ'

$$\delta': \mathcal{N} \rightarrow \overline{\mathcal{A}}_n \otimes \mathcal{N}$$

$$\delta'(a) = I_D(a) \otimes b + I_D(a) \otimes c +$$

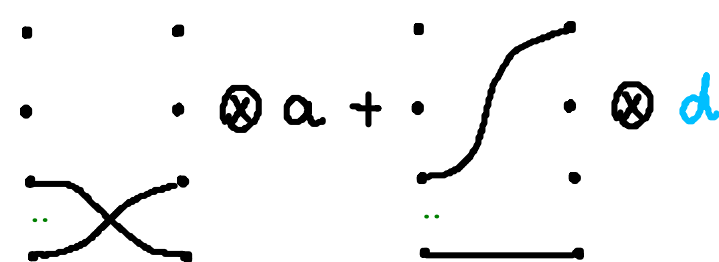
$$\begin{array}{c} \cdot \\ \cdot \\ \cdot \\ + \mu_1 \cdot \end{array} \quad \begin{array}{c} \cdot \\ \cdot \\ \cdot \\ \cdot \end{array} \quad \otimes a$$

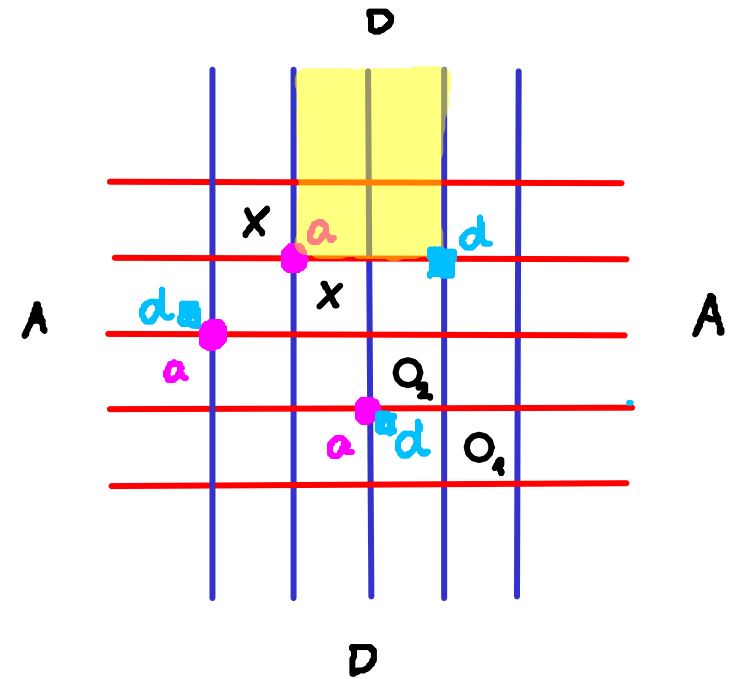


TYPE DA-STRUCTURE — δ'

$$\delta': \mathcal{N} \rightarrow \overline{\mathcal{A}}_n \otimes \mathcal{N}$$

$$\delta'(a) = I_D(a) \otimes b + I_D(a) \otimes c +$$

$$+ \mu_1 \cdot \cdot \otimes a + \cdot \cdot \otimes d$$




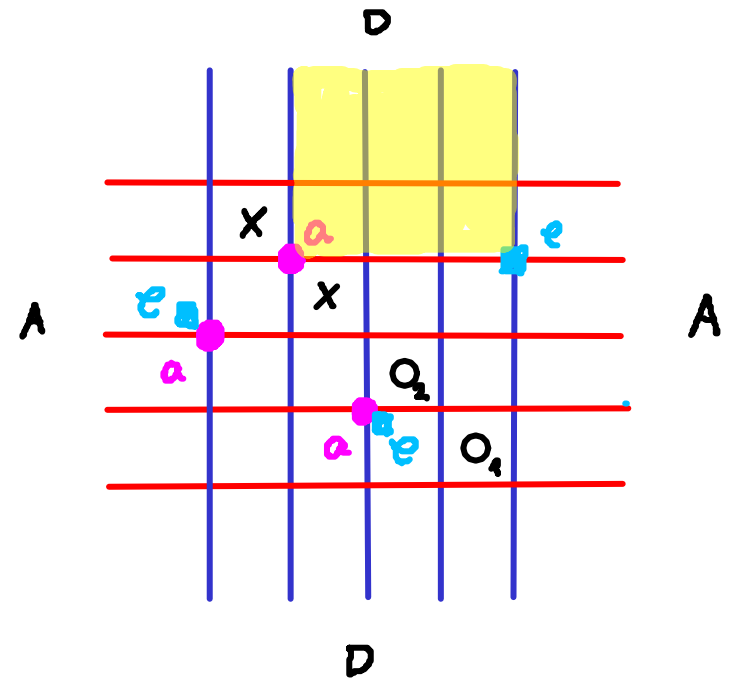
TYPE DA-STRUCTURE — δ'

$$\delta': \mathcal{N} \rightarrow \overline{\mathcal{A}}_n \otimes \mathcal{N}$$

$$\delta'(a) = I_D(a) \otimes b + I_D(a) \otimes c +$$

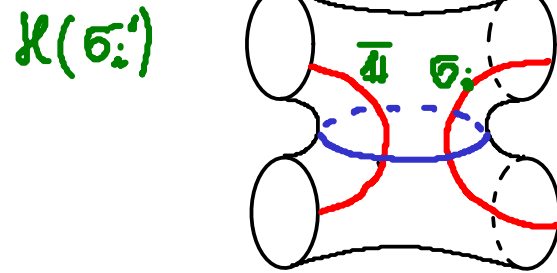
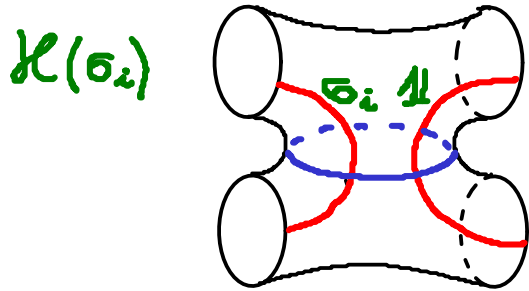
$$+ \mu_1 \cdot \cdot \otimes a + \cdot \cdot \otimes d$$

$$+ \cdot \cdot \otimes e$$

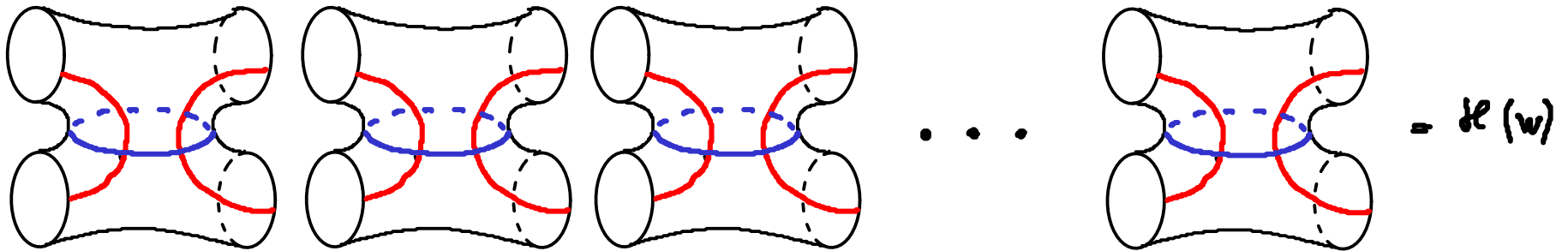


DA-TYPE BIMODULE FOR A BRAID

Remember:



$w = \sigma_1 \sigma_2^{-1} \sigma_3 \dots \sigma_n \in \mathcal{B}_n$

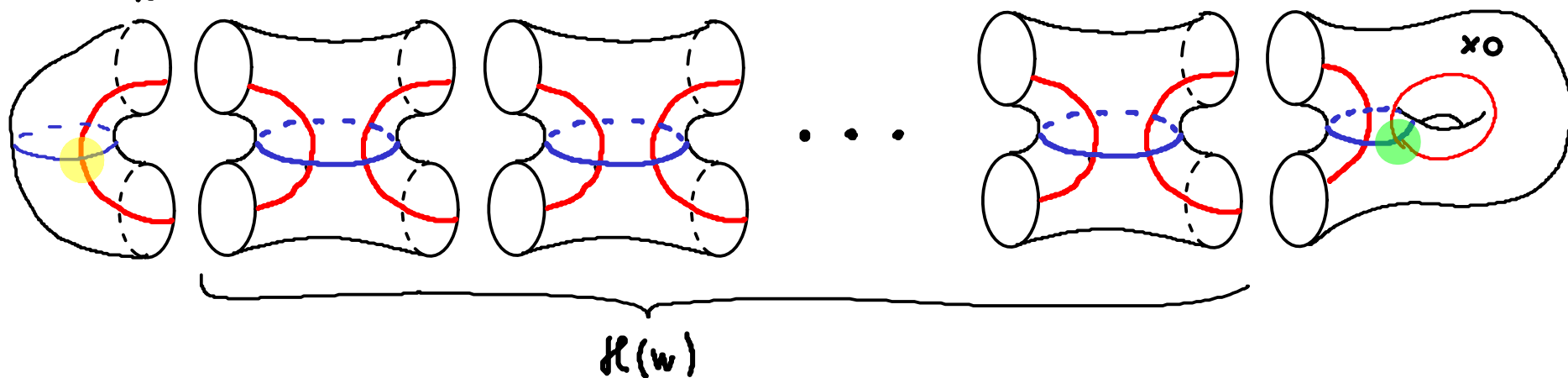


$$\begin{aligned}
 & \mathcal{H}(\sigma_1) \quad \mathcal{H}(\sigma_2^{-1}) \quad \mathcal{H}(\sigma_3) \quad \dots \quad \mathcal{H}(\sigma_n) = \mathcal{H}(w) \\
 & \overset{\alpha}{\mathcal{M}}_{1, \bar{x}} \quad \tilde{\otimes} \quad \overset{\alpha}{\mathcal{M}}_{2, \bar{x}} \quad \tilde{\otimes} \quad \overset{\alpha}{\mathcal{M}}_{3, \bar{x}} \quad \tilde{\otimes} \quad \dots \quad \tilde{\otimes} \quad \overset{\alpha}{\mathcal{M}}_{n, \bar{x}} = \text{CFTAD}
 \end{aligned}$$

- such that :
- $\mathcal{M}_i \tilde{\otimes} \bar{\mathcal{M}}_i \simeq [11]$
 - $\mathcal{M}_i \tilde{\otimes} \mathcal{M}_{i+1} \otimes \mathcal{M}_i \simeq \mathcal{M}_{i+1} \tilde{\otimes} \mathcal{M}_i \otimes \mathcal{M}_{i+1}$
 - $\mathcal{M}_i \tilde{\otimes} \mathcal{M}_j \simeq \mathcal{M}_j \tilde{\otimes} \mathcal{M}_i$ if $|i-j| \geq 2$
- } these are in progress!

RELATION TO KNOT FLOER HOMOLOGY

$w \in \mathcal{B}_n$ a braid word

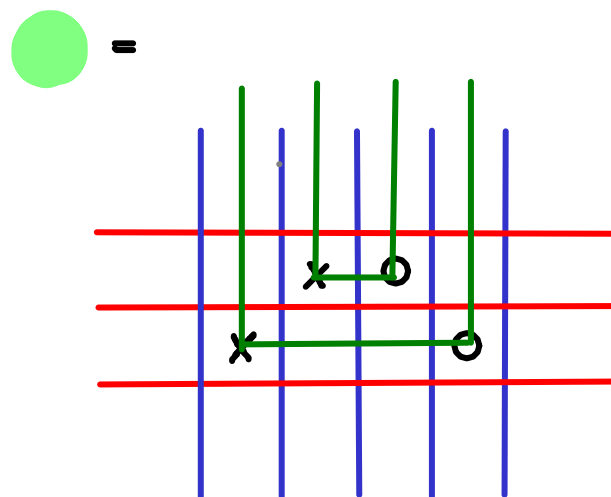
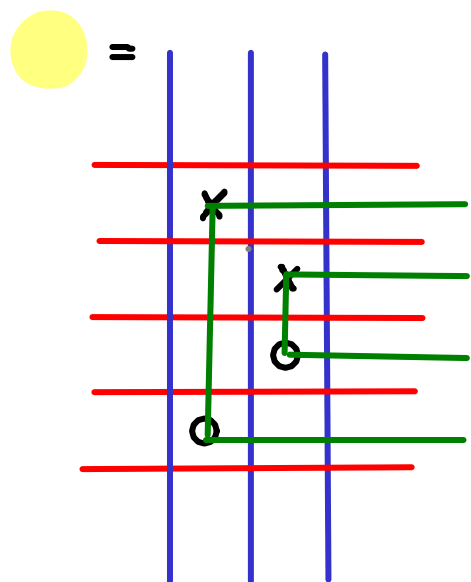


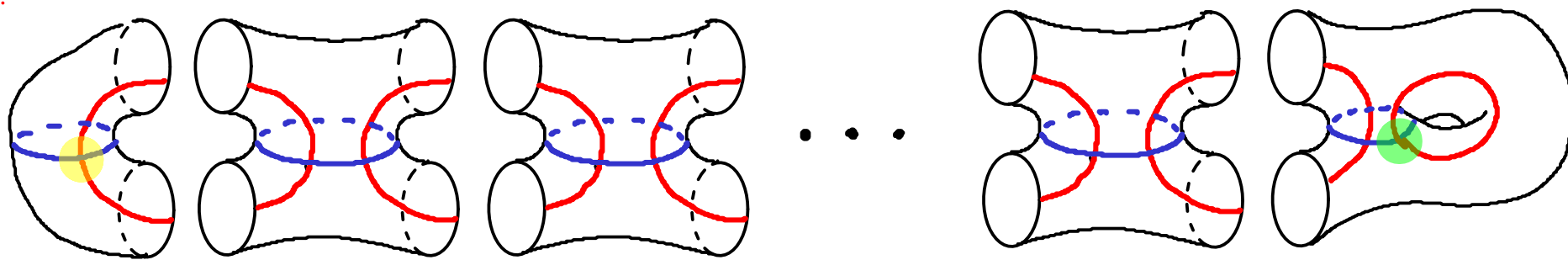
— = $2n+1$ parallel α -curves

— = $2n+1$ parallel β -curves

— = $n+1$ " "

— = $n+1$ " "





THANKS FOR YOUR
ATTENTION!

